

# Bandwidth and Cache Leasing in Wireless Information Centric Networks: a Game Theoretic Study

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## Abstract

Information Centric Networking (ICN) is a novel paradigm that aims at improving the performance of today's Internet by supporting caching and multicast content delivery on every network device. The main contribution of this work is to propose a centralized strategy to stimulate third parties to jointly lease the unused bandwidth and storage available on wireless access points in an ICN. We formulate this problem as a combinatorial reverse auction run by a content provider willing to increase the number of users reached by his service. We show that the optimal allocation with partial coverage problem is NP-hard, we then provide greedy heuristics that guarantee the *individual rationality* and *truthfulness* properties, and compare their performance numerically. We evaluate the benefits of our proposed mechanisms in terms of the cost savings for the content provider obtained by offloading his infrastructure through the caches, and the reduced computational time to execute the allocation algorithms. We compare the results obtained in this centralized setting, with those that can be observed when the mobile clients autonomously choose which access point they prefer to use, among those activated by the auction mechanism. We model this second scenario as a congestion game, showing that it exhibits desired properties (i.e., existence and uniqueness of a Nash Equilibrium), and comparing its social welfare with the centralized case.

## Index Terms

Wireless Access Networks, Auction Theory, Information Centric Networks.

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## I. INTRODUCTION

The way customers use Internet nowadays has radically changed with respect to the original design goals that had driven the development of the TCP/IP protocol stack [1]. As a matter of fact, although Internet was initially conceived as a means to establish a point-to-point communication between a client machine and a remote server, it is currently used mostly as a content distribution infrastructure [2].

In order to better handle this usage shift, new Information Centric Networking (ICN) design proposals for the Future Internet are recently gaining momentum. These designs have specifically been formulated to create new protocols centered around the concept of *what* the user is willing to retrieve, rather than *where* the data can be found. In fact, their distinctive characteristic is that they replace at the packet level the source and destination addresses with content names. Routing and forwarding are thus performed according to the name of the data that has to be delivered [3].

In this paper we analyze a relevant scenario where the content provider has the simultaneous objectives of (1) extending his mobile customer base by offering the users an ubiquitous access to the provided content and (2) performing server offloading by exploiting the built-in caching features of ICNs.

Since the content provider should not bear the costs of the realization of a new access network, we propose the creation of a marketplace where *third party access point owners* offer their unexploited bandwidth and storage resources in exchange for economic incentives for their cooperation. However, misbehaving access point owners may jeopardize the efficiency of the allocation mechanism by choosing strategically to declare false valuations for the offered resources. In order to solve this issue, *auction theory* provides insights for the design of tamper-proof centralized allocation mechanisms characterized by the fact that the dominant strategy of every bidder is to declare the real valuation for the provided resources.

The solution obtained with the reverse auction selects (1) which access points should be activated, (2) how much the content provider should remunerate the selected access point owners and (3) it returns the centralized solution of the mobile clients allocation to the activated access points. However, in more realistic scenarios, mobile clients are free to choose which access point they prefer to connect to, and therefore their local choice may be different than the one selected by the centralized algorithm. For this reason, we complement our work by studying also the distributed mobile clients allocation problem to the activated access points, and compare the outcome of this scenario with respect to the optimal one selected by the centralized mechanism. Therefore, we can numerically quantify how much the distributed selection of access points deviates from the centralized and ideal solution.

In our vision, the user buys a digital content, and the content provider offers the connectivity to retrieve the corresponding data. As an example, we believe that this “*wireless shopping*” business model can be effectively applied to online content stores such as e-book libraries, music and video streaming services, online magazines and newspapers as well as application stores. Some steps towards this direction have been done by Amazon, which is providing to Kindle users the “Free 3G” mobile broadband connection service to wirelessly browse the store, purchase and download the content [4].

The contributions of this paper are summarized as follows:

- 1) We design an optimal mechanism that can be used to motivate access point owners to jointly lease their unused bandwidth capacities and cache storage, in exchange for economic incentives. The mechanism is a *reverse auction* that guarantees both the *individual rationality* and *truthfulness* properties, under partial coverage constraints for the mobile clients, while forcing the access point owners to declare their real valuations for the provided resources.
- 2) We show that the proposed optimization problem is NP-hard. In order to cope with the computational complexity, we then provide three variants of a greedy algorithm that can be used to obtain a close to optimal solution in polynomial time, while still guaranteeing the *truthfulness* and *individual rationality* properties.
- 3) To further complement the previous results, while still considering the same network architecture, we take into account the case where the mobile clients autonomously connect to the activated access points, modeling their behavior as a *congestion game*. We prove the game is weighted potential and that the Nash Equilibrium is unique; moreover we provide a lower bound on the Price of Anarchy.
- 4) We provide performance comparisons of the proposed strategies. We show that all the variants of the greedy algorithm outperform the optimal one in terms of execution time. However, this comes at the cost of a reduced profit for the provider, due to the sub-optimality property of the solution computed by such greedy algorithms. Furthermore, we also compute the social welfare loss, from the optimal centralized case, with respect to the distributed scenario, where the clients autonomously connect to the access points.

This paper is structured as follows: Sec. II describes the network architecture and motivates our proposal. Sec. III formulates the optimal combinatorial reverse auction as an optimization model, while Sec. IV proposes three greedy algorithms to solve the allocation problem in polynomial time. The mobile clients’ allocation problem is described in Sec. V, and extends the analysis performed in the previous sections by considering the distributed clients allocation to the available access points. Numerical results

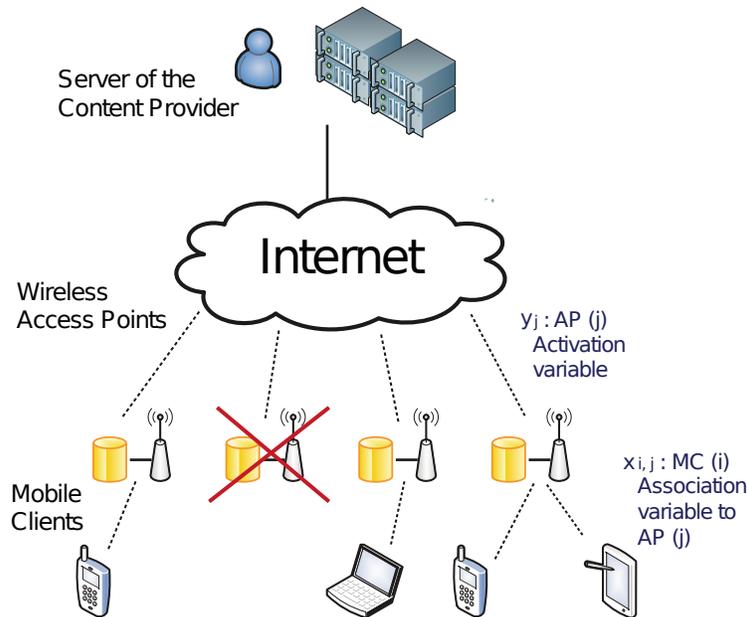


Figure 1. *Network architecture*. A single content provider (CP) is considered in our design. Access points (APs) are equipped with caching storage. Mobile clients (MCs) connect to a subset of the available APs. The demand of a MC will be satisfied either by cached content, or by retrieving the data directly from the servers of the CP using the available backhaul Internet connection. Our mechanism determines the MCs to APs allocation that minimizes the total cost.

are discussed in Sec. VI, while in Sec. VII we survey related work. Finally, concluding remarks are presented in Sec. VIII.

## II. SYSTEM MODEL

In this section we illustrate the principles, definitions and assumptions characterizing the communication network of our scenario. Sec. II-A describes the network architecture and discusses the benefits provided by our allocation algorithm to the content provider, while Sec. II-B clarifies the structure of the economic incentives to the access point owners and explains the properties enforced by the auction mechanism.

### A. Advantages for the Content Provider

A graphical representation of the system model we consider in this paper is shown in Fig. 1. A single content provider (CP) wants to lease a set of wireless access points (APs) in order to increase his customer base by providing an ubiquitous content delivery service to mobile clients (MCs).

The core business of the provider is to distribute contents that will be accessed by the customers under payment of a fee. The hardware infrastructure owned by the CP does not comprise the radio access

network, since it is beyond the scope of the service it provides; however, we assume that the CP owns a set of content distribution servers reachable through any Internet connection.

Raising the number of customers reached by the service jointly increases the *remuneration* of the CP as well as the *operational costs* (OPEX) due to the increased load of the computational infrastructure. An interesting feature offered by ICN that can mitigate the effects of this trade-off is *universal caching*: each AP is equipped with a variable quantity of storage that is used to memorize content previously forwarded to the destinations. For this reason, we propose that the operator leases not only the radio access and backhaul connection capacities, but also portions of the caches available at the access points. By exploiting the caching feature of ICN we make the content move across the network towards the locations where most of the users are requesting it. In our system model the backhaul Internet connection of each access point can be provided by any ISP; as a matter of fact, it will be the access point owner to choose to participate to our proposed bandwidth and cache sharing mechanism, in a way that is independent from the particular ISP used.

### B. Economic Incentives to the Access Point Owners

Access point owners can participate to the bandwidth allocation phase by submitting to the CP the bid  $[b_j, s_j]$ , where  $b_j$  is the price at which the  $j$ -th AP agrees to jointly share the unexploited backhaul and wireless bandwidth, as well as a quantity  $s_j$  of cache. Our mechanism can also be applied in the scenario where Internet Service Providers actively participate to the mechanism by providing WiFi connectivity and storage; in this case, like AP owners, ISPs will submit their bids (i.e.:  $[b_j, s_j]$ ). As commonly assumed in the literature (e.g., [5], [6]), in our case the real valuation  $v_j$  of each owner is kept hidden; thus, in the most general case,  $v_j \neq b_j$ .

Let  $p_j \in \mathbb{R}^+$  be the price paid by the CP to lease the  $j$ -th AP. The utility function of the AP owner is such that:

$$u_j = \begin{cases} p_j - v_j & \text{if AP } j \text{ is selected} \\ 0 & \text{otherwise.} \end{cases} \quad (1)$$

We say that *individual rationality* holds if the utility of each player is always non-negative:  $\forall j \in \mathcal{A}, u_j \geq 0$ . When the access point is not selected, the owner's utility is null since he does not incur additional costs given by incoming traffic and, at the same time, he does not receive any economic incentive. Meanwhile, a rational player will choose to play only if  $p_j \geq v_j$  and thus  $u_j \geq 0$ .

When the CP has collected all the sealed bids of the APs, he will select a set of access points that

should be rewarded, with the aim to maximize his overall revenue. In particular, by serving the traffic demand  $d_i$  of a mobile client  $i \in \mathcal{M}$ , the operator will obtain a profit  $P$  per unit of bandwidth. Moreover, a cost  $C$ , proportional to the requests that will generate a cache miss at the access points, must also be considered, to account for the *charges* experienced by the CP to serve the incoming requests with his infrastructure. Thus, we would like to design a two step mechanism that 1) chooses which wireless access points should be selected among those that participated to the allocation, and 2) computes the rewards paid to the corresponding winners, in such a way that every user is forced to declare a price equal to the true valuation of his offer.

We make the assumption that each access point is directly connected to a backhaul Internet connection, whose capacity is known to the operator. We also assume that it is very unlikely that the AP owner declares a false quantity of available cache storage, since this misbehavior can be easily detected and punished by the content provider. Notwithstanding, the mechanism needs to force the AP owners to declare their real valuations, since they may be tempted to lie in order to increase their utilities by behaving strategically.

### III. OPTIMAL ALLOCATION AND PAYMENT SCHEME

This section illustrates the optimal mobile clients allocation and access point payment algorithm. We will derive the optimal allocation rule in terms of: 1) the prices paid by the content provider (CP) to remunerate the selected access point owners, 2) the operational cost (OPEX) faced by the CP due to the load on his computational infrastructure, and 3) a traffic-proportional profit that the CP experiences for serving the clients' requests. The notation used in this paper is summarized in Table I.

We denote with  $\mathcal{M}$  the set of mobile clients (MCs), while  $\mathcal{A}$  is the set of access points (APs). Each MC  $i \in \mathcal{M}$  generates a traffic demand  $d_i$  that might be satisfied by at most one AP  $j \in \mathcal{A}$ .

Due to locality constraints, the APs have a limited maximum coverage radius, that we denote with  $L_j$  for AP  $j$ . Let  $l_{i,j}$  be the distance between MC  $i$  and AP  $j$ , and let  $r_{i,j}$  be the maximum rate of MC  $i$  when connected to AP  $j$ . If MC  $i$  is beyond the range of AP  $j$ , the corresponding rate will be null:  $r_{i,j} = 0, \forall i \in \mathcal{M}, j \in \mathcal{A} \mid l_{i,j} > L_j$ . For the sake of simplicity, hereafter we assume that the rate  $r_{i,j}$  refers only to the maximum possible rate between MC  $i$ , and AP  $j$ , regardless of the other MCs served by AP  $j$ . In Sec. V we extend this result by studying the behavior of the mobile clients with respect to the congestion they experience by connecting to the AP  $j$ .

