

# Sofic (and Effective) Subshifts on f.g. Groups

## Lecture 4: Effective subshifts.

Nathalie Aubrun

LIP, ENS de Lyon, CNRS

December 19, 2014

# Introduction

Mini-course divided into 4 lectures

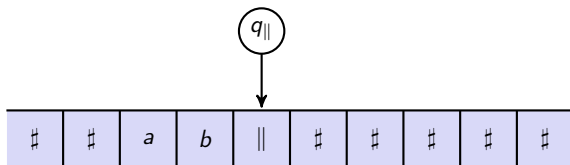
- ▶ Lecture 1: SD on f.g. groups: a computational approach.
- ▶ Lecture 2: Domino Problem, Part I: Wang tiles.
- ▶ Lecture 3: Domino Problem, Part II: f.g. groups.
- ▶ **Lecture 4: Effective subshifts.**

# Lecture 4: Effective subshifts.

- 1  $\mathbb{Z}$ -effective subshifts
  - Why effective subshifts ?
  - $\mathbb{Z}$ -effectiveness
  - Limitations
- 2 A stronger notion of effectiveness
  - G-machines
  - G-effectiveness
  - Effectiveness and other classes of subshifts
- 3 G-Effective vs Sofic subshifts
  - Some groups with strictly G-effective subshifts
  - What about the hyperbolic plane ?

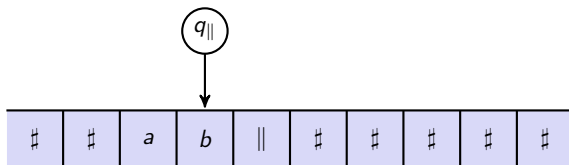
# Reminder: Turing machines

A **Turing machine** is



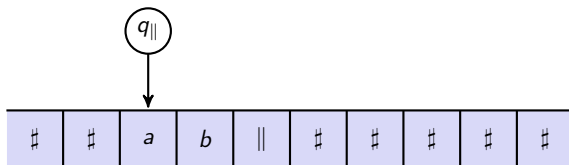
# Reminder: Turing machines

A **Turing machine** is



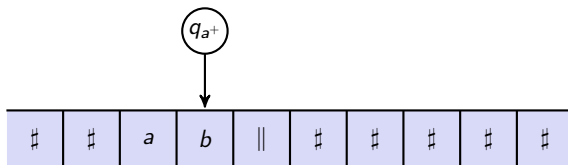
# Reminder: Turing machines

A **Turing machine** is



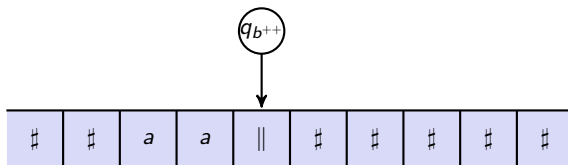
# Reminder: Turing machines

A **Turing machine** is



# Reminder: Turing machines

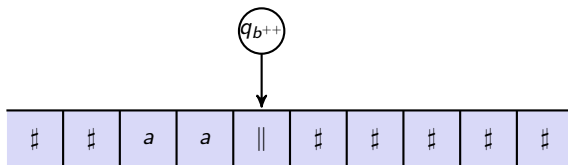
A **Turing machine** is





# Reminder: Turing machines

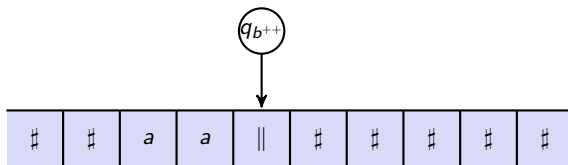
A **Turing machine** is



A finite word  $w \in A^*$  is **accepted** (resp. **rejected**) by a Turing machine  $\mathcal{M}$  if starting from the tape  $\dots \# \cdot w \# \dots$ , the machine  $\mathcal{M}$  reaches an accepting (resp. rejecting) state in finite time.

# Reminder: Turing machines

A **Turing machine** is

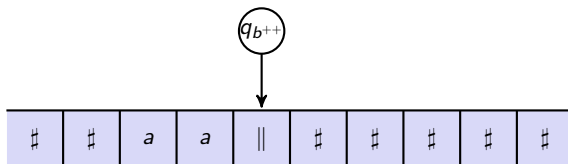


A finite word  $w \in A^*$  is **accepted** (resp. **rejected**) by a Turing machine  $\mathcal{M}$  if starting from the tape  $\dots \# \cdot w \# \dots$ , the machine  $\mathcal{M}$  reaches an accepting (resp. rejecting) state in finite time.

A set of finite words  $\mathcal{L} \subset A^*$  is **decidable** if there exists a TM that accepts  $w$  if  $w \in \mathcal{L}$  and rejects  $w$  if  $w \notin \mathcal{L}$ .

# Reminder: Turing machines

A **Turing machine** is



A finite word  $w \in A^*$  is **accepted** (resp. **rejected**) by a Turing machine  $\mathcal{M}$  if starting from the tape  $\dots\# \cdot w\#\dots$ , the machine  $\mathcal{M}$  reaches an accepting (resp. rejecting) state in finite time.

A set of finite words  $\mathcal{L} \subset A^*$  is **decidable** if there exists a TM that accepts  $w$  if  $w \in \mathcal{L}$  and rejects  $w$  if  $w \notin \mathcal{L}$ .

A set of finite words  $\mathcal{L} \subset A^*$  is **recognizable** if there exists a TM that accepts  $w$  iff  $w \in \mathcal{L}$ .

