

An Introduction to Matroids & Greedy in Approximation Algorithms

(Julià Mestres, ESA 2006)

Subset systems



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- Let E be a finite set. Let \mathcal{L} be a **non-empty** family of subsets of E (independent sets).



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[hereditary property]



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- A (positive) weight function w defined on E induces a weight function defined on \mathcal{L} :

$$w(X) = \sum_{e \in X} w(e)$$



Picking a heaviest independent set

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- Alg. Greedy:
 $\text{SOL} \leftarrow \emptyset$
 for each $e \in E$ in non-increasing order of $w(e)$
 if $\text{SOL} + e \in \mathcal{L}$ **then** $\text{SOL} \leftarrow \text{SOL} + e$
 return SOL



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 if $\text{SOL} + e \in \mathcal{L}$ **then** $\text{SOL} \leftarrow \text{SOL} + e$
return SOL
- **Thm.** Greedy is optimal for any weight function on (E, \mathcal{L}) iff (E, \mathcal{L}) is a matroid.
(Rado-Edmonds)



What on earth is a matroid?

- Def. A subset system (E, \mathcal{L}) is a **matroid** if:

$$\forall A \in \mathcal{L}, \forall B \in \mathcal{L} \text{ with } |A| < |B|,$$

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[augmentation property]



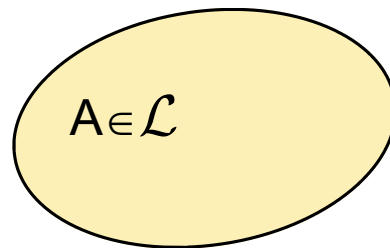
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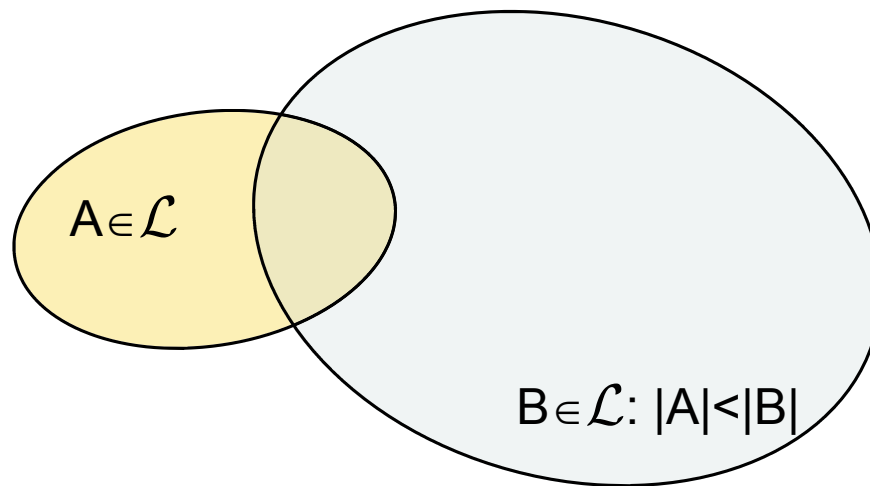
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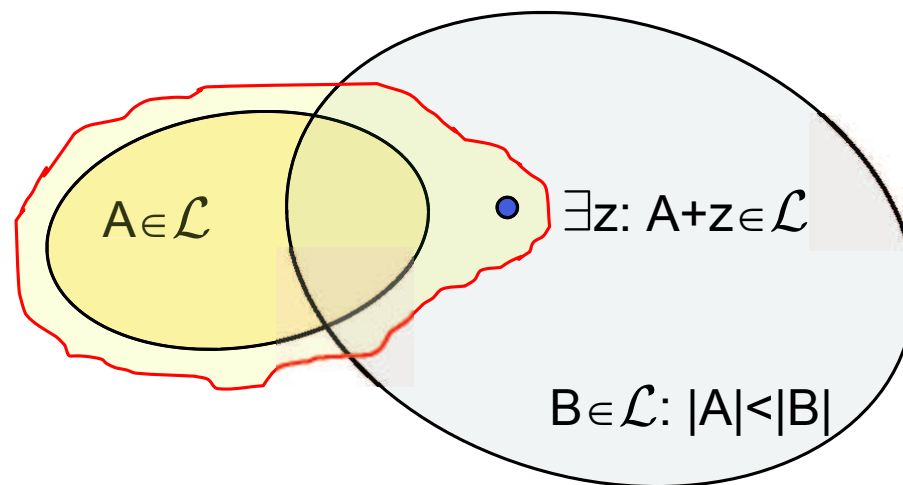
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Matroid examples

- Ex. 1 Subsets of at most k elements.
 - $k \in \mathbb{N}$.
 - E : finite set.
 - $\mathcal{L} = \{X \subseteq E : |X| \leq k\}$.