In order to participate to the auction, AP owners are required to declare  $s_j$ , the amount of storage they will offer for caching purposes. This quantity will be used by the content provider (CP) in order to compute the average cache hit rate for AP  $j$ , denoted by  $h_j$ . If  $h_j = 0$  this means that AP  $j$  does not

Table I  
SUMMARY OF THE NOTATION USED IN THIS PAPER.

<b>Parameters of the ILP model</b>	
$\mathcal{M}$	Set of mobile clients
$\mathcal{A}$	Set of access points
$b_j$	Bid of AP $j$
$s_j$	Storage space for caching offered by AP $j$
$h_j$	Average hit-rate for AP $j$
$R_j$	Backhaul bandwidth available at AP $j$
$L_j$	Maximum coverage radius of AP $j$
$l_{i,j}$	Distance between MC $i$ and AP $j$
$r_{i,j}$	Maximum Wi-Fi rate of the MC $i$ when it is connected to AP $j$ from distance $l_{i,j}$
$q_{i,j}$	Connectivity matrix for MC $i$ and AP $j$ . $q_{i,j} = 1 \iff r_{i,j} > 0$ , otherwise $q_{i,j} = 0$
$d_i$	Traffic demand of MC $i$
$C$	Cache miss cost per unit of bandwidth, paid by the CP
$P$	Average profit that a unit of bandwidth generates for the CP

<b>Variables of the ILP Model</b>	
$x_{i,j}$	Binary variable that indicates whether MC $i$ is assigned to AP $j$
$y_j$	Binary variable that indicates whether AP $j$ is selected or not

<b>Parameters of the Auction</b>	
$v_j$	Private valuation of AP $j$
$p_j$	Actual price paid by the CP to the $j$ -th AP owner
$u_j$	Utility function of the $j$ -th AP owner

cache any object.

Cache misses increase the load on the computational infrastructure of the CP. We denote with  $C$  the cost per unit of bandwidth due to cache misses, while  $P$  is the profit that the CP will experience for serving one unit of bandwidth of users' requests. Lastly, let  $R_j$  be the bandwidth of the backhaul connection available at AP  $j$ .

Our formulation admits *partial coverage*, that is, the optimal solution may not serve all the available MCs since, in some cases, this may not be the best choice for the CP. The binary variable  $x_{i,j} \in \{0, 1\}$  is used to represent the assignment between MC  $i$  and AP  $j$ , and is such that:

$$x_{i,j} = \begin{cases} 1, & \text{if MC } i \text{ is assigned to AP } j \\ 0, & \text{otherwise.} \end{cases} \quad (2)$$

Furthermore, we denote with the binary variable  $y_j$  the set of APs selected by the auction:

$$y_j = \begin{cases} 1, & \text{if AP } j \text{ is selected by the auction} \\ 0, & \text{otherwise.} \end{cases} \quad (3)$$

Given the above definitions and assumptions, the mobile clients allocation problem (MCAP) can be formulated as follows:

$$\max P \sum_{i \in \mathcal{M}} \sum_{j \in \mathcal{A}} x_{i,j} d_i - \sum_{j \in \mathcal{A}} y_j b_j - \sum_{i \in \mathcal{M}} \sum_{j \in \mathcal{A}} x_{i,j} d_i (1 - h_j) C \quad (4)$$

s.t.

$$\sum_{j \in \mathcal{A}} x_{i,j} \leq 1 \quad \forall i \in \mathcal{M} \quad (5)$$

$$\sum_{i \in \mathcal{M}} \frac{d_i x_{i,j}}{r_{i,j}} \leq 1 \quad \forall j \in \mathcal{A} \quad (6)$$

$$\sum_{i \in \mathcal{M}} d_i x_{i,j} (1 - h_j) \leq R_j \quad \forall j \in \mathcal{A} \quad (7)$$

$$x_{i,j} \leq y_j \quad \forall i \in \mathcal{M}, j \in \mathcal{A} \quad (8)$$

$$x_{i,j} \in \{0, 1\} \quad \forall i \in \mathcal{M}, j \in \mathcal{A} \quad (9)$$

$$y_j \in \{0, 1\} \quad \forall j \in \mathcal{A}. \quad (10)$$

The objective function (4) maximizes the total revenue of the content provider, which is given by: I) the traffic-proportional profit the CP is going to obtain for serving MCs' demand:  $P \sum_{i \in \mathcal{M}} \sum_{j \in \mathcal{A}} x_{i,j} d_i$ ; II) the incentives paid to remunerate the selected access points for their storage and access bandwidth:  $\sum_{j \in \mathcal{A}} y_j b_j$ ; and III) the infrastructure costs caused by cache misses:  $\sum_{i \in \mathcal{M}} \sum_{j \in \mathcal{A}} x_{i,j} d_i (1 - h_j) C$ .

It is important to note that, as in the standard Vickrey auction [7], we optimize with respect to the user's provided bids  $b_j$  rather than the actual price paid to the AP owners. In fact, prices will be determined as a second stage, only after having selected the most promising APs. On top of that, the pricing rule that we are going to use, is such that the *truthfulness* property is guaranteed.

In (5) we express the set of *coverage* constraints, which force every mobile client to be assigned to at most one access point. Coverage is *partial*, because there might be cases where it is better not to serve some MCs' since it is not economically viable for the CP (e.g., if there is a single and very expensive AP).

Constraints (6) and (7) limit the number of MCs assigned to each AP, given that the radio access

**Algorithm 1:** Optimal and Truthful Reverse Auction

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**Input :**  $\mathcal{M}, \mathcal{A}, \mathbf{b}, \mathbf{s}, \mathbf{d}, \mathbf{r}, \mathbf{h}, \mathbf{R}, C, P$   
**Output:**  $\mathbf{y}, \mathbf{p}, \mathbf{x}$

- 1  $(y_j, x_{ij}) \leftarrow$  Solve the ILP model ;
- foreach**  $j \in \mathcal{A} : y_j = 1$  **do**
- 2    $(y'_j, x'_{ij}) \leftarrow$  Solve the ILP model without AP  $j$  ;
- 3    $p_j \leftarrow \sum_{i \in \mathcal{M}} \sum_{n \in \mathcal{A}} (x_{i,n} - x'_{i,n}) d_i [P - C(1 - h_n)] - \sum_{\substack{n \in \mathcal{A} \\ n \neq j}} (y_n - y'_n) b_n ;$
- end**

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network and the backhaul Internet connection have a bounded capacity. Constraints (6) impose that the total demand served by an AP does not exceed the capacity of the radio access network; in particular the  $i$ -th MC expresses a traffic demand  $d_i$  and is served at a rate  $r_{i,j}$  when connecting to AP  $j$ , thus consuming a fraction  $\frac{d_i}{r_{i,j}}$  of the available wireless resources. On the other hand, constraints (7) consider the fact that the backhaul Internet connection serves only the aggregate demand that generates a cache miss (i.e., the portion of the MC demand that must be directly served by the CP's servers).

Constraints (8) make sure that MCs can associate only to the APs selected by the mechanism. Finally, the sets of constraints (9) and (10) express the integrality conditions on the decision variables.

After having defined the ILP model used to solve MCAP, we now illustrate the algorithm that forces the AP owners to bid their real valuations. *Algorithm 1* formalizes the steps that the auctioneer should follow in order to determine the APs that should be selected as well as their payments for the provided resources. It returns the list of selected APs  $\mathbf{y}$ , the assignment matrix of MCs to APs  $\mathbf{x}$  and the corresponding payments  $\mathbf{p}$ .

The algorithm proceeds in three steps. In Step 1, the ILP model (4)-(10) is solved and the maximum revenue allocation  $(y_j, x_{i,j})$  is computed. In Step 2, the solution of the ILP model without the  $j$ -th AP is determined, in other terms, the algorithm solves again the ILP model (4)-(10) with the additional constraint  $y_j = 0$ . Finally, Step 3 computes the optimal price for the  $j$ -th AP according to the Vickrey-Clarke-Groves mechanism with Clarke pivot rule [7]. The optimal price represents the “*opportunity cost*” that the presence of the  $j$ -th AP causes to the other bidders.

Hereafter we show that the MCAP problem is NP-hard, by a polynomial time reduction of the Knapsack Problem (KP) to the ILP model (4)-(10).

**Theorem 1. Complexity of the MCAP problem.** *The MCAP problem is NP-hard.*

*Proof.* In order to prove the theorem we polynomial-time reduce the NP-hard Knapsack Problem (KP) [8]

to MCAP.

Let  $\mathcal{I}$  be a set of items of cardinality  $n = |\mathcal{I}|$ ; for each item  $i \in \mathcal{I}$ , let  $v_i$  be the value of the item and  $w_i$  be its weight. Moreover, the binary variable  $x_i \in \{0, 1\}$  is set to 1 if item  $i \in \mathcal{I}$  belongs to the subset  $I \subseteq \mathcal{I}$ , and 0 otherwise. The knapsack problem is to select a subset of items  $I \subseteq \mathcal{I}$ , such that their total value  $\sum_{i \in \mathcal{I}} x_i v_i$  is maximized, and their total weight is bounded by  $W$ , that is  $\sum_{i \in \mathcal{I}} x_i w_i \leq W$ .

We show that every instance of the knapsack problem can be reduced in polynomial time to an instance of the mobile clients allocation problem (MCAP), as follows. First of all, we set  $|\mathcal{A}| = 1$ , whereas  $|\mathcal{M}| = n$ . Furthermore, we force  $h_1 = 1$ ,  $b_1 = 0$ ,  $d_i = \frac{v_i}{P}$  and  $r_{i,1} = \frac{v_i W}{P w_i}$ . The constructed MCAP problem is as follows:

$$\max P \sum_{i \in \mathcal{M}} \sum_{j \in \mathcal{A}} x_{i,j} \frac{v_i}{P} - \sum_{j \in \mathcal{A}} y_j 0 - \sum_{i \in \mathcal{M}} \sum_{j \in \mathcal{A}} x_{i,j} d_i (1 - 1) C \quad (11)$$

s.t.

$$x_{i,1} \leq 1 \quad \forall i \in \mathcal{M} \quad (12)$$

$$\sum_{i \in \mathcal{M}} \frac{\frac{v_i}{P} x_{i,1}}{\frac{v_i W}{P w_i}} \leq 1 \quad (13)$$

$$\sum_{i \in \mathcal{M}} d_i x_{i,1} (1 - 1) \leq R_1 \quad (14)$$

$$x_{i,1} \leq y_1 \quad \forall i \in \mathcal{M} \quad (15)$$

$$x_{i,j} \in \{0, 1\} \quad \forall i \in \mathcal{M}, j \in \mathcal{A} \quad (16)$$

$$y_j \in \{0, 1\} \quad \forall j \in \mathcal{A}. \quad (17)$$

The constructed instance of MCAP is equivalent to the original instance of KP, and one such construction is done in polynomial-time. Therefore, MCAP is NP-hard.  $\square$

While the standard VCG scheme is known to enforce *truthfulness* and *individual rationality*, it is defined with respect to the objective function that considers the total valuation of the players, which is given by  $\sum_{j \in \mathcal{A}} y_j b_j$ . On the other hand, the MCAP objective function (4) jointly considers 1)  $P \sum_{i \in \mathcal{M}} \sum_{j \in \mathcal{A}} x_{i,j} d_i$ , the content provider's profit; 2)  $\sum_{j \in \mathcal{A}} y_j b_j$ , the valuation of the players, and 3)  $\sum_{i \in \mathcal{M}} \sum_{j \in \mathcal{A}} x_{i,j} d_i (1 - h_j) C$ , the effect of content caching.

Since the objective function we take into account is not the standard used in the VCG scheme, hereafter we prove that our mechanism jointly enforces the *individual rationality* and *truthfulness* properties.