# Effective subshifts on $\mathbb{Z}$

## Definition

A  $\mathbb{Z}$ -subshift is **effective** if there exists a recognizable set of forbidden patterns that defines it.

**Remark:** The set of forbidden patterns  $F$  can be chosen to be maximal.

## Examples:

- ▶ Sofic subshifts are effective.
- ▶ The sets of configurations on  $\{a, b, c\}$  made of  $a^n b^n$ -blocks inside a sea of  $c$ 's is an effective subshift.

**Fact:** There exist subshifts which are not effective (cardinality argument).

## Proposition

A  $\mathbb{Z}$ -subshift is effective iff there exists a decidable set of forbidden patterns that defines it.

# Why effective subshifts ?

- ▶ Chosmky hierarchy for formal languages.
- ▶  $\mathbb{Z}$ -effective subshifts naturally appear as **projective subdynamics** of sofic  $\mathbb{Z}^2$ -subshifts.

# Projective Subdynamics

Initially introduced by Johnson, Kass and Madden in 2007.

**Idea:** consider subsystems of lower dimension.

## Definition

Let  $X \subseteq A^{\mathbb{Z}^d}$  be a  $\mathbb{Z}^d$  subshift and  $L \lesssim \mathbb{Z}^d$  a  $k$ -dimensional sub-lattice ( $1 \leq k < d$ ). The  **$L$ -projective subdynamics of  $X$**  is

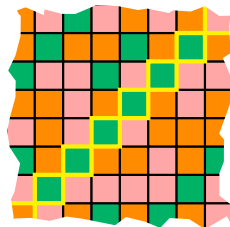
$$P_L(X) := \{x|_L : x \in X\} \subseteq A^L.$$

- ▶  $(P_L(X), \sigma_{L \times P_L(X)})$  is a  $\mathbb{Z}^k$ -subshift.
- ▶  $P_L(X)$ : globally admissible configurations of shape  $L$  in  $X$ .
- ▶ Loss of information about the original subshift.

# Example of projective subdynamics

$$L = \{(i, j) \in \mathbb{Z}^2 : i = j\}$$

$x =$



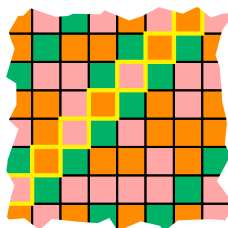
$$P_L(x) = \dots$$

$$\dots$$

# Example of projective subdynamics

$$L = \{(i, j) \in \mathbb{Z}^2 : i = j\}$$

$x =$



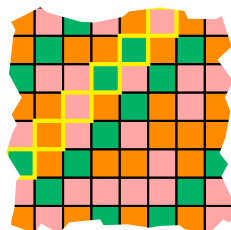
$$P_L(\sigma^{(1,1)}(x)) = \dots \text{ [row of colored squares] } \dots$$



# Example of projective subdynamics

$$L = \{(i, j) \in \mathbb{Z}^2 : i = j\}$$

$x =$

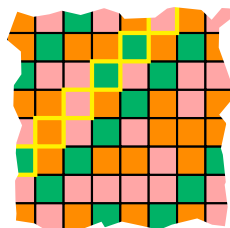


$$P_L(\sigma^{(2,2)}(x)) = \dots \text{ [grid of 5 squares: green, orange, pink, green, pink] } \dots$$

# Example of projective subdynamics

$$L = \{(i, j) \in \mathbb{Z}^2 : i = j\}$$

$x =$

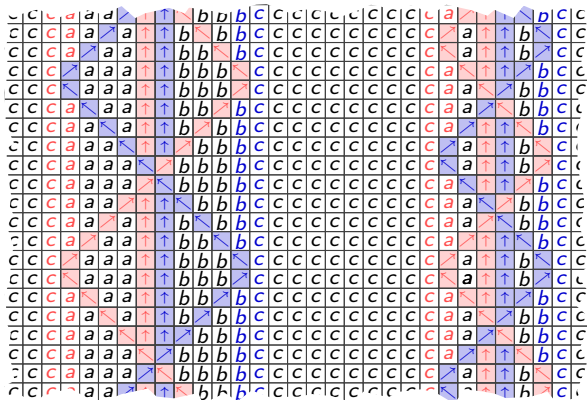


$$P_L(\sigma^{(2,2)}(x)) = \dots \text{ [orange] [pink] [green] [green] [pink] } \dots$$

In the sequel, we will concentrate on  $P_{\vec{e}_1\mathbb{Z}}(X)$  (PS along the horizontal direction).

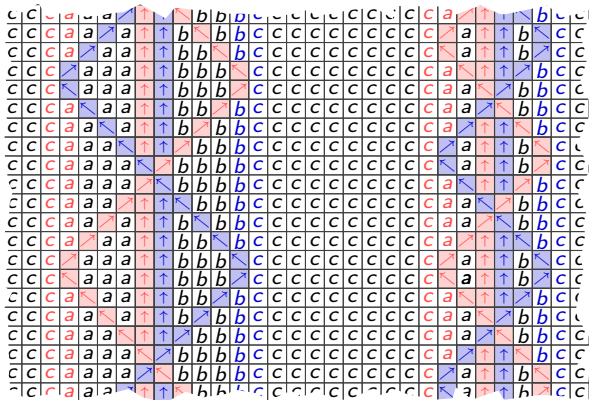
# Stability under projective subdynamics

**Fact:** Sofic subshifts are not closed under PS.



# Stability under projective subdynamics

**Fact:** Sofic subshifts are not closed under PS.



## Proposition

The class of effective subshifts is stable under projective subdynamics.