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non-empty:

$$|\emptyset| \leq k \Rightarrow \emptyset \in \mathcal{L}$$



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hereditary:

if $A \in \mathcal{L}$ and $A' \subseteq A$, then:

$$|A'| \leq |A| \leq k \Rightarrow A' \in \mathcal{L}$$



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augmentation:

if $|A| < |B|$, then for arbitrary $z \in B \setminus A$:

$$|A + z| = |A| + 1 \leq |B| - 1 + 1 \leq k$$

thus, $|A + z| \in \mathcal{L}$



Matroid examples

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- Cor. We can find a heaviest subset of k elements using the Greedy algorithm.



Matroid examples (cont'd)

■ Ex. 2 Column matroids.

- \mathbf{A} : matrix with elements from a field.
- $E = \{\vec{x} : \vec{x} \text{ is a column of } \mathbf{A}\}$.
- $\mathcal{L} = \{X \subseteq E : X \text{ is linearly independent}\}$.



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non-empty:

the empty set is vacuously linearly independent



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hereditary:

linear dependency cannot be introduced by removing vectors



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augmentation:

if $\forall z \in B \setminus A, A + z \notin \mathcal{L}$, then each vector of B is linearly dependent on the vectors of A
which implies $|B| \leq |A|$



Matroid examples (cont'd)

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- ## ■ Cor.
- We can find a heaviest base among the vectors of \mathbf{A} using the Greedy algorithm.



Matroid examples (cont'd)

■ Ex. 3 Cycle/Graphic matroids.

- $G = (V, E)$: undirected graph.
- E = edge set of G .
- $\mathcal{L} = \{X \subseteq E : G_X = (V, X) \text{ is a forest}\}.$



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non-empty:

$G_\emptyset = (V, \emptyset)$ is a forest



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hereditary:

any subset of a forest is a forest



Matroid examples (cont'd)

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augmentation:

if $|A| < |B|$, then

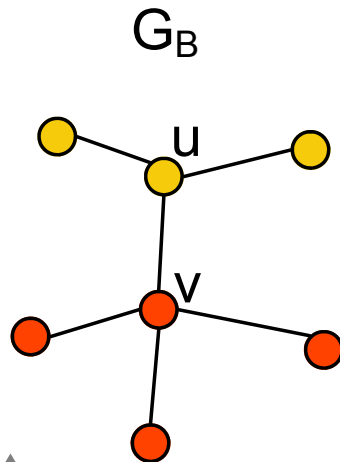
$\# \text{trees in } G_A > \# \text{trees in } G_B$

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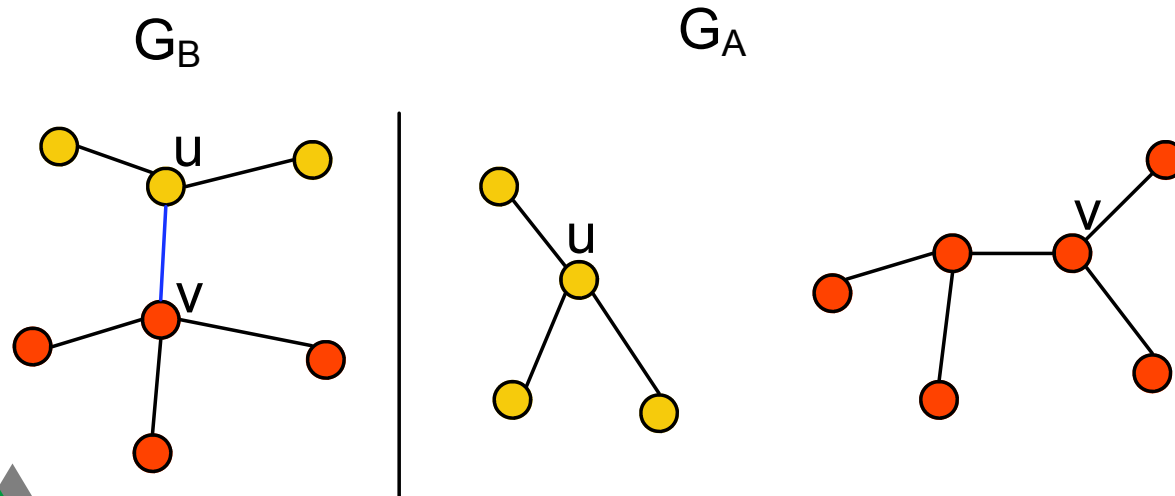