**Theorem 2. Individual Rationality.** *The payment rule satisfies the individual rationality property, i.e.:*

$$\forall j \in \mathcal{A} \mid u_j \geq 0. \quad (18)$$

*Proof.* Given the utility function we consider, the AP owners selected by the mechanism have a utility  $u_j = p_j - v_j$ , whereas the others experience a zero utility. Let  $SW(y_j, x_{i,j})$  denote the value of the social welfare (i.e.: the objective function (4)). Our payment rule for the selected APs ensures that  $p_j = SW(y_j, x_{i,j}) - SW(y'_j, x'_{i,j}) + b_j$ . Therefore, when the AP owner declares his valuation truthfully, that is  $b_j = v_j$ , the utility will be non-negative  $u_j \geq 0$ , since  $SW(y_j, x_{i,j}) \geq SW(y'_j, x'_{i,j})$ .  $\square$

**Theorem 3. Truthfulness.** *The payment rule ensures the truthfulness property, that is, a dominant strategy for all the players is to declare  $b_j = v_j$ .*

*Proof.* Let  $(x, y)$  and  $(\hat{x}, \hat{y})$  be the solutions of MCAP (4)-(10), when the AP owner declares  $b_j = v_j$  and  $\hat{b}_j$ ,  $b_j \neq \hat{b}_j$ , respectively. Moreover, let  $(x^{-j}, y^{-j})$  be the solution of (4)-(10) without considering the AP  $j$ . The utility of  $j$  when it declares  $b_j = v_j$  is equal to:

$$\begin{aligned} u(b_j) = & \sum_{i \in \mathcal{M}} \sum_{n \in \mathcal{A}} x_{i,n}^{-j} d_i [C(1 - h_n) - P] + \sum_{\substack{n \in \mathcal{A} \\ n \neq j}} y_n^{-j} b_n + \\ & + \sum_{i \in \mathcal{M}} \sum_{n \in \mathcal{A}} x_{i,n} d_i [P - C(1 - h_n)] - \sum_{n \in \mathcal{A}} y_n b_n, \end{aligned} \quad (19)$$

While, when it declares  $\hat{b}_j \neq v_j$  it has a utility equal to:

$$\begin{aligned} u(\hat{b}_j) = & \sum_{i \in \mathcal{M}} \sum_{n \in \mathcal{A}} x_{i,n}^{-j} d_i [C(1 - h_n) - P] + \sum_{\substack{n \in \mathcal{A} \\ n \neq j}} y_n^{-j} b_n + \\ & + \sum_{i \in \mathcal{M}} \sum_{n \in \mathcal{A}} \hat{x}_{i,n} d_i [P - C(1 - h_n)] - \sum_{n \in \mathcal{A}} \hat{y}_n b_n + \hat{y}_n v_j - v_j. \end{aligned} \quad (20)$$

Given the objective function of MCAP,  $(x, y)$  is the solution that maximizes (4), that is  $(x, y) = \operatorname{argmax}_{\sum_{i \in \mathcal{M}} \sum_{n \in \mathcal{A}} x_{i,n} d_i [P - C(1 - h_n)] - \sum_{n \in \mathcal{A}} y_n b_n}$ . Moreover  $v_j - \hat{y}_n v_j \geq 0$ , in fact  $\hat{y}_n \in \{0, 1\}$ . Therefore, since:

$$\begin{aligned} u(b_j) - u(\hat{b}_j) = & \sum_{i \in \mathcal{M}} \sum_{n \in \mathcal{A}} x_{i,n} d_i [P - C(1 - h_n)] - \sum_{n \in \mathcal{A}} y_n b_n - \\ & - \left\{ \sum_{i \in \mathcal{M}} \sum_{n \in \mathcal{A}} \hat{x}_{i,n} d_i [P - C(1 - h_n)] - \sum_{n \in \mathcal{A}} \hat{y}_n b_n \right\} + v_j - \hat{y}_n v_j, \end{aligned} \quad (21)$$

we have that  $u(b_j) - u(\hat{b}_j) \geq 0$ .

□

### Discussion

To keep the presentation of this work as simple as possible, in our proposed formulation (4)-(10), the time dimension is not considered. We would like to remark the fact that it is however possible to add also this component to both the optimal allocation and payment scheme, as well as our proposed greedy algorithms, while preserving the *individual rationality* and *truthfulness* properties.

Since our proposed formulation fosters the partial coverage of nodes, the value of the profit parameter  $P$  has a remarkable impact on the solution of the allocation scheme. As a matter of fact, by setting  $P$  very small, the optimal solution for the CP will be to serve no clients at all. On the other hand, if  $P$  is set large enough, the solution will serve all the clients' demands, given the available capacity of the networking infrastructure. The following proposition establishes exactly this trade-off.

**Proposition 1.** *Using the objective function (4), the model associates the highest possible number of MCs if  $P$  satisfies:*

$$P > \max_{j \in \mathcal{A}} b_j + C \max_{i \in \mathcal{M}} d_i. \quad (22)$$

*Proof.* We show that the proposition holds, by considering the worst case: a scenario where the most expensive AP is selected, even though it does not provide any storage.

Let  $j \in \mathcal{A}$  be the access point that made the highest possible bid, and let  $h_j = 0$  be the cache hit-rate that we get at AP  $j$ . Let  $i \in \mathcal{M}$  be the mobile client that generates the highest traffic demand among all the others (i.e.,  $\arg\max_{i \in \mathcal{M}} d_i$ ). In the most expensive case, the CP activates  $j$  only to serve the demand of  $i$  incurring a cost  $C_{max} = \max_{j \in \mathcal{A}} b_j + C \max_{i \in \mathcal{M}} d_i$ . Thus, by setting  $P > C_{max}$  we ensure that if the network has enough spare capacity, the optimal allocation rule will serve this request, since this choice will certainly lead to an improvement in the value of the objective function. □

Despite its high computational cost, the VCG mechanism, which we extend in this paper, assures *truthfulness*, *individual rationality*, and *social welfare maximization*, which are three essential economic properties in the design of robust auctions.

*Truthfulness* guarantees that the dominant strategy for any bidder is to declare its private valuation  $v_j$  for its storage space and access capacity. Therefore, the content provider can be sure of renting the cheapest APs among those that participate to the reverse auction. In contrast, in untruthful auctions bidders can manipulate the market in order to obtain higher outcomes at the expense of the content provider and other honest bidders, which might be excluded by the set of winners.

*Individual rationality* guarantees non-negative utilities for bidders that bid truthfully. Specifically, any AP owner that is selected by the content provider to store a portion of its data receives an amount of money at least equal to its bid, whereas any AP owner who loses the auction does not pay anything to the content provider. This property provides an incentive to participate to the reverse auction, thus maximizing the number of possible bidders.

In any auction design, the central technical problem lies in satisfying the *social-efficiency*, *truthfulness* and *complexity*. It is widely regarded that these properties cannot be achieved simultaneously. VCG, for example, ensures social-efficiency and truthfulness but at the price of exponential complexity. Other non-VCG truthful auctions (if there exists any, of which we are not aware), may ensure truthfulness but sacrifice social-efficiency or involve exponential computational complexity (unless we take into account stochastic auctions). Motivated by this observation, a challenging problem in auction design, as addressed in our work, is thus how to ensure truthfulness with reasonable social efficiency and complexity. Meanwhile, the designed auction mechanism should also integrate specific constraints posed by the target applications (e.g., spectrum reuse in spectrum auction design, joint band and cache leasing in our problem).

In our reverse auction settings, *social welfare maximization* guarantees to select the cheapest APs as winners and to minimize the content provider expenses given that all bidders bid truthfully. The overall expenses of the content provider can eventually be higher than the social welfare, since a portion of the social welfare is used as an incentive for the participation of bidders by implementing an individual rational reverse auction. Note however that, according to the revenue equivalence principle, first and second price auction yield the same expenses in expectation.

In fact, the revenue equivalence principle states that for any two Bayesian-Nash incentive compatible (BNIC) mechanisms, implementing the same social choice function, where the valuation of the players is drawn from a convex (or path-connected) set, if the expected payment of player  $i$  (averaged on all the private valuations of the other players) is the same for both mechanisms, then it is the same for every private valuation of the players [7]. Thus, for any Bayesian-Nash incentive compatible (truthful) mechanisms, the expected revenue will be the same, forcing to change the social choice function to eventually reduce the overall expected “*cost*” perceived by the auctioneer.

#### IV. GREEDY ALGORITHMS

The computational complexity of the MCAP problem is such that, as the number of MCs  $|\mathcal{M}|$  and APs  $|\mathcal{A}|$  increases, the completion time of the optimal allocation (i.e., Algorithm 1) raises exponentially. In order to find an allocation strategy that can effectively scale up to large network instances, this

**Algorithm 2: Greedy Cache and Bandwidth Auction**


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**Input :**  $\mathcal{M}, \mathcal{A}, \mathbf{b}, \mathbf{s}, \mathbf{d}, \mathbf{r}, \mathbf{h}, \mathbf{R}, C, P$   
**Output:**  $\mathbf{y}, \mathbf{p}, \mathbf{x}$

- 1  $(\mathbf{y}, \mathbf{x}, c) \leftarrow \text{Greedy\_Allocation}(\mathcal{M}, \mathcal{A}, b_j, s_j, d_i, r_{ij}, h_j, R_j, C, P);$
- foreach**  $j \in \mathcal{A} : y_j = 1$  **do**
- 2 |  $p_j \leftarrow \frac{b_j}{|\mathcal{M}_j|} |\mathcal{M}_j|;$
- end**

---

**Algorithm 3: Greedy Allocation (Step 1 of Alg. 2)**


---

**Input :**  $\mathcal{M}, \mathcal{A}, \mathbf{b}, \mathbf{s}, \mathbf{d}, \mathbf{r}, \mathbf{h}, \mathbf{R}, C, P$   
**Output:**  $\mathbf{y}, \mathbf{x}, c$

- 1  $\mathcal{A} \leftarrow \{j \in \mathcal{A} : P - C(1 - h_j) \geq 0\};$
- 2  $L \leftarrow \text{Sort} \left( j \in \mathcal{A}, \frac{b_j}{|\mathcal{M}_j|}, \text{"non-decreasing"} \right);$
- while**  $\exists i \in \mathcal{M} : \sum_{j \in \mathcal{A}} x_{i,j} < 1$  **do**
- 3 |  $j \leftarrow \text{Next}(L); y_j \leftarrow 1;$
- 3 |  $\mathcal{V}_j \leftarrow \text{Sort} \left( k \in \mathcal{M}_j, \frac{d_k}{r_{kj}}, \text{"non-decreasing"} \right);$
- 3 | **foreach**  $k \in \mathcal{V}_j$  **do**
- 4 | |  $x_{kj} \leftarrow 1;$
- 4 | | **if**  $\neg \text{Is\_Feasible\_Solution}(\mathcal{M}, \mathcal{A}, \mathbf{x}, \mathbf{y}, \mathbf{b}, \mathbf{s}, \mathbf{d}, \mathbf{r}, \mathbf{h}, \mathbf{R}, j)$  **then**  $x_{kj} \leftarrow 0;$
- 3 | **end**
- end**
- 5  $c \leftarrow \text{Next}(L);$
- 6 **foreach**  $j \in \text{Reverse}(L)$  **do**
- 6 | **if**  $\sum_{i \in \mathcal{M}} x_{ij} = 0$  **then**  $y_j \leftarrow 0; c \leftarrow j;$
- 6 | **else break;**
- end**

---

section provides a computationally efficient, polynomial-time algorithm with three alternative strategies, that still guarantees *truthfulness* and *individual rationality*. We begin by describing the *greedy MC* alternative, while we will talk about the other greedy strategies at the end of the section.

Let  $k_j = \frac{b_j}{|\mathcal{M}_j|}$  be the ratio between the bid of the  $j$ -th AP ( $b_j$ ) and the number of MCs that it can potentially serve,  $|\mathcal{M}_j|$ , given the radio access coverage constraints only. The quantity  $k_j$  can be interpreted as the price per mobile client that should be paid to the  $j$ -th AP if it served all the MCs in its range. Let  $c$  be the index of the *critical access point*, which is defined as the first AP that has not been selected by the mechanism. The input values shared by the algorithms (i.e.,  $\mathcal{M}, \mathcal{A}, \mathbf{b}, \mathbf{s}, \mathbf{d}, \mathbf{r}, \mathbf{h}, \mathbf{R}, C, P$ ), are the same parameters we used to characterize the optimal allocation problem as in Table I.

In the same way as in the optimal auction, the greedy algorithm (*Algorithm 2*) is characterized by the following two phases: 1) the *allocation* phase, which selects the APs characterized by the lowest  $k_j = \frac{b_j}{|\mathcal{M}_j|}$

**Algorithm 4:** Is Feasible Solution (Step 4 of Alg. 3)**Input :**  $\mathcal{M}, \mathcal{A}, \mathbf{x}, \mathbf{y}, \mathbf{b}, \mathbf{s}, \mathbf{d}, \mathbf{r}, \mathbf{h}, \mathbf{R}, j$ **Output:**  $c$ 

- 
- 1  $c_1 \Leftarrow \sum_{\forall j \in \mathcal{A}} x_{i,j} \leq 1 ;$
  - 2  $c_2 \Leftarrow \sum_{\forall i \in \mathcal{M}} \frac{d_i x_{i,j}}{r_{i,j}} \leq 1 ;$
  - 3  $c_3 \Leftarrow \sum_{\forall i \in \mathcal{M}} d_i x_{i,j} (1 - h_j) \leq R_j ;$
- $$c \Leftarrow c_1 \wedge c_2 \wedge c_3;$$
- 

until the demands of MCs are satisfied (Step 1, Alg. 2) , and 2) the *payment* phase, which determines the price paid to the selected APs, given the characteristics of the critical access point  $c$  (Step 2, Alg. 2).