# Motivation: Hochman's result

## Theorem (Hochman 2008)

Any effective  $\mathbb{Z}$ -subshift may be obtained as the projective subdynamics of a sofic  $\mathbb{Z}^3$ -subshift.

The proof is based on

- ▶ the use of *Turing machines as SFT*,
- ▶ *substitutive subshifts* to construct computation zones in 3D.

# Motivation: Hochman's result

## Theorem (Hochman 2008)

Any effective  $\mathbb{Z}$ -subshift may be obtained as the projective subdynamics of a sofic  $\mathbb{Z}^3$ -subshift.

The proof is based on

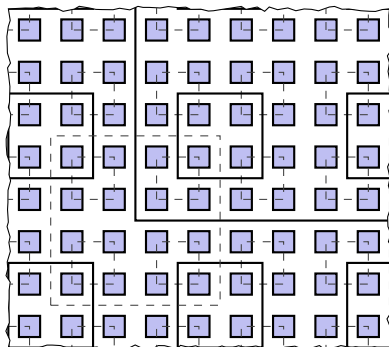
- ▶ the use of *Turing machines as SFT*,
- ▶ *substitutive subshifts* to construct computation zones in 3D.

## Theorem (A.& Sablik 2013, Durand, Romaschenko & Shen 2012)

Any effective  $\mathbb{Z}$ -subshift may be obtained as the projective subdynamics of a sofic  $\mathbb{Z}^2$ -subshift.

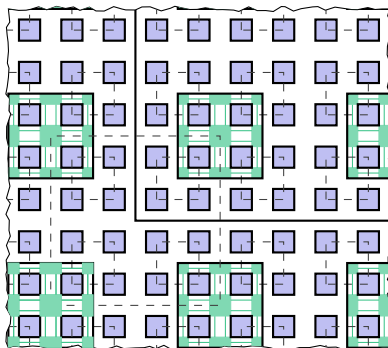
# Hochman's result: idea of the proof

What about Robinson tiling ?



# Hochman's result: idea of the proof

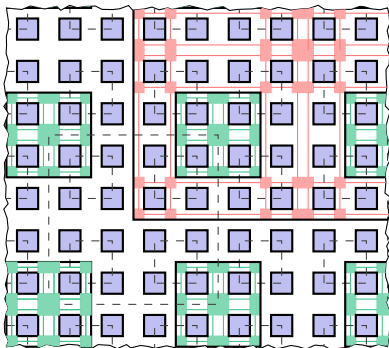
What about Robinson tiling ?





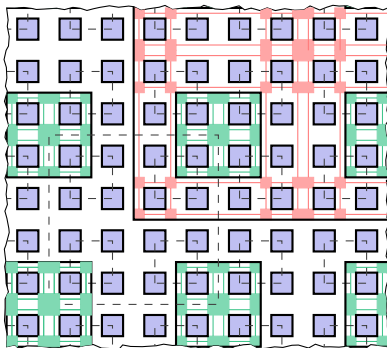
# Hochman's result: idea of the proof

What about Robinson tiling ?



# Hochman's result: idea of the proof

What about Robinson tiling ?



**But** words produced will be disconnected !

- ▶ Go to dimension 3 to define rectangular computations zones.
- ▶ Use a hierarchy of Turing machines to compare disconnected words with the content of the tape.

# How to go further ?

## Question 1

Does there exist a f.g. group such that **Effective = Sofic** ?

- ▶ Candidate: group containing a structure similar to  $\mathbb{H}^2$  ?

# How to go further ?

## Question 1

Does there exist a f.g. group such that **Effective = Sofic** ?

- ▶ Candidate: group containing a structure similar to  $\mathbb{H}^2$  ?

## Question 2

Which groups admit a **Hochman like result** ?

- ▶ Which  $G$  s.t. effective  $G$ -subshifts can be embedded inside sofic  $G \times \mathbb{Z}$ -subshifts ?
- ▶ Which  $G$  s.t. effective  $G$ -subshifts can be embedded inside sofic  $G \times \mathbb{Z}^2$ -subshifts ? (easier ?)

# How to go further ?

## Question 1

Does there exist a f.g. group such that **Effective = Sofic** ?

- ▶ Candidate: group containing a structure similar to  $\mathbb{H}^2$  ?

## Question 2

Which groups admit a **Hochman like result** ?

- ▶ Which  $G$  s.t. effective  $G$ -subshifts can be embedded inside sofic  $G \times \mathbb{Z}$ -subshifts ?
- ▶ Which  $G$  s.t. effective  $G$ -subshifts can be embedded inside sofic  $G \times \mathbb{Z}^2$ -subshifts ? (easier ?)

⇒ **Define a notion of effectiveness for  $G$ -subshifts.**

# Z-effectiveness

Let  $G$  be a f.g. group. How to define effectiveness for  $G$ -subshifts ?

# Z-effectiveness

Let  $G$  be a f.g. group. How to define effectiveness for  $G$ -subshifts ?

**First idea:** Use Turing machines.

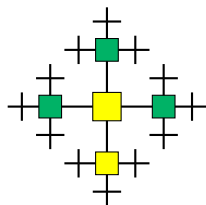
**Problem:** Turing machines take *words* as input and not *patterns* on  $G$ .