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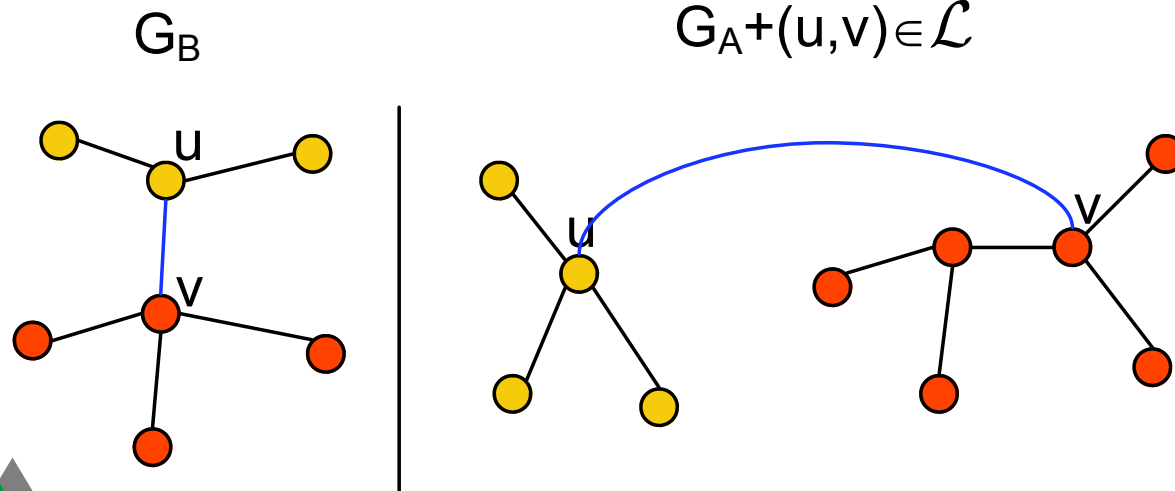


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- ## ■ Cor.
- We can find a heaviest spanning tree of G using the Greedy algorithm.



k -extendible systems

- Def. A subset system (E, \mathcal{L}) is k -extendible if:

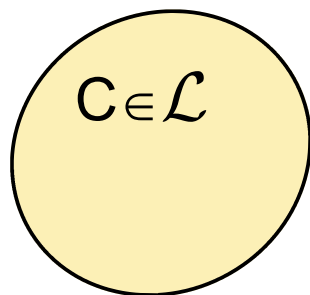
$$\forall C \in \mathcal{L}, \quad \forall x \notin C \text{ with } C + x \in \mathcal{L},$$

$$\forall D \text{ extension of } C, \quad \exists Y \subseteq D \setminus C \text{ such that}$$