The greedy allocation of MCs to APs, as well as the identification of the critical access point  $c$ , are performed by *Algorithm 3*. The allocation procedure (Alg. 3) starts by removing the non-profitable APs (Step 1), and sorts the APs in non-decreasing order according to the corresponding  $k_j$  values (Step 2). This is done with the aim of selecting the “*most promising*” APs in terms of the declared price per number of reachable mobile clients. We then iteratively allocate to the APs the largest number of unassigned mobile clients by choosing those which have lower capacity demand  $\frac{d_i}{r_{i,j}}$  (Step 3), while still preserving the feasibility of the solution (Step 4). Before completing the execution of Alg. 3, in Steps 5 and 6 we set the value of the critical access point, which is the first AP that has not been allocated any MC by the algorithm (the first loser).

Finally, *Algorithm 4* checks if the solution is feasible, by determining whether the constraints of the ILP model hold. In detail, Alg. 4 checks in Step 1 if each MC is assigned to at most one AP, as imposed by constraints (5); Step 2 checks whether the available Wi-Fi bandwidth is not saturated, as in constraints (6), and lastly Step 3 checks if the backhaul connection can accommodate the allocated traffic, as in constraints (7).

Alg. 2 implements a *truthful* auction since 1) the allocation phase respects the *monotonicity property* as the APs are sorted in non-increasing order of their bids per number of covered mobile customers, and 2) there exists a *critical value* which determines whether the AP has been selected or not. As demonstrated in [7] the previous two conditions ensure the truthfulness of the greedy algorithm.

By jointly substituting Step 2 of Alg. 2 and Step 2 of Alg. 3, as detailed in Table II, we can change the optimization strategy of the greedy algorithm; in particular, we propose the following three variants:

- 1) *Greedy MC* (G.m.);
- 2) *Greedy cache* (G.c.);

Table II  
SUMMARY OF THE 3 PROPOSED VARIANTS FOR THE GREEDY ALGORITHM

Greedy Variant	Step 2, Alg. 2	Step 2, Alg. 3
MC (G.m.)	$p_j \Leftarrow \frac{b_c}{ \mathcal{M}_c }  \mathcal{M}_j $	$L \Leftarrow \text{Sort} \left( j \in \mathcal{A}, \frac{b_j}{ \mathcal{M}_j }, \text{“non-decr”} \right)$
Cache (G.c.)	$p_j \Leftarrow \frac{b_c}{h_c} h_j$	$L \Leftarrow \text{Sort} \left( j \in \mathcal{A}, \frac{b_j}{h_j}, \text{“non-decr”} \right)$
Backhaul (G.b.)	$p_j \Leftarrow \frac{b_c}{R_c} R_j$	$L \Leftarrow \text{Sort} \left( j \in \mathcal{A}, \frac{b_j}{R_j}, \text{“non-decr”} \right)$

### 3) Greedy backhaul (G.b.).

As discussed previously, the *greedy MC* (G.m.) alternative gives higher priority to the APs that can potentially serve more MCs, due to their space location. On the other hand, the *greedy cache* (G.c.) selects the APs that are leasing larger caching storage; lastly, the *greedy backhaul* (G.b.) chooses the APs with a faster backhaul Internet connection. Table II summarizes these three variants, which will be compared numerically in Sec. VI. Finally, we observe that all the variants we propose do not modify the monotonicity property of the allocation phase; therefore, it is straightforward to show that *truthfulness* and *individual rationality* still hold.

#### A. Greedy Algorithm Analysis

In this section we analyze the greedy algorithm: we discuss its computational complexity, then we compare its efficiency with the optimum, in terms of both Social Welfare maximization and auction payments. In particular, we show that under the same conditions that make the greedy select a solution that leads to an inefficient Social Welfare, such solution will still be economically competitive in terms of auction payments.

First of all, we observe that assuming the number of mobile clients is much larger than the access points involved in the allocation (i.e.:  $|\mathcal{M}| \gg |\mathcal{A}|$ ), then the worst-case complexity of the greedy algorithm is quadratic with the number of MCs (i.e.:  $O(|\mathcal{M}|^2)$ ).

To show the efficiency of the solution computed by the greedy, we focus, for the sake of simplicity, on the *Greedy backhaul* (G.b.) variant of the algorithm, even though the same key conclusions can be obtained also for the other formulations. We consider an instance where two access points  $\mathcal{A} = \{1, 2\}$  (AP1 and AP2) can serve a mobile client (MC). To keep the presentation simple, we assume that the content catalog can be entirely cached in both nodes, leading to a hit-rate of 100% (i.e.:  $h_1 = h_2 = 1$ ),

while the profit per unit of demand is  $P$  (with  $P \gg 1$ ). Let the mobile client's demand be  $d_1 = 1$  and the rates on the wireless links be  $r_{1,1} = r_{1,2} = 1$ . Assume that the APs' bids are  $b_1 = 1 + \epsilon$  ( $\epsilon > 0$  and close to zero) and  $b_2 = D$  (with  $D > 1$ ), while the AP's available backhaul bandwidth is  $R_1 = \frac{1}{D}$  and  $R_2 = 1$ .

In this scenario, the optimal allocation forces the MC to connect to AP1 since its bid is lower than the one of AP2, and the social welfare (4) is  $SW^o = P - (1 + \epsilon)$ . On the other hand, if we consider the greedy algorithm, it forces the MC to connect to the AP2 (since  $\frac{b_2}{R_2} < \frac{b_1}{R_1}$ ), leading to a social welfare of  $SW^g = P - D$ . We can therefore observe that the Social Welfare Ratio ( $SW_{Ratio} = \frac{SW^g}{SW^o}$ ) between the greedy and the optimal solution tends to  $-\infty$  when the bid  $D$  is very large:

$$SW_{Ratio} = \frac{SW^g}{SW^o} = \lim_{D \rightarrow +\infty} \frac{P - D}{P - (1 + \epsilon)} = -\infty. \quad (23)$$

Therefore, as shown in this scenario, the greedy algorithm can reach very unfavorable solutions in terms of Social Welfare. In other words, the greedy scheme does not guarantee the maximization of the social welfare. Instead, it might lead to a transfer of utility from the content provider to the AP owner.

At the same time, if we focus on the actual payments that both the allocation mechanisms select, we observe that the greedy algorithm leads to a close to optimum solution. In order to perform one such analysis, we take into account the Offloading Cost Ratio (OCR), which we define as the ratio between the actual payment of the greedy algorithm and the one of the optimal allocation:

$$OCR = \frac{p^g(x, y)}{p^o(x, y)} = \frac{\sum_{j \in \mathcal{A}} p_j^g}{\sum_{j \in \mathcal{A}} p_j^o} = \lim_{D \rightarrow +\infty} \frac{(1 + \epsilon)D}{D} = (1 + \epsilon), \quad (24)$$

where  $p_j^g$  and  $p_j^o$  denote the price paid by AP  $j$  according to the greedy and the optimal algorithm, respectively. In other words, the metric that mostly interests network operators (i.e.: the OCR, or the overall payment they need to make in order to reserve the resources they booked) is such that the payment required by the greedy algorithm is only infinitesimally larger than the one computed for the optimal allocation, even though in terms of social welfare, the solution obtained with the greedy algorithm is not efficient.

It is important to note that the conditions we imposed in the example above are hardly met in a real scenario, since operators do not provide backhaul bandwidth with such a small granularity. Indeed, when  $D \rightarrow +\infty$ , the available backhaul bandwidth for AP 1 tends to zero ( $R_1 = \frac{1}{\infty} = 0^+$ ), which can hardly be satisfied by any network operator. Therefore, a consequence of this observation is that we can bound the  $SW_{Ratio}$  by fixing the minimum amount of bandwidth any access point owner should provide in

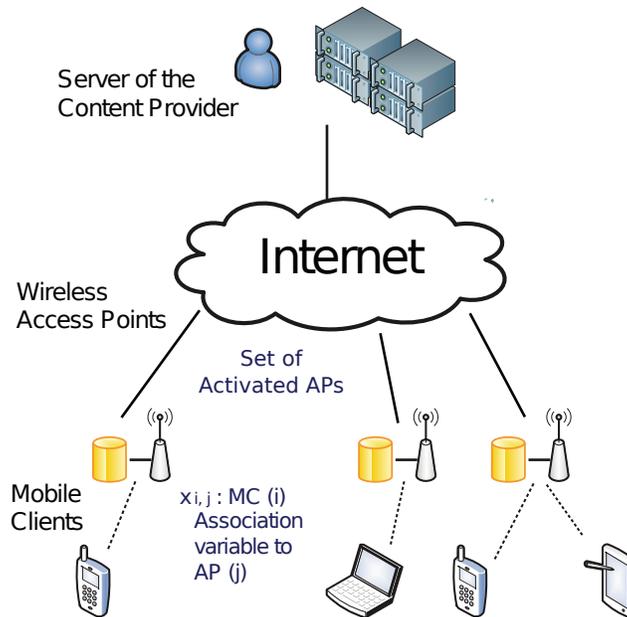


Figure 2. *Network Selection Game Architecture*. The architecture we consider in the Network Selection Game is very similar to the one we took into consideration in the previous Sections II-IV. However, while previously we assumed that both the access point activation and the mobile clients association to the access point were optimally chosen by a centralized entity, in the network selection game, we instead consider the case when mobile clients autonomously select (and compete) which access point they prefer to use.

order to participate to the mechanism.

## V. NETWORK SELECTION GAME

Our proposed optimal allocation strategy and the corresponding heuristic algorithms are formulated for a *centralized* setting in which the content provider (CP) optimally allocates the mobile clients (MCs) to the access points (APs). However, in a real scenario, MC owners typically choose autonomously which access point their devices should connect to. At the same time, each user's choice will influence the others: as a matter of fact, by changing the AP at which a given MC is connected, the MC owner is indeed affecting the quality of the wireless channel perceived by the other users.

In this scenario, game theory is the natural tool used to model the players' mutual interaction (e.g., [9], [10]). In particular, in this section we consider the same network architecture analyzed so far, but we extend our analysis by taking into account the *fully distributed case* where mobile clients autonomously choose which access point they prefer to use. Fig. 2 shows the architecture we take into consideration in this section of the manuscript. We model this practical scenario as a *non-cooperative network selection game* in which the players (i.e., the MCs) interact with each other by selfishly selecting the access

network (i.e., the AP) that minimizes their cost. We chose to provide this analysis in our paper in order to 1) derive the performance loss that the non-cooperative, distributed allocation causes, compared to the centralized global optimum and 2) complement our contribution by considering also the case when MCs autonomously select to which AP they should connect.

In this scenario, a mobile client  $i \in \mathcal{M}$  can be served by multiple APs in its current location. Different APs will likely provide different utilities to the players, and, at the same time, the utility will be a function of the other players' choices. In such distributed setting, all the MCs that can be served by at least one AP will connect to the wireless infrastructure. On the other hand, all the mobile clients that are not covered by the signal of an activated access point will not participate to the network selection game.

For the sake of simplicity, hereafter we denote with  $\mathcal{A}$  the set of activated access points, and with  $\mathcal{M}$  the set of mobile clients that can be reached by at least one AP. The quality metric that we consider relevant for the MCs is the *congestion level* experienced while connecting to a specific AP. In particular, due to the well known 802.11 anomaly [11], we assume that the rate experienced by all the MCs connected to an AP  $j \in \mathcal{A}$  is the same and it is equal to  $r_j = \min_{i \in \mathcal{M} | l_{i,j} \leq L_j} r_{i,j}$ , that is the rate of the farthest MC potentially served by the AP. We therefore define the cost function of player  $i \in \mathcal{M}$  as follows:

$$c_i(x) = \sum_{\forall k \in \mathcal{M}, \forall j \in \mathcal{A}} \frac{x_{i,j} \cdot x_{k,j}}{r_j}.$$

Let  $S = \mathbf{x}$  be a strategy profile. The choice of player  $i \in \mathcal{M}$  is represented with  $\mathbf{x}_i$ , while the one of the other players is  $\mathbf{x}_{-i}$ . In particular, the binary variable  $x_{i,j} = 1$  if and only if MC  $i$  is connected to AP  $j$ . The solution concept we adopt is the pure strategy Nash Equilibrium (NE): a strategy profile  $S$  in which there is no player that can improve its utility by unilaterally deviating from his choice.