**Tentative:** Encode patterns inside words.

# Pattern codings

How to encode a **pattern**  $p \in A_G^*$  on  $G$  inside a **word**  $w_p \in A^*$  ?

Case of  $\mathbb{F}_2$



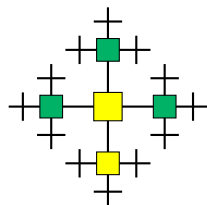
$(1_{\mathbb{F}_2}, \text{yellow}), (a, \text{green}), (b, \text{green}), (a^{-1}, \text{green}), (b^{-1}, \text{yellow})$



# Pattern codings

How to encode a **pattern**  $p \in A_G^*$  on  $G$  inside a **word**  $w_p \in A^*$  ?

Case of  $\mathbb{F}_2$



$(1_{\mathbb{F}_2}, \text{yellow}), (a, \text{green}), (b, \text{green}), (a^{-1}, \text{green}), (b^{-1}, \text{yellow})$

## Definition

Let  $S \subset G$  be a finite generator. A **pattern coding**  $c$  is a finite set of tuples  $c = (w_i, a_i)_{1 \leq i \leq n}$  where  $w_i \in (S \cup S^{-1})^*$  and  $a_i \in A$ .

# Consistent pattern codings

Suppose now you are given a word on  $A \times (S \cup S^{-1})^*$ , does it code a pattern on  $G$  ?

**Examples:** On  $BS(1,2) = \langle a, b \mid ab = ba^2 \rangle$ ,

- ▶  $\begin{matrix} (\epsilon, 0) & (b, 1) & (a, 1) \\ (ab, 0) & (ba^2, 0) & (ba, 1) \end{matrix}$  corresponds to a pattern,
- ▶  $\begin{matrix} (\epsilon, 0) & (a^2, 1) & (bab^{-1}a, 1) \\ (a, 1) & (ba, 1) & (abab^{-1}, 0) \end{matrix}$  does not ! ( $abab^{-1}$  and  $bab^{-1}a$ )

# Consistent pattern codings

Suppose now you are given a word on  $A \times (S \cup S^{-1})^*$ , does it code a pattern on  $G$  ?

**Examples:** On  $BS(1,2) = \langle a, b \mid ab = ba^2 \rangle$ ,

- ▶  $\begin{matrix} (\epsilon, 0) & (b, 1) & (a, 1) \\ (ab, 0) & (ba^2, 0) & (ba, 1) \end{matrix}$  corresponds to a pattern,
- ▶  $\begin{matrix} (\epsilon, 0) & (a^2, 1) & (bab^{-1}a, 1) \\ (a, 1) & (ba, 1) & (abab^{-1}, 0) \end{matrix}$  does not ! ( $abab^{-1}$  and  $bab^{-1}a$ )

## Definition

A pattern coding  $c$  is **consistent** if for every words  $w_i, w_j$  that represent the same element in  $G$  one has  $a_i = a_j$ .

If  $c$  is a consistent pattern coding, we define the pattern  $\Pi(c) \in A_G^*$  such that  $\text{supp}(\Pi(c)) = \bigcup_{i \in I} w_i$  and  $\Pi(c)_{w_i} = a_i$ .

# $\mathbb{Z}$ -effective subshifts

Let  $G$  be a f.g. group and  $S \subset G$  a finite generating set.

## Definition

A  $G$ -subshift  $X \subset A^G$  is  **$\mathbb{Z}$ -effective** if there exists  $F \subset A_G^*$  such that  $X = X_F$  and a Turing machine  $\mathcal{M}$  that accepts a pattern coding  $c$  if and only if it is either inconsistent or  $\Pi(c) \in F$ .

# $\mathbb{Z}$ -effective subshifts

Let  $G$  be a f.g. group and  $S \subset G$  a finite generating set.

## Definition

A  $G$ -subshift  $X \subset A^G$  is  **$\mathbb{Z}$ -effective** if there exists  $F \subset A_G^*$  such that  $X = X_F$  and a Turing machine  $\mathcal{M}$  that accepts a pattern coding  $c$  if and only if it is either inconsistent or  $\Pi(c) \in F$ .

**Question:** Is it always possible to recognize if a pattern coding is inconsistent?

# Limitations of $\mathbb{Z}$ -effectiveness: recursively presented groups.

**Question:** Is it always possible to recognize if a pattern coding is inconsistent?

## Theorem

Let  $|A| \geq 2$  then the following are equivalent:

- ▶  $G$  is recursively presented.
- ▶ The  $WP(G)$  is recognizable.
- ▶ The set of inconsistent patterns codings is recognizable.

# Limitations of $\mathbb{Z}$ -effectiveness: decidable Word Problem.

**Remark:** Even if  $G$  is finitely presented, there may be simple  $G$ -subshifts which are not  $\mathbb{Z}$ -effective !

# Limitations of $\mathbb{Z}$ -effectiveness: decidable Word Problem.

**Remark:** Even if  $G$  is finitely presented, there may be simple  $G$ -subshifts which are not  $\mathbb{Z}$ -effective !