$$|Y| \leq k \text{ and } D \setminus Y + x \in \mathcal{L}$$

k -extendible systems

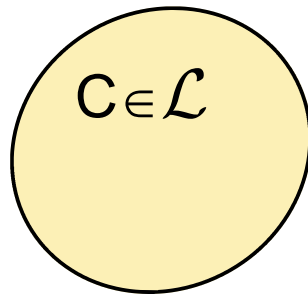
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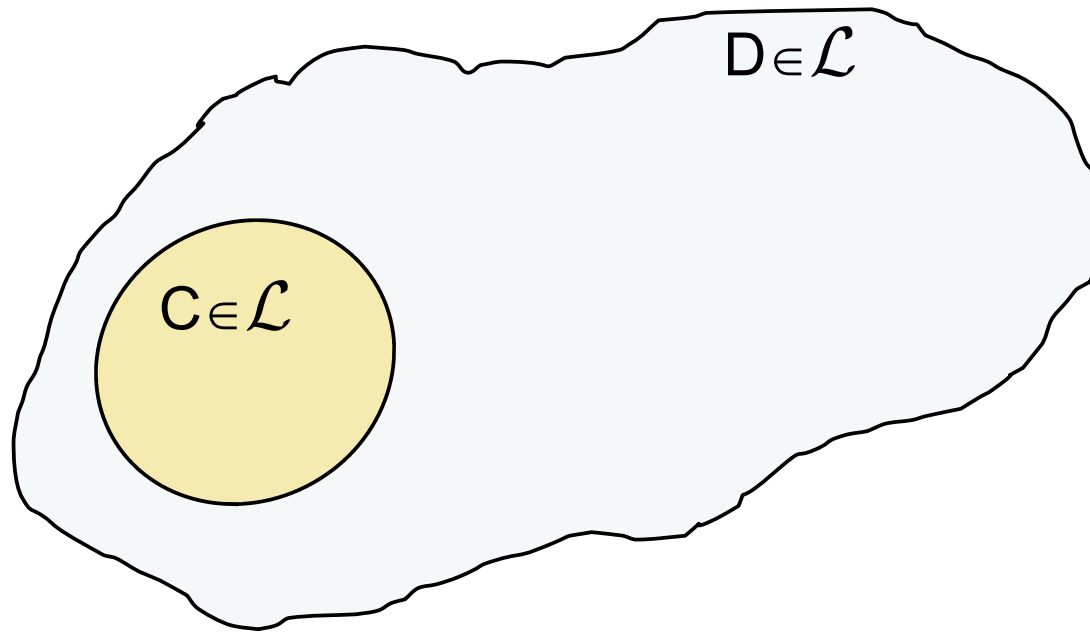
- Def. A subset system (E, \mathcal{L}) is k -extendible if:



- $x: C+x \in \mathcal{L}$

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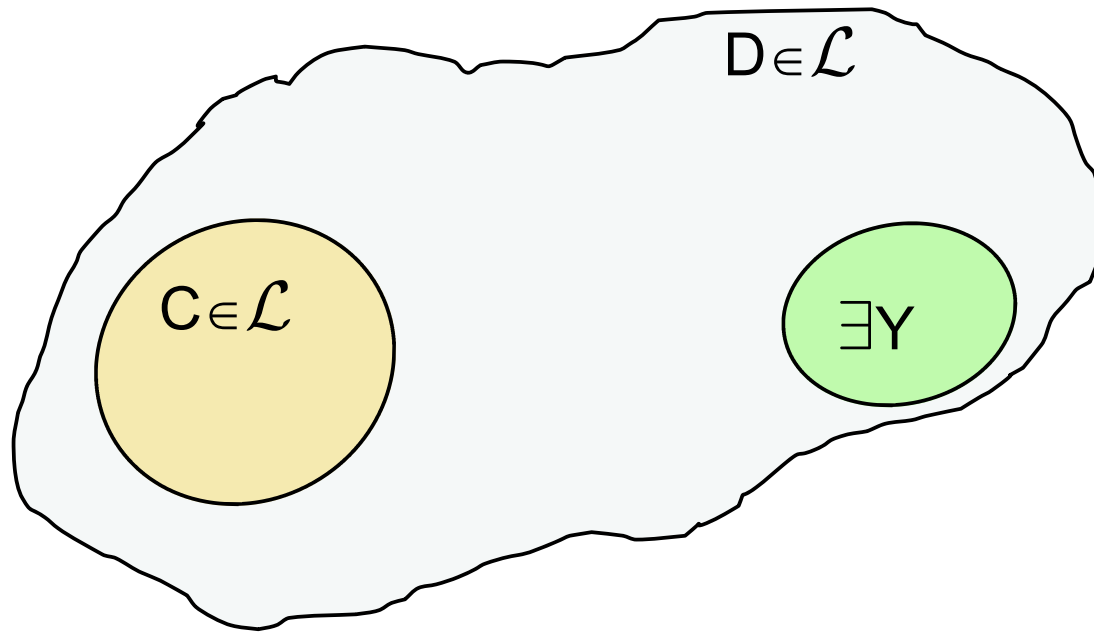
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