In this section we prove that the network selection game is weighted potential, and therefore by letting players play the best response dynamics, one Nash equilibrium will be reached. Furthermore, we also prove that there exists only one such Nash Equilibrium.

The rationale behind the following proofs is as follows: consider the mobile client  $i \in \mathcal{M}$ , and assume that it is currently connected to the AP  $j \in \mathcal{A}$ . The cost (i.e., the congestion) perceived by such mobile client is  $c_i(x) = \sum_{\forall k \in \mathcal{M}, \forall j \in \mathcal{A}} \frac{x_{i,j} \cdot x_{k,j}}{r_j} = \sum_{i \in \mathcal{M}} \frac{x_{i,j}}{r_j}$ . A Nash Equilibrium is a strategy profile such that if MC  $i$  migrates to another AP  $k \neq j$ , it should observe a higher cost (i.e.:  $\frac{1}{r_k} + \sum_{i \in \mathcal{M}} \frac{x_{i,k}}{r_k} > \sum_{i \in \mathcal{M}} \frac{x_{i,j}}{r_j}$ ,  $\forall k \in \mathcal{M}, k \neq i$ ).

Hereafter we show that the network selection game is weighted potential, and therefore the distributed solution obtained with the *best response dynamics* converges to a NE [12].

**Proposition 2. Weighted Potential:** *Our proposed network selection game is weighted potential with potential function*  $\Phi(\mathbf{x}) = \sum_{k \in \mathcal{M}} \sum_{j \in \mathcal{A}} \sum_{i \in \mathcal{M}} \frac{x_{k,j} x_{i,j}}{r_j} + \sum_{j \in \mathcal{A}} \frac{1}{r_j} \sum_{i \in \mathcal{M}} x_{i,j}$ .

*Proof.* To prove that our proposed game is weighted potential, we must show that, given the strategy space  $\mathcal{S}$  there is a potential function  $\Phi : \mathcal{S} \rightarrow \mathbb{R}$  and a vector  $\mathbf{w} \in \mathbb{R}_+^{|\mathcal{M}|}$  such that  $\forall \mathbf{x}_{-i} \in \mathcal{S}_{-i}, \forall \mathbf{x}_i, \mathbf{x}'_i \in \mathcal{S}_i$  it holds that

$$\Phi(\mathbf{x}_i, \mathbf{x}_{-i}) - \Phi(\mathbf{x}'_i, \mathbf{x}_{-i}) = w_i(u_i(\mathbf{x}_i, \mathbf{x}_{-i}) - u_i(\mathbf{x}'_i, \mathbf{x}_{-i})) \quad (25)$$

Consider the AP  $i \in \mathcal{A}$ . Let  $x_{i,j} = \begin{cases} 1 & \text{if } j = h \\ 0 & \text{otherwise} \end{cases}$ , while  $x'_{i,j} = \begin{cases} 1 & \text{if } j = q \\ 0 & \text{otherwise} \end{cases}$ .

In other words,  $\mathbf{x}_i$  states that MC  $i$  is connected to AP  $h$ , whereas  $\mathbf{x}'_i$  states that MC  $i$  is connected to AP  $q$ . Let  $n_{i,j} = \sum_{w \in \mathcal{M} \setminus \{i\}} x_{w,j}$  be the number of MCs, excluding  $i$ , connected to AP  $j$ . Then we have:

$$u_i(\mathbf{x}_i, \mathbf{x}_{-i}) - u_i(\mathbf{x}'_i, \mathbf{x}_{-i}) = \frac{r_q + r_q n_{i,h} - r_h - r_h n_{i,q}}{r_h r_q}. \quad (26)$$

Moreover, considering the potential function we obtain:

$$\begin{aligned} \Phi(\mathbf{x}_i, \mathbf{x}_{-i}) - \Phi(\mathbf{x}'_i, \mathbf{x}_{-i}) &= \frac{(n_{i,h} + 1)^2 + (n_{i,h} + 1)}{r_h} + \frac{(n_{i,q})^2 + n_{i,q}}{r_q} \\ &\quad - \frac{(n_{i,h})^2 + n_{i,h}}{r_h} - \frac{(n_{i,q} + 1)^2 + (n_{i,q} + 1)}{r_q} = \\ &= 2 \left( \frac{r_q + r_q n_{i,h} - r_h - r_h n_{i,q}}{r_h r_q} \right), \end{aligned} \quad (27)$$

and therefore the game is *weighted potential* since it is sufficient to set  $\forall i \in \mathcal{A}, w_i = 2$ . □

The existence of a Nash Equilibrium is guaranteed by the previous Proposition; we now formally prove that there exists only one Nash Equilibrium. In particular, the following Theorem proves that in our proposed network selection game, Nash Equilibria are “*essentially unique*” [7], meaning that all equilibrium configurations have the same overall cost.

**Theorem 4. Uniqueness of the Nash Equilibrium:** *Our proposed network selection game has an essentially unique Nash Equilibrium.*

*Proof.* Let  $n_j = \sum_{k \in \mathcal{M}} x_{k,j}$  be the number of users simultaneously connected to the AP  $j \in \mathcal{A}$ ; for the sake of clarity, we can then rewrite the potential function as follows:

$$\Phi(\mathbf{x}) = \sum_{k \in \mathcal{M}} \sum_{j \in \mathcal{A}} \sum_{i \in \mathcal{M}} \frac{x_{k,j} x_{i,j}}{r_j} + \sum_{j \in \mathcal{A}} \frac{1}{r_j} \sum_{i \in \mathcal{M}} x_{i,j} = \sum_{j \in \mathcal{A}} \frac{n_j^2}{r_j} + \sum_{j \in \mathcal{A}} \frac{n_j}{r_j} = \Phi(\mathbf{n}). \quad (28)$$

By the definition of the potential function itself [7], Nash Equilibria can be computed by solving the optimization problem:  $\min \Phi(\mathbf{n})$ , subject to  $\sum_{j \in \mathcal{A}} n_j = |\mathcal{A}|$ . One such optimization problem is a convex Mixed-Integer Non-Linear Program, in fact, the continuous relaxation of the objective function is convex.

For this class of problems, a local optimal solution is also equal to the global optimum [13], [14], thus the Nash Equilibrium is essentially unique. □

The Price of Anarchy (PoA) is the ratio between the worst Nash Equilibrium and the centralized optimal solution. On the other hand, the Price of Stability (PoS) is the ratio between the best NE and the centralized optimal solution. Since we proved uniqueness of Nash Equilibrium, in our proposed network selection game  $PoA = PoS$ . Hereafter we show that a lower bound for the PoA is  $\frac{4}{3}$ .

**Proposition 3. Lower bound on the PoA.** *The Price of Anarchy in our proposed network selection game is  $PoA \geq \frac{4}{3}$ .*

*Proof.* Consider a network scenario with 2 access points and 2 mobile clients, and assume that the first AP has a rate of  $r_1 = 1$ , while the second has a rate of  $r_2 = 2 + \epsilon$ , for a small  $\epsilon > 0$ .

The centralized optimal solution is obtained when each MC connects to one of the available APs and, in this scenario, the overall congestion is  $\text{Cong}_{OPT} = 1 + \frac{1}{2+\epsilon}$ .

On the other hand, the Nash Equilibrium is obtained when both the MCs connect to the AP with the higher rate. As a matter of fact, in this configuration, each MC experiences a congestion equal to  $\frac{2}{2+\epsilon} < 1$ , where 1 is the congestion the MC would experience if it migrated to the other access point. In the NE configuration, the overall congestion is given by  $\text{Cong}_{NE} = 2^2/(2 + \epsilon)$ .

Therefore, since the Price of Anarchy is  $PoA = \frac{4/(2+\epsilon)}{1 + \frac{1}{2+\epsilon}}$ , a lower bound for it is  $PoA \geq 4/3$ , obtained when  $\epsilon \rightarrow 0^+$ . □

To provide further evidence that the previous bound is very tight, we numerically computed values for the PoA.

For each access point  $j \in \mathcal{A}$ , we denote with  $n_j \in \mathbb{Z}$  the number of mobile clients connected to  $j$  in the optimal solution. The number of MCs connected to  $j$  in the Nash Equilibrium is given by  $n_j + m_j$ ,

where the variable  $m_j \in \mathbb{Z}$  is used to characterize the NE allocation, and represents the difference in the number of MCs connected to AP  $j$  at equilibrium. To find the PoA, regardless of the specific scenario, we need also consider the rate of the  $j$ -th access point  $r_j \in \mathbb{R}$  as a decision variable, the only parameter that we need to bound is the number of access points  $|\mathcal{A}|$ .

We then formulate the Mixed-Integer Non-Linear Optimization model provided hereafter:

$$\max \frac{\sum_{j \in \mathcal{A}} \frac{(n_j + m_j)^2}{r_j}}{\sum_{j \in \mathcal{A}} \frac{n_j^2}{r_j}} \quad (29)$$

subject to:

$$\sum_{j \in \mathcal{A}} \frac{n_j^2}{r_j} \leq \sum_{j \in \mathcal{A}} \frac{(n_j + m_j)^2}{r_j} \quad (30)$$

$$\sum_{j \in \mathcal{A}} m_j = 0 \quad (31)$$

$$\frac{(n_j + m_j)}{r_j} \leq \frac{(n_i + m_i + 1)}{r_i} \quad \forall i, j \in \mathcal{A}, i \neq j \quad (32)$$

$$n_j + m_j \geq 0 \quad \forall j \in \mathcal{A} \quad (33)$$

$$n_j \geq 1 \quad \forall j \in \mathcal{A} \quad (34)$$

$$r_j \geq 1 \quad \forall j \in \mathcal{A} \quad (35)$$

$$n_j, m_j \in \mathbb{Z} \quad \forall j \in \mathcal{A} \quad (36)$$

$$r_j \in \mathbb{R} \quad \forall n \in \mathcal{A} \quad (37)$$

The objective function (29) computes the Price of Anarchy.

Constraint (30) enforces the optimality condition: the overall congestion in the optimal allocation  $\sum_{j \in \mathcal{A}} \frac{n_j^2}{r_j}$  should be smaller or at most equal to the one experienced at equilibrium  $\sum_{j \in \mathcal{A}} \frac{(n_j + m_j)^2}{r_j}$ . Constraint (31) makes sure that the overall number of mobile clients does not change while evaluating the Nash Equilibrium.

The Nash Equilibrium conditions are imposed in (32). In fact, by definition of the Nash Equilibrium, the congestion  $\frac{(n_j + m_j)}{r_j}$  experienced at equilibrium by the mobile clients connected to the access point  $j \in \mathcal{A}$ , should be lower than the congestion they would experience if they migrated to another access point  $i \neq j$ , which is given by  $\frac{(n_i + m_i + 1)}{r_i}$ .

In (33) we make sure that at equilibrium the number of mobile clients connected to each access point is non-negative. We assign at least one mobile client to each AP in the optimal solution, as enforced in (34). In (35) we set the minimum rate to be equal to 1; finally, integrality constraints are set in (36), whereas the rate is going to be continuous, as in (37).

We used the Bonmin solver [14] to numerically find solutions of this optimization problem. We numerically confirm that the Price of Anarchy is  $PoA = 4/3$  (i.e., the bound we provided for the PoA is tight), for each number of access points up to 50.

## VI. NUMERICAL RESULTS

In this section, we analyze and discuss the numerical results obtained solving our proposed models and heuristics in realistic, large-size network scenarios. More specifically, we first illustrate (Sec. VI-A) the experimental methodology used to evaluate the proposed algorithms, and then (Sec. VI-B) we discuss the obtained results.

### A. Methodology

Unless stated otherwise, simulations are conducted by uniformly distributing 60 access points (APs) in a  $300 \times 300 \text{ m}^2$  grid, while the locations of 100 mobile clients (MCs) are selected as a bi-variate Gaussian distribution centered on uniformly chosen APs. The bids of the APs are selected uniformly in the [7, 15] USD range, whereas the demands of every MC are generated in the range [0.5, 3] Mbit/s. We set the traffic-proportional cache miss cost  $C$  to 5 USD per Mbit/s, and we consider different profit values  $P$ , in the set {5, 10, 15, 20, 25} USD per Mbit/s. We performed 20 runs for every scenario, computing the narrow 95% confidence intervals reported in each figure.