## Theorem

*The one-or-less subshift*

$$X_{\leq 1} := \{x \in \{0, 1\}^G \mid |\{g \in G : x_g = 1\}| \leq 1\}$$

*is not  $\mathbb{Z}$ -effective if  $G$  has undecidable WP.*



# Lecture 4: Effective subshifts.

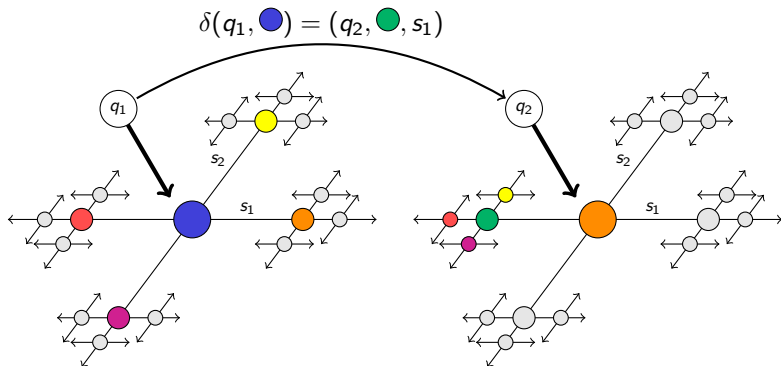
- 1  $\mathbb{Z}$ -effective subshifts
  - Why effective subshifts ?
  - $\mathbb{Z}$ -effectiveness
  - Limitations
- 2 A stronger notion of effectiveness
  - G-machines
  - G-effectiveness
  - Effectiveness and other classes of subshifts
- 3 G-Effective vs Sofic subshifts
  - Some groups with strictly G-effective subshifts
  - What about the hyperbolic plane ?

# G-machines

## Definition

A **G-machine** is a Turing machine whose tape has been replaced by the group  $G$ . The transition function is

$\delta : Q \times \Sigma \rightarrow Q \times \Sigma \times (S \cup S^{-1} \cup \{1_G\})$  where  $S$  is a finite set of generators of  $G$ .



# G-machines as computational model

Similarly to TM, we define notions of **G-decidable** and **G-recognizable** languages of patterns  $\mathcal{L} \subset A_G^*$ .

## Proposition

Let  $\mathcal{L}$  be a language that can be decided by a multiple head  $G$ -machine. Then  $\mathcal{L}$  can be decided by a  $G$ -machine.

# G-effectiveness

## Definition

A  $G$ -subshift  $X \subset A^G$  is **G-effective** if there exists a set of forbidden patterns  $F \subset A_G^*$  such that  $X = X_F$  and  $F$  is  $G$ -recognizable.

**Example:** The one-or-less subshift is  $G$ -effective.

# G-effectiveness

## Definition

A  $G$ -subshift  $X \subset A^G$  is **G-effective** if there exists a set of forbidden patterns  $F \subset A_G^*$  such that  $X = X_F$  and  $F$  is  $G$ -recognizable.

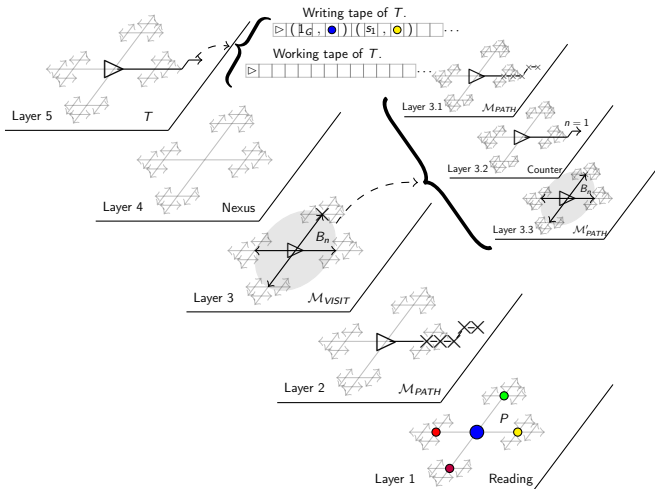
**Example:** The one-or-less subshift is  $G$ -effective.

## Theorem

Let  $G$  be an infinite, finitely generated group, then every  $\mathbb{Z}$ -effective subshift is  $G$ -effective.

- ▶ Initiate a backtracking over  $G$  in order to mark a one-sided infinite path.
- ▶ Use the path to simulate one-sided Turing machines.

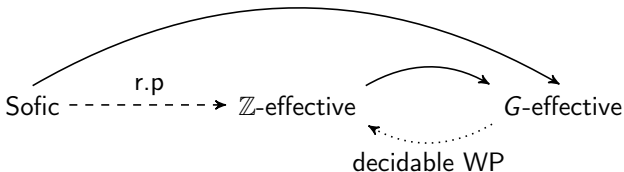
# Z-effective subshifts are G-effective



# Effectiveness and other classes of subshifts

## Theorem

- ▶ If  $G$  has decidable WP then every  $G$ -effective subshift is  $\mathbb{Z}$ -effective.
- ▶ The class of  $G$ -effective subshifts is closed under factors.
- ▶ Every  $G$ -SFT is  $G$ -effective.
- ▶ Every Sofic  $G$ -subshift is  $G$ -effective.



# Lecture 4: Effective subshifts.

- 1  $\mathbb{Z}$ -effective subshifts
  - Why effective subshifts ?
  - $\mathbb{Z}$ -effectiveness
  - Limitations
- 2 A stronger notion of effectiveness
  - $G$ -machines
  - $G$ -effectiveness
  - Effectiveness and other classes of subshifts
- 3  $G$ -Effective vs Sofic subshifts
  - Some groups with strictly  $G$ -effective subshifts
  - What about the hyperbolic plane ?



# Groups with strictly effective subshifts

## Proposition

If  $G$  is a recursively presented group with undecidable WP there exists  $G$ -effective subshifts which are not sofic.