We assume that the radio access network is composed of 802.11a wireless access points. Due to the path attenuation, the received power is a function of the distance  $l_{i,j}$  between the mobile client (MC)  $i \in \mathcal{M}$  and an access point (AP)  $j \in \mathcal{A}$ , and is given by:

$$P_r = P_t g_t g_r \left( \frac{\lambda}{4\pi} \right)^2 l_{i,j}^{-\eta} = P_t g_t g_r \left( \frac{c}{4\pi f} \right)^2 l_{i,j}^{-\eta}, \quad (38)$$

where  $P_t$  is the transmission power,  $g_t$  and  $g_r$  are the transmission and reception gains, respectively,  $f$  is the frequency,  $c$  is the speed of light and  $\eta$  is the path loss exponent. The path loss is hence given by:

$$\begin{aligned} PL_{dB} &= 10 \log_{10} \left( \frac{P_r}{P_t} \right) \\ &= 10 \left( \log_{10} (g_t g_r) + 2 \log_{10} \left( \frac{c}{4\pi} \right) - \log_{10} (f^2 l_{i,j}^\eta) \right). \end{aligned} \quad (39)$$

We assume that APs are equipped with the Atheros AR5413 chipset, and we will refer to the corresponding data sheet [15], to obtain the parameters characterizing the radio specification. In addition to that, we assume that gains are both unitary, the frequency is 5.25 GHz and the path loss exponent is 3. Given (39), we can compute the transmission rate by comparing the path loss value to the receiver sensitivity provided in Table III.

The Zipf discrete distribution is used to characterize the object popularity, since it was shown that it is an adequate model for it [16], [17], [18]. In particular, the Zipf model partitions the objects in  $N$  groups according to their popularity rank. Let  $\alpha$  be the popularity exponent of the distribution, the Zipf probability mass function (PMF) and cumulative density function (CDF) for the  $n$ -th popularity rank are defined as:

$$P(X = n) = \frac{1/n^\alpha}{\sum_{i=1}^N (1/i^\alpha)}, \quad (40)$$

$$F(n) = \sum_{i=1}^n P(n) = \frac{\sum_{i=1}^n (1/i^\alpha)}{\sum_{i=1}^N (1/i^\alpha)}. \quad (41)$$

As frequently done in the literature (e.g: [19], [20], [21]) we work under the assumptions of the “*Independent Reference Model*” (IRM), according to which successive content requests are independent identically distributed (i.i.d.), where the popularity class of an object is a discrete random variable  $X$ , with probability mass function  $P(X)$ . We assume that cache replacement is performed according to the “*Least frequently used*” (LFU) policy, since under the IRM assumption, LFU is known to maximize the hit rate [19].

Let  $C_j$  be the rank of the least popular cached objects at the  $j$ -th AP. Since popularity classes are sorted in decreasing order,  $C_j$  can be computed as follows:

$$C_j = \left\lfloor \frac{\text{Cache size at AP } j}{\text{Average object size}} \right\rfloor. \quad (42)$$

Let  $q(n)$  be the request rate of the objects belonging to the  $n$ -th popularity class. We can then define the hit rate  $h_j$  for AP  $j$  as:

$$h_j = \frac{\sum_{1 \leq n \leq C_j} q(n)}{\sum_{1 \leq n \leq N} q(n)}, \quad (43)$$

which is the ratio between the requests for objects belonging to the  $C_j$  most popular classes that have

Table III  
RECEIVER SENSITIVITY OF THE ATHEROS AR5413 CHIPSET

Path Loss (dB)	-72	-73	-77	-81	-84	-86	-88	-90
Rate (Mbit/s)	54	48	36	24	18	12	9	6

been stored in the caches and the requests for all the available objects.

Finally, we define the *normalized cache size*,  $N$ , as the ratio between the average cache size and the size of all the objects:

$$N = \frac{\text{Avg. cache size}}{\text{Objects cardinality} \cdot \text{Average object size}}. \quad (44)$$

For each AP, we chose the backhaul Internet bandwidth uniformly in the set  $\{1; 6; 8; 20; 100\}$  Mbit/s, while the size of the leased caching storage was uniformly selected in the range  $[10, 100]$  Gbytes. We consider objects having an average size of 100 Mbytes, while we take into account two catalog cardinalities equal to  $10^4$  and  $10^6$  objects, in line with what Fricker et al. have identified for the User Generated Content (UGC) scenario in [19]. However, for similar values of  $N$ , similar trends can be observed either by changing the object catalog size, or by increasing the amount of caching storage that is leased.

In the following experiments we set the Zipf  $\alpha$  exponent to 0.9, in line with [19]. Even though better results can be observed with higher  $\alpha$  values, due to the higher skewness of the request distribution, we cautiously consider this more adverse case. Since we assume that the object cardinality can either be  $10^4$  or  $10^6$ , the normalized cache sizes  $N$  are thus equal to 0.0512 and 0.0005 respectively, meaning that caches can store up to 5.12% of the entire catalog, when  $10^4$  objects are considered, while only 0.05% of the objects can be cached, while considering a larger catalog of  $10^6$  objects. Results for  $N = 0.0051$  were also generated, but for the sake of brevity will be omitted here since they are in between the two extremes. Regarding the three variants of the algorithms presented in Table II, we denote the greedy *MC*, *cache* and *backhaul* with G.m., G.c. and G.b., respectively.

### B. Performance Analysis

Unless otherwise specified, the figures illustrated in this section are related to a network scenario with 60 mobile clients (MCs) and 60 access points (APs), with a profit  $P = 10\text{USD}$  per Mbit/s.

**Social Welfare.** The *social welfare* metric ( $SW$ ) is the objective function of the ILP model (4), and has a monetary currency (USD) as unit of measurement. The value of such metric is portrayed in Fig. 3. In particular, Fig. 3a and 3b show the  $SW$  trend as a function of the number of APs, for a content catalog of  $10^6$  ( $N = 0.0005$ ) and  $10^4$  objects ( $N = 0.0512$ ), respectively. Fig. 3c and 3d consider the number of MCs, while in Fig. 3e and 3f we show the  $SW$  as a function of the CP's profit per unit of bandwidth. The  $SW$  has an increasing trend with respect to the number of access points  $|\mathcal{A}|$ , the number of mobile clients  $|\mathcal{M}|$ , and the average profit of the content provider  $P$ ; in fact, when the number of APs, MCs, or the profit increase, the allocation algorithm chooses to accommodate more traffic demands since it

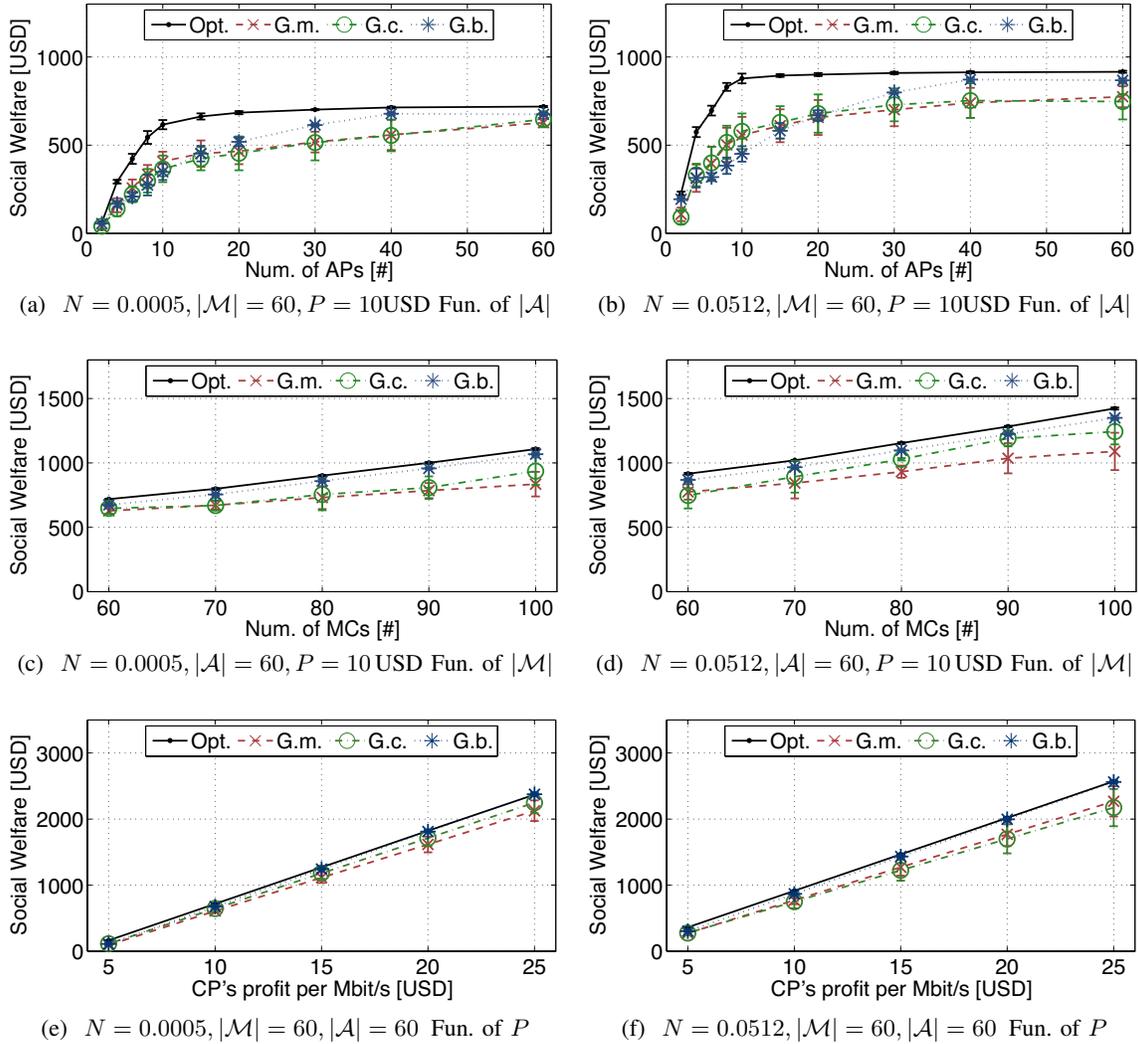


Figure 3. *Social Welfare*, The plots show the value obtained for the Social Welfare ( $SW$ ) metric, comparing the value obtained with the optimal allocation algorithm and the three greedy heuristics. In particular, in Fig. 3a and 3b we show the trend of the  $SW$  as a function of the number of APs, for a catalog of  $10^6$  ( $N = 0.0005$ ) and  $10^4$  ( $N = 0.0512$ ) objects, respectively. Fig. 3c and 3d consider the number of MCs, whereas Fig. 3e and 3f are functions of the profit.

improves the value of the  $SW$  metric. We also note that, while the  $SW$  has a linear trend in the number of MCs (as in Fig. 3c-3d), or the profit (as in Fig. 3e-3f), it exhibits instead a logarithmic trend in the number of APs (as in Fig. 3a-3b). This behavior is caused by the fact that the number of MCs is fixed to 60, thus having more than 10 APs only marginally improves the  $SW$  metric. On the other hand, having  $|\mathcal{A}| < 10$  significantly penalizes the performance of the system because the network infrastructure does not have enough capacity to serve all the clients' demands.

Another interesting observation that can be deduced by comparing Fig. 3a and 3b is that having a larger

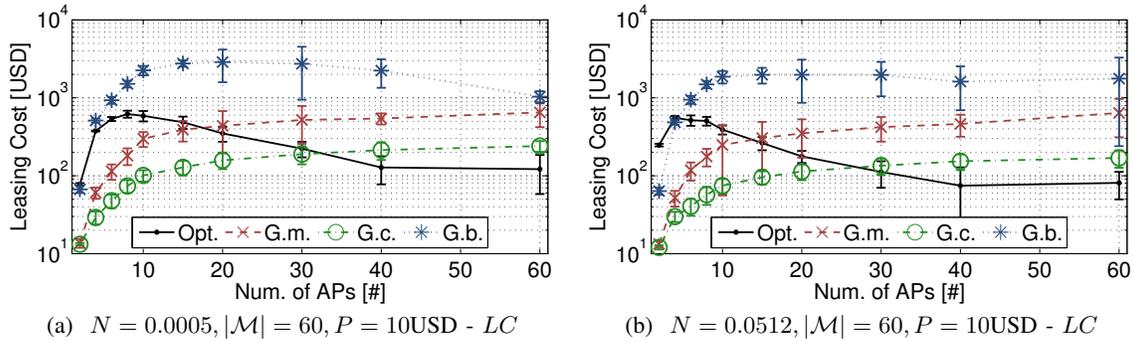


Figure 4. *Leasing Cost*, The figures show the Leasing Cost ( $LC$ ), as a function of the number of APs. In Fig. 4a the normalized cache size was set to  $N = 0.0005$ , whereas in Fig. 4b it was set to  $N = 0.0521$ .

normalized cache size of  $N = 0.0521$  ( $10^4$  objects) can lead to significant improvements on the  $SW$  which is 27% higher than for  $N = 0.0005$  ( $10^6$  objects), when considering 60 APs. Similar gains can be observed comparing Fig. 3c with Fig. 3d, whereas considering Fig. 3e and 3f, we can conclude that the higher the profit per unit of bandwidth, the lower the gain of having a larger  $N$ . In particular, in this latter case, when the profit is 25USD per Mbit/s, the gain for  $N = 0.0512$  is only 9% with respect to  $N = 0.0005$ .