**Proof:**  $X_{\leq 1}$ .

# Groups with stricly effective subshifts

## Proposition

If  $G$  is a recursively presented group with undecidable WP there exists  $G$ -effective subshifts which are not sofic.

**Proof:**  $X_{\leq 1}$ .

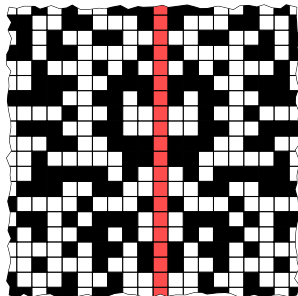
**Question:** Is it possible to construct  $G$ -effective subshifts which are not sofic in big classes of groups?

# Mirror subshift in $\mathbb{Z}^2$

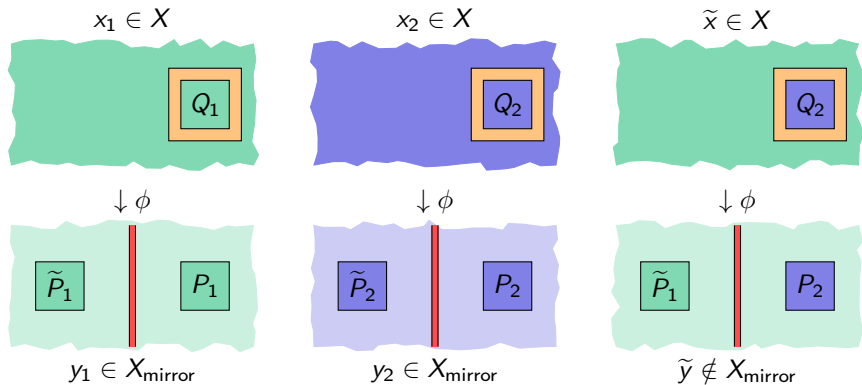
Let  $A = \{ \square, \blacksquare, \color{red}\square \}$  and  $X_{\text{mirror}} = X_{F_{\text{mirror}}} \subset A^{\mathbb{Z}^2}$  where

$$F_{\text{mirror}} = \left\{ \begin{array}{|c|} \hline \square \\ \hline \color{red}\square \\ \hline \end{array}, \begin{array}{|c|} \hline \blacksquare \\ \hline \color{red}\square \\ \hline \end{array}, \begin{array}{|c|} \hline \color{red}\square \\ \hline \square \\ \hline \end{array}, \begin{array}{|c|} \hline \color{red}\square \\ \hline \blacksquare \\ \hline \end{array} \right\} \cup \bigcup_{w \in A^*} \{ \color{red}\square w \color{red}\square, \blacksquare w \color{red}\square \tilde{w} \square, \square w \color{red}\square \tilde{w} \blacksquare \}$$

where  $\tilde{w}$  denotes the mirror image of the word  $w$ .



# The mirror subshift is not sofic



# Amenable groups

Key ingredients in the previous proof

- ▶ A  $\mathbb{Z}^2$ -effective subshift  $X$  with highly non-local conditions.
- ▶ The existence of an increasing sequence of finite sets whose border grows slower than the sets themselves.

# Amenable groups

Key ingredients in the previous proof

- ▶ A  $\mathbb{Z}^2$ -effective subshift  $X$  with highly non-local conditions.
- ▶ The existence of an increasing sequence of finite sets whose border grows slower than the sets themselves.

## Theorem

If  $G$  is an amenable f.g. group, then there exist  $G$ -effective subshifts which are not sofic.

**Proof:** Ball mimic subshift ([S. Barbieri's poster](#))

# Groups with more than two ends

## Theorem

If  $G$  is a f.g. group where  $e(G) \geq 2$ , then there exist  $G$ -effective subshifts which are not sofic.

**Proof:** Mimic subshift (S. Barbieri's poster)

# What about the hyperbolic plane ?

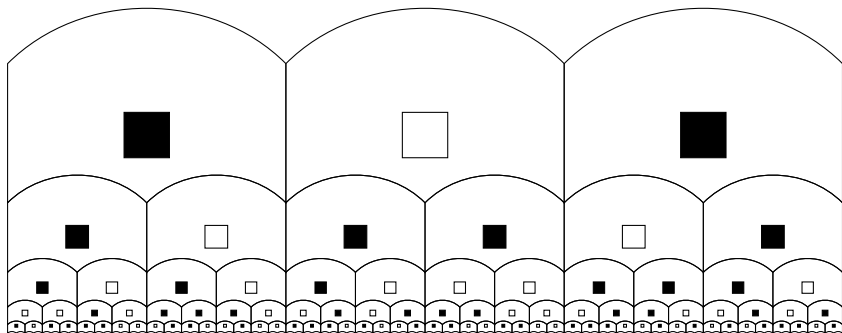
## Question

Can we construct a f.g. group s.t. all  $G$ -effective subshifts are sofic?