Finally, comparing the values obtained for the optimal allocation algorithm and our proposed heuristics, we observe in Fig. 3e-3f that, when considering the  $SW$  as a function of the profit, the heuristics compute a close-to-optimal value for the objective function, losing, in the worst case, only 16% of  $SW$ . In particular, the *greedy backhaul* (G.b.) allocation algorithm seems to perform slightly better than the other two, efficiently computing a solution on average less than 10% lower than the optimum. Similar conclusions regarding the greedy heuristics can be derived from Fig. 3a-3f.

**Leasing Cost.** The leasing cost metric ( $LC$ ) is defined as the total cost sustained by the content provider (CP) to lease the subset of access points selected by the mechanism, and is equal to  $LC = \sum_{j \in \mathcal{A}} y_j p_j$ . The trend of  $LC$  as a function of the number of access points is shown in Fig. 4a for a normalized cache size of  $N = 0.0005$  ( $10^6$  objects) and in Fig. 4b for  $N = 0.0512$  ( $10^4$  objects). It is important to stress the fact that the price the content provider pays to the access point (AP) owners is *not* the amount that was bid, but it is computed in a way as to make sure that both the optimal algorithm and the heuristics enforce the *individual rationality* and *truthfulness* properties.

By comparing Fig. 4a and 4b, we observe that the normalized cache size  $N$  only partially affects the  $LC$  metric. Moreover, when the number of APs is large, the optimal allocation algorithm outperforms the

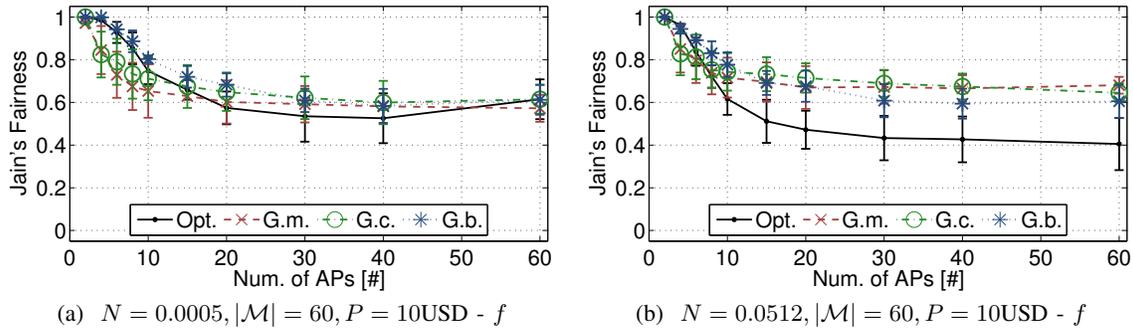


Figure 5. *Jain's Fairness Index*, Fig. 5a shows Jain's Fairness Index  $f$  for  $N = 0.0005$ , as a function of the number of APs, whereas in Fig. 5b we portrait the result for  $N = 0.0512$ .

heuristics, paying the AP owners 52% less than the greedy cache (G.c.) solution, and up to 95% less compared to the greedy backhaul (G.b.) with  $N = 0.0521$ .

As we will discuss in the following for the Unserved Requests ( $UR$ ) metric, when the number of APs is smaller than 10, not all the MC's requests can be served by the available network capacity, and the local maximum that is observed for the optimal allocation algorithm when the number of APs is around 9 is caused exactly by this reason. As a matter of fact, in this scenario, by removing one of the few APs deployed, the overall traffic request that the network can serve will be significantly reduced, making the  $SW$  decrease sharply. In other words, the role of every single AP that is selected by the allocation mechanism is crucial, and therefore the price the allocation procedure pays is much larger. On the other hand, when the number of APs increases, the role of every single AP is much less critical and the payment they receive is accordingly much lower.

**Jain's Fairness Index.** The Jain's Fairness Index ( $f$ ) is defined according to [22] as:

$$f = \frac{\left( \sum_{j \in \mathcal{A}} \rho_j \right)^2}{\left( \sum_{j \in \mathcal{A}} y_j \right) \cdot \sum_{j \in \mathcal{A}} \rho_j^2}$$

where  $\rho_j = \frac{y_j p_j}{\sum_{i \in \mathcal{M}} x_{i,j} d_i}$  is the ratio between the price paid to lease AP  $j$  and the overall demand it is serving. The performance of the optimal and greedy allocation algorithms with respect to the  $f$  metric is depicted in Fig. 5, where in 5a we set the normalized cache size  $N = 0.0005$  ( $10^6$  objects), whereas in 5b,  $N = 0.0512$  ( $10^4$  objects).

By comparing the two figures, we can state that the greedy heuristics have a similar trend with respect

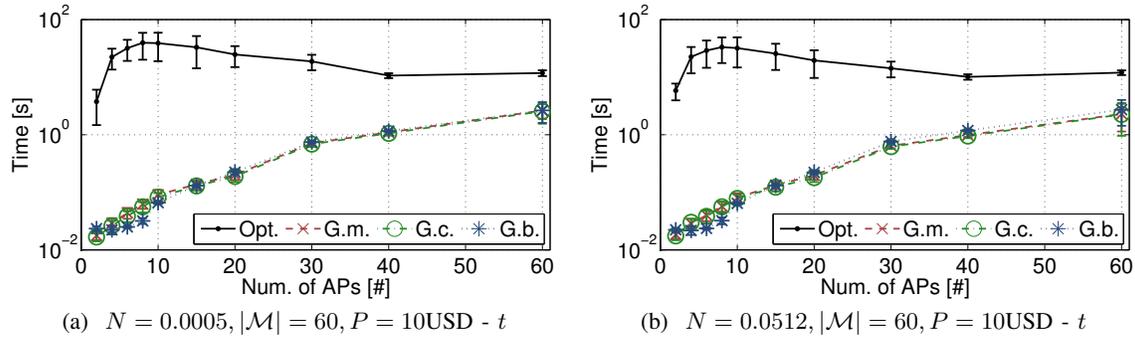


Figure 6. *Completion Time*, The completion time  $t$  metric is shown as a function of the number of APs. In Fig. 6a we report the results for  $N = 0.0005$ , whereas Fig. 6b refers to  $N = 0.0512$ .

to the fairness metric, which is rather insensitive to the normalized cache size. As a matter of fact, in both Fig. 5a and 5b we observe that the heuristics generate a solution that is on average 62% fair, when the number of AP is  $|\mathcal{A}| = 60$ . At the same time, the optimal allocation algorithm leads to worse solutions in terms of the fairness metric, especially when having a larger cache. In fact, in this latter case, as shown in Fig. 5b, the fairness of the optimal allocation algorithm stabilizes at 40% when 60 APs are considered.

**Completion Time.** The effect of the completion time ( $t$ ) is shown in Fig. 6. As usual, Fig. 6a refers to  $N = 0.0005$  ( $10^6$  objects) while Fig. 6b is for  $N = 0.0512$  ( $10^4$  objects). First of all, by comparing Fig. 6a with 6b, we can conclude that the size of the catalog, and therefore the average AP's hit-rate has a negligible impact on the observed completion time. On top of that, the optimal allocation algorithm requires much more time than the one spent using our proposed heuristics, which are all characterized by practically the same computing time.

The time trend of the optimal allocation algorithm not only has a local maximum when the number of access points  $|\mathcal{A}|$  is equal to 9, but it also exhibits large confidence intervals when  $|\mathcal{A}| < 10$ . The reason for both these behaviors lies in the fact that when there are few access points (APs), the allocation will not serve all the clients' demands, since the spare network capacity is not sufficient to do so. Moreover, the choice of which AP should be used becomes critical, and more time is required by the algorithm in order to explore the combinatorial state space of the solutions.

**Saved Bandwidth, Unserved Requests, Hit Rate.** Hereafter we jointly discuss the results we obtained for the  $SB$ ,  $UR$  and  $\bar{h}$  metrics. In particular, the Bandwidth Saved for Caching ( $SB$ ) is defined as the total amount of traffic directly served by the caches, measured in Mbit/s and equal to  $SB = \sum_{\substack{v_i \in \mathcal{M} \\ v_j \in \mathcal{A}}} x_{i,j} d_i h_j$ . The  $UR$  metric is instead the Fraction of Unserved Requests, which is the fraction of the mobile

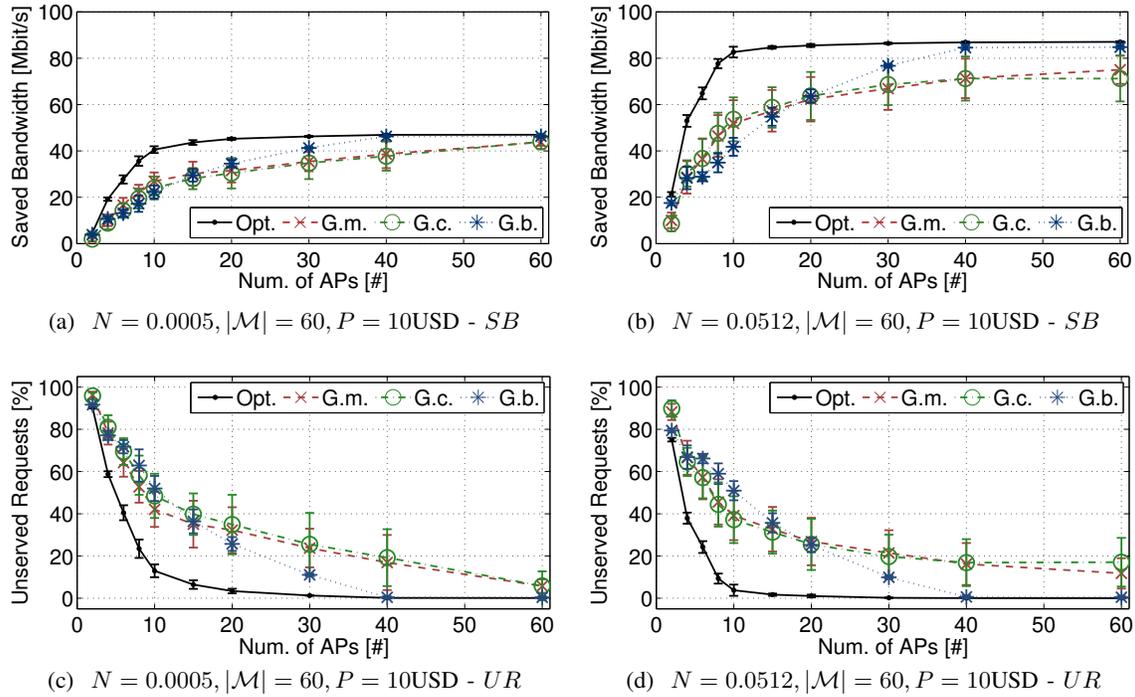


Figure 7. *Saved Bandwidth, Unserved Requests*, Fig. 7a and 7b show the Saved Bandwidth metric as a function of the number of APs, for  $N = 0.0005$  and  $N = 0.0521$ , respectively. The trend of the fraction of Unserved Requests is instead depicted in Fig. 7c and 7d.

clients' traffic demands that are not accommodated according to the allocation algorithm, and is equal to  $UR = \frac{\sum_{\forall i \in \mathcal{M}} \left(1 - \max_{\forall j \in \mathcal{A}} x_{i,j}\right) d_i}{\sum_{\forall i \in \mathcal{M}} d_i}$ . Finally, the Average Hit Rate  $\bar{h}$  is defined as the ratio between the content served by the caches and the sum of all the served clients' demands, and is equal to  $\bar{h} = \frac{SB}{\sum_{\forall i \in \mathcal{M}} \sum_{\forall j \in \mathcal{A}} x_{i,j} d_i}$ .