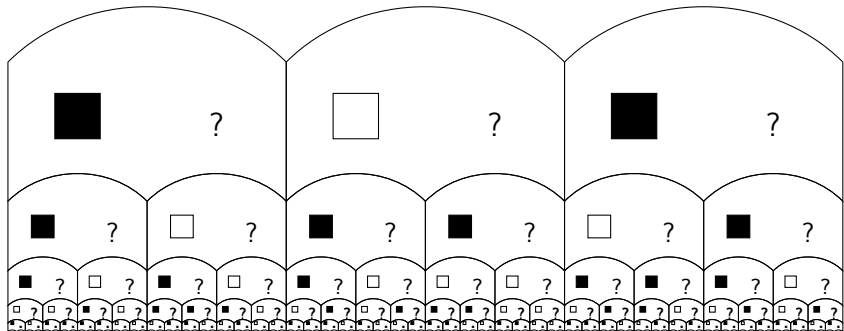
# Information Compression in $\mathbb{H}^2$ (I)

Start with a configuration in  $\{\blacksquare, \square\}^{\mathbb{H}^2}$



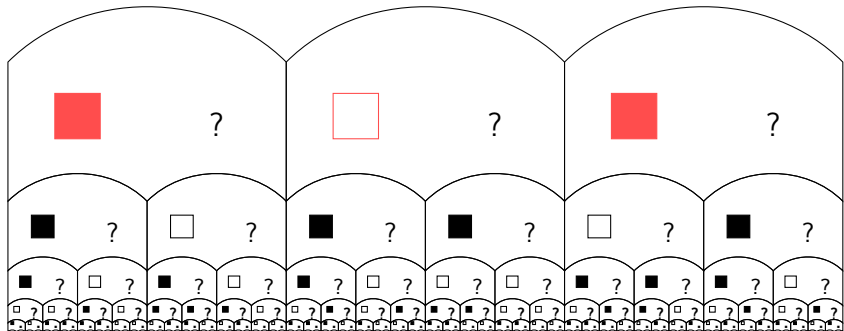
# Information Compression in $\mathbb{H}^2$ (I)

Start with a configuration in  $\{\blacksquare, \square\}^{\mathbb{H}^2}$



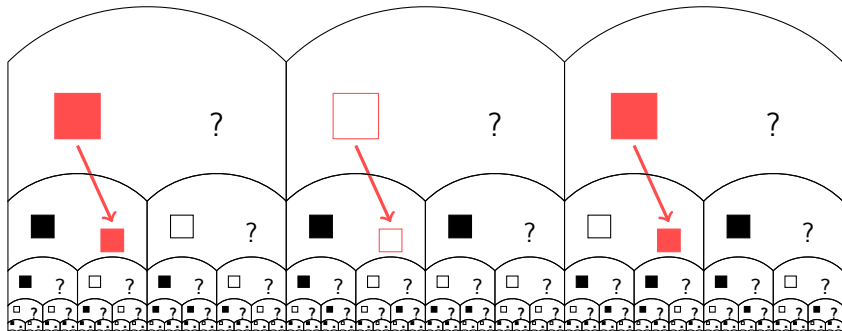
# Information Compression in $\mathbb{H}^2$ (I)

Start with a configuration in  $\{\blacksquare, \square\}^{\mathbb{H}^2}$



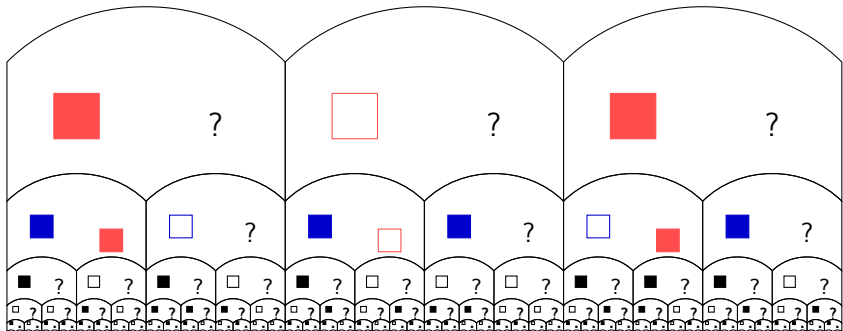
# Information Compression in $\mathbb{H}^2$ (I)

Start with a configuration in  $\{\blacksquare, \square\}^{\mathbb{H}^2}$



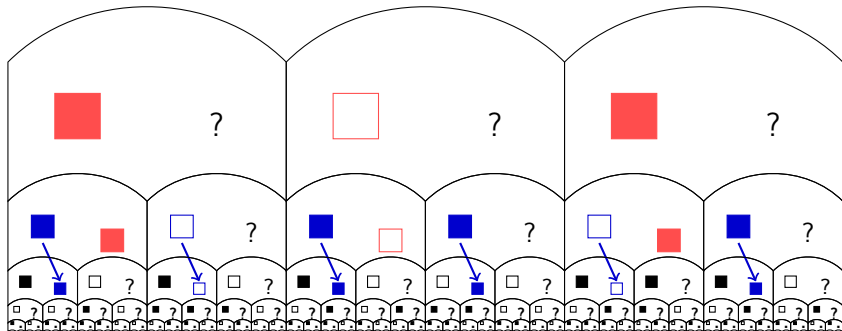
# Information Compression in $\mathbb{H}^2$ (I)

Start with a configuration in  $\{\blacksquare, \square\}^{\mathbb{H}^2}$



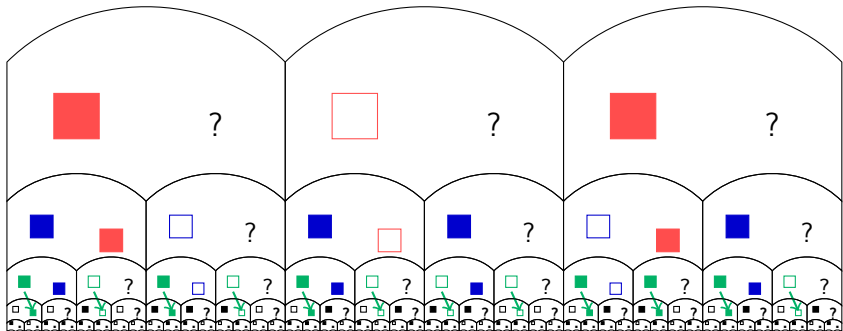
# Information Compression in $\mathbb{H}^2$ (I)

Start with a configuration in  $\{\blacksquare, \square\}^{\mathbb{H}^2}$



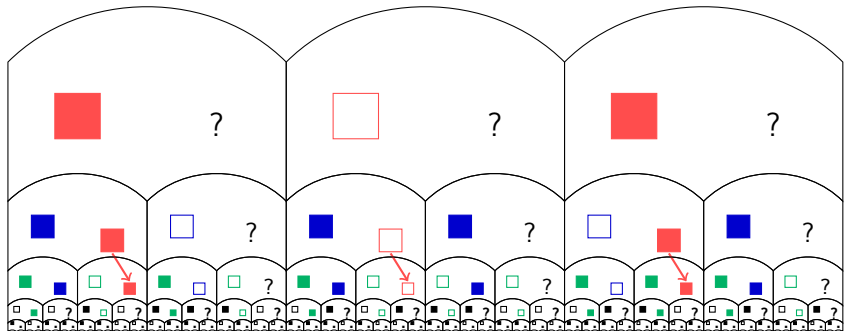
# Information Compression in $\mathbb{H}^2$ (I)

Start with a configuration in  $\{\blacksquare, \square\}^{\mathbb{H}^2}$



# Information Compression in $\mathbb{H}^2$ (I)

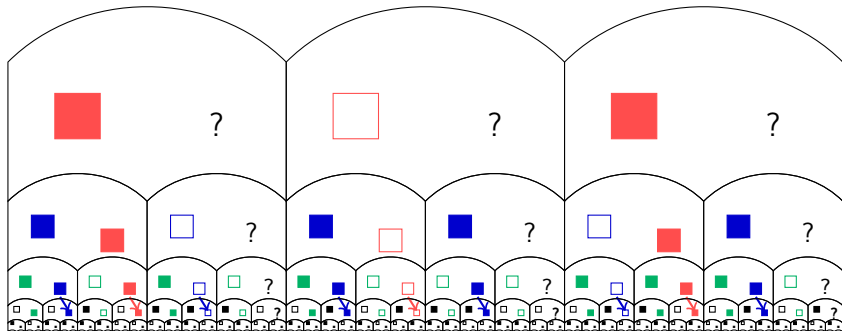
Start with a configuration in  $\{\blacksquare, \square\}^{\mathbb{H}^2}$





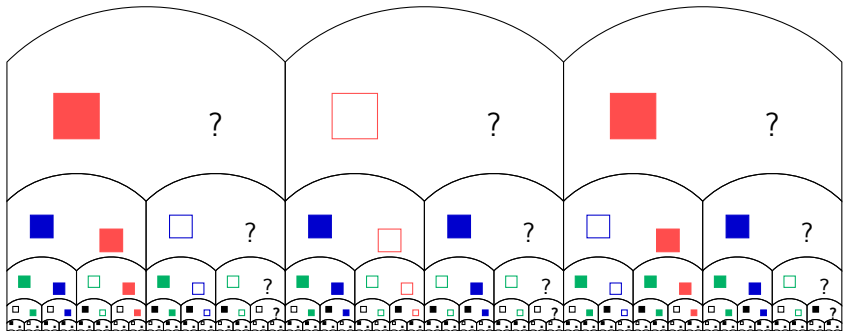
# Information Compression in $\mathbb{H}^2$ (I)

Start with a configuration in  $\{\blacksquare, \square\}^{\mathbb{H}^2}$



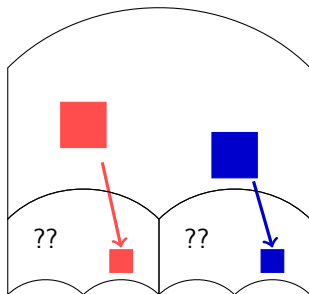
# Information Compression in $\mathbb{H}^2$ (I)

Start with a configuration in  $\{\blacksquare, \square\}^{\mathbb{H}^2}$



# Information Compression in $\mathbb{H}^2$ (II)

This coding can be imposed by local rules of the form



We thus add **finite type** constraints which ensure that

- ▶ for every row, the  $k^{\text{th}}$  row above is coded every  $2^k$  ;
- ▶ every row codes its upper half-plane.

## Proposition

If  $\mathbf{X}$  is an SFT (resp. sofic subshift, effective subshift), then  $\Phi(\mathbf{X})$  is an SFT (resp. sofic subshift, effective subshift).

# Groups with a dyadic encoding ?

With dyadic encoding, patterns can be *replaced* by words.

Can this encoding be used to get rid of the *extra dimension(s)* needed in results for effective  $\mathbb{Z}$ -subshifts ?

Examples of groups with dyadic encoding ?

- ▶ Natural candidate: Baumslag-Solitar groups  $BS(1, n)$ ...
- ▶ ...but (un)fortunately they are amenable.

# Conclusion

- ▶ Two notions of effectiveness for  $G$ -subshifts, that coincide iff  $G$  has decidable WP
- ▶ Are these two notions always weaker than soficness ?
- ▶ Find groups that admit a *Hochman like theorem* ?

Thank you for your attention !!