The Saved Bandwidth ( $SB$ ) trend, shown in Fig. 7a and 7b is logarithmic in the number of APs deployed. However, the average hit rate  $\bar{h}$  observed is constant in the number of access points and equal to 41% with a normalized cache size of  $N = 0.0005$  and 78% when  $N = 0.0521$ , for both the optimal allocation algorithm as well as the heuristics. The constant value for  $\bar{h}$  and the logarithmic trend for  $SB$  are caused by the fact that our proposed formulation admits partial coverage, that is, not all the requests of the mobile clients (MCs) are served by the network. In particular, as Fig. 7c and 7d show, when  $N = 0.0005$ , 10 APs can serve up to 84% of the overall demand (using the optimal algorithm), while 96% is reached when  $N = 0.0521$ . Since the bottleneck in our system model is the backhaul internet connection of every access point, deploying some caching storage in the system makes the network accommodate more traffic. On top of that, 40 APs are necessary to make all the client's requests

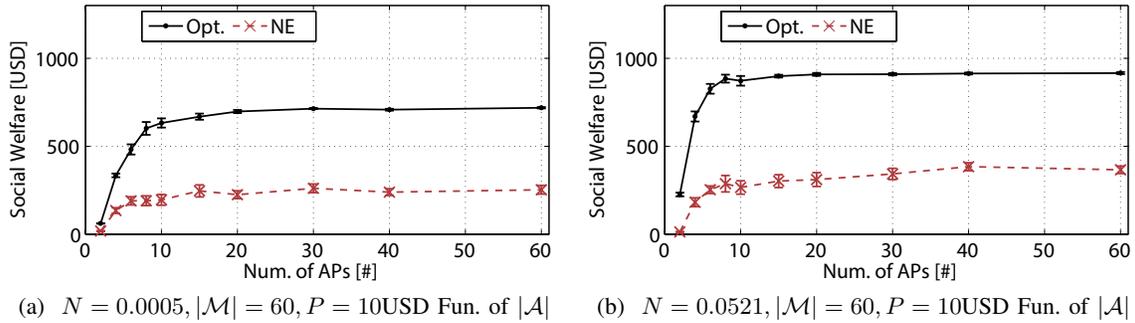


Figure 8. *Social Welfare - Network Selection Game*, The figures show a comparison of the Social Welfare ( $SW$ ) obtained in the centralized ( $Opt.$ ) and the distributed scenario (Nash Equilibrium,  $NE$ ), in particular they show the performance loss due to the distributed allocation of the mobile clients. Fig. 8a represents the scenario with an object catalog of  $10^6$  ( $N = 0.0005$ ), while Fig. 8b refers to the case where the object catalog contains  $10^4$  objects ( $N = 0.0521$ ), varying the number of APs.

be served with  $N = 0.0005$  ( $10^6$  objects), whereas 30 APs are sufficient if we consider  $N = 0.0521$  ( $10^4$  objects). In terms of the unserved requests, the greedy backhaul very well approaches the results observed for the optimal solution, whereas the other two heuristic algorithms serve on average 18% less traffic demands, when  $N = 0.0521$  and  $|\mathcal{A}| = 60$ .

As we have already commented for the  $SW$ ,  $LC$  and  $t$  metric, the threshold of 10 APs clearly marks two different operating zones: when a large amount of traffic requests cannot be served by the networking infrastructure, this has a major impact on the multiple metrics characterizing the overall system performance.

**Social Welfare - Network Selection Game.** Fig. 3 reports the  $SW$  when the allocation is performed in the centralized setting, both optimally and using our proposed heuristic. Instead, in Fig. 8, we consider the *distributed* case and we show the  $SW$  when MCs reach the unique Nash Equilibrium of the network selection game we presented in Sec. V. By comparing the value of the centralized optimal  $SW$  in Fig. 8a and 8b, we can state that in the distributed scenario we lose<sup>1</sup> up to 65%  $SW$  when  $N = 0.0005$  ( $10^6$  objects), and 60% when  $N = 0.0512$  ( $10^4$  objects), compared to the optimum (obtained with the ILP model (4)-(10)).

For the sake of brevity, we omit the results regarding the convergence speed of the best response dynamics for the network selection game. Indeed, in all the considered scenarios the game always reaches the equilibrium in less than 10 iterations.

<sup>1</sup>Please note that this evaluation is done with respect to the social welfare  $SW$ , whereas in Sec. V we computed the Price of Anarchy with respect to the network congestion only.

## VII. RELATED WORK

In this section, we survey related works. In particular Sec. VII-A reviews the ICN design principles; Sec. VII-B presents relevant incentive mechanisms for communication networks, while Sec. VII-C surveys proposals for mobile data offloading through WiFi.

### A. Information Centric Network Solutions

Information Centric Networks have been designed with the ambitious goal to provide a new networking paradigm that could better accommodate new performance, security, mobility and scalability requirements imposed on the Internet by its users. Several architectures for ICNs have been proposed in the literature and in ongoing research projects: DONA [23], CONET [24], PURSUIT [25], and NDN/CCN [26].

For the sake of simplicity, we specifically design our proposal for NDN/CCN (Named Data Networking, Content-Centric Networking), since a widely used and open implementation of this model is available [27]. Additionally, to the best of our knowledge, it is the proposal that has received most of the attention from the scientific community. However, we would like to point out the fact that our model can also be adapted to other ICN designs in a straightforward way, since the only requirement that needs to be enforced is the possibility to implement content caching on an access point.

Addressing the content rather than the location has the main advantage of improving the performance by making universal in-network caching, as well as multicast delivery, easily implementable in the network architecture [28]. On top of that, also clients mobility will enjoy positive benefits, as described by Tyson et al. in [29]. For this reason, it becomes vital to understand the effect of in-network caching in order to study the behavior of an ICN. In particular, in [19], Fricker et al. propose a model to compute the hit rate for a single and two-layer cache hierarchy, given a Zipf content popularity distribution. In [30], Psaras et al. studied the caching performance for a tree-like CCN topology, by means of representing the state of every router using Markov chains. Rosensweig et al. present in [31] a multi-cache approximation algorithm for a network of caches using the LRU replacement policy, that can be used to study the performance of general-topology cache networks.

Rather than merely studying the cache hit rate, in this work we consider the *economic benefits* that the content provider can experience. In particular, with our proposal the content provider will be able to jointly increase the number of mobile clients reached by his service, while opportunistically exploiting content caching to reduce the load on his infrastructure.

### B. Incentive Mechanisms in Communication Networks

*Incentive mechanisms* and *auction theory* have been used to design efficient allocation mechanisms in several network contexts. In particular, as described in [32], they are extremely appealing to model, for example, the problem of leasing underutilized spectrum to secondary users, tackled in cognitive radio networks (e.g: [33], [34], [35]).

Vickrey auctions are used in [32] to make the cognitive radio users compete to acquire the radio resources; incentives are paid to the primary users for sharing their licensed spectrum. In [36] Jia et al. formulate a revenue maximization auction mechanism for a primary license holder, using the Vickrey-Clarke-Groves (VCG) mechanism. In [37] the authors propose to use a knapsack-based auction model to foster the dynamic spectrum allocation to secondary wireless service providers to maximize revenue and spectrum usage. Dynamic spectrum leasing is also the focus of [38], where secondary service providers lease spectrum from brokers to provide network connectivity to secondary users. Besides these classical scenarios, auctions are becoming an even more interesting tool to model bandwidth allocation. Dai et al. in [39] present a collaborative caching auction system for wireless video streaming based on the VCG mechanism. Their proposal fosters the cooperation between cache servers by ensuring that every bidder declares his real private valuation for the auctioned resource, thus ensuring the *truthfulness* property.

In [40] Liu et al. propose a distributed power-allocation algorithm for wireless networks to let users collaboratively choose the transmission power they use. The work is presented as an auction mechanism, and the authors compute the optimal allocation strategy, although nor *individual rationality* nor *truthfulness* are analyzed or discussed. Finding a truthful mechanism with approximate social-welfare or revenue is instead the focus of [41], where the authors consider the dynamic spectrum access for both spatial and temporal dimensions. In a similar context, Wu et al. design in [42] an auction mechanism that ensures Pareto-optimal relay power allocation, competitive fairness among users, and convergence on the distributed implementation. Lastly, Mukherjee presents in [43] a work where a truthful, Pareto-optimal auction mechanism is proposed to handle energy management from a battery shared among multiple users.

Meanwhile, the migration to the novel paradigm of ICN will greatly modify the nature of economic interactions between different parties at the network-wide scale. In particular, Rajahalme et al. have thoroughly described in [44] the changes that will likely incur at the inter-domain point of view, when updating the infrastructure from a TCP/IP network to ICN. Pham et al. have modeled in [45] the economic interactions between the different actors of an Information Centric Network, using the framework of game

theory. Game theory is also used in [46] to propose an incentive scheme to foster cache cooperation and users' collaboration for multimedia streaming purposes. In particular, the authors tackle the problem as a resource allocation game in both the cooperative as well as the non-cooperative settings.

Unlike the surveyed literature, our approach is to tackle the mechanism design problem, while jointly taking into account the available bandwidth as well as the storage space offered by every access point owner. Given the fact that we study the performance of this mechanism for an ICN, the availability of caches not only reduces the backhaul capacity used at every access point, but it is also beneficial to the content provider himself, since it offloads its infrastructure.

### *C. Mobile Data Offloading Through WiFi*

Mobile data offloading through WiFi networks is frequently envisioned in the literature as an efficient technique to serve the ever increasing bandwidth requirements expressed by end-users with mobile devices. In particular, Lee et al. show in [47] that nowadays, WiFi connections offload up to 65% of the total mobile data traffic, mostly thanks to the broadband connectivity provided by residential access points.

The scenario in which access point owners offer their unexploited wireless connectivity to other users has attracted the attention of several companies such as FON [48], Wisher [49] and also Skype WiFi [50], [51]. While Skype WiFi is mostly targeting hotspots in public places such as hotels, restaurants, train stations and airports, FON and Wisher also consider residential users. Access point owners that choose to provide wireless connectivity usually gain the privilege of using the wireless connection provided by other users in the community, but sometimes they will be also remunerated for sharing their physical resources.

Security must be guaranteed for the practical application of WiFi sharing. Leroy et al. design in [52] SWISH, a mechanism used to guarantee the safe and secure operation of WiFi sharing. SWISH not only ensures anonymity of the visiting users, but can also enforce accounting for commercial purposes, while letting regulatory organizations check what type of actions roaming users perform when they are connected to access points owned by other users.

By applying on a global scale the WiFi sharing model, one can also envision the realization of Public Access WiFi Networks (PAWS). A feasibility study for PAWS is presented in [53]. The key findings discovered by the authors show that many domestic broadband connections have enough spare capacity to share the available WiFi connectivity; furthermore they also found out that one such type of service is going to be exploited in many different ways by users, and its adoption will likely be very relevant.

Similar conclusions were also envisioned by Landa-Torres et al. in [54], where the authors focus instead on the network planning problem, to maximize the overall signal coverage, under a budget-constrained condition.

Lastly, the problem of incentive mechanisms to remunerate AP owners is presented in [55], [56]. The focus of [55] is to consider the scenario in which mobile user equipments behave as wireless access point and provide network connectivity through 3G/4G connections. While in [56], the authors formulate a double auction for a fixed network.

The surveyed literature shows that there is a clear need to consider the scenario where many access point owners lease their unexploited physical resources through WiFi. However, the novelty of our contribution is to consider a system model that focuses on the content distribution problem. In particular we consider the case in which AP owners jointly offer network connectivity as well as caching storage. We strongly believe that adequate incentives should be provided to AP owners in exchange of the resources they lease, moreover the presence of the distributed caches will benefit both roaming users, as well as the AP owners, by reducing the congestion on the backhaul Internet connection, and improving the QoE. Our belief is supported by the effort that equipment manufacturers and mobile operators are investing on the analysis of caching at different layers of the network infrastructure as a means to meet the tight constraints of 5G networks [57], [58].

## VIII. CONCLUSION

This paper proposed a novel mechanism for Information Centric Networks to stimulate wireless access point owners to jointly lease their unused bandwidth and storage space to a content provider, under the partial coverage constraints. By jointly leasing the spare bandwidth and caching storage offered by access points owners, the content provider can increase the number of mobile clients reached by his service, while offloading his distribution infrastructure by replicating the provided contents closer to the users' location.

We provided an algorithm to determine the optimal allocation of mobile clients to access points that ensures the *individual rationality* as well as the *truthfulness* properties by forcing the AP owners to bid the real valuation for the offered resources. We showed that the optimal allocation problem is NP-hard, and provided three efficient alternatives of a greedy algorithm that compute a sub-optimal solution of the problem in polynomial time, while still guaranteeing the individual rationality as well as the truthfulness properties.

We extended our contribution by comparing the result of the centralized allocation to the distributed

case where the mobile clients are free to autonomously select the access point they prefer to use, taking into account real channel properties and the MAC behavior of the 802.11 technology. Specifically, we modeled the interactions between different users as a non-cooperative network selection game, considering as utility function the achievable throughput in loaded conditions. Furthermore, we proved that the game exhibits desirable properties: 1) existence and convergence to the pure Nash equilibrium, since it is *weighted potential*; 2) uniqueness of one such Nash equilibrium and, 3) we also provide a lower bound on the price of anarchy.

Finally, numerical results demonstrated that the performance of the greedy algorithms well approaches the results obtained by the optimal solution. In particular, in the worst case we considered, the heuristics computed a solution whose social welfare was only 16% lower than the optimal counterpart, while being several order of magnitudes faster than the optimal allocation algorithm.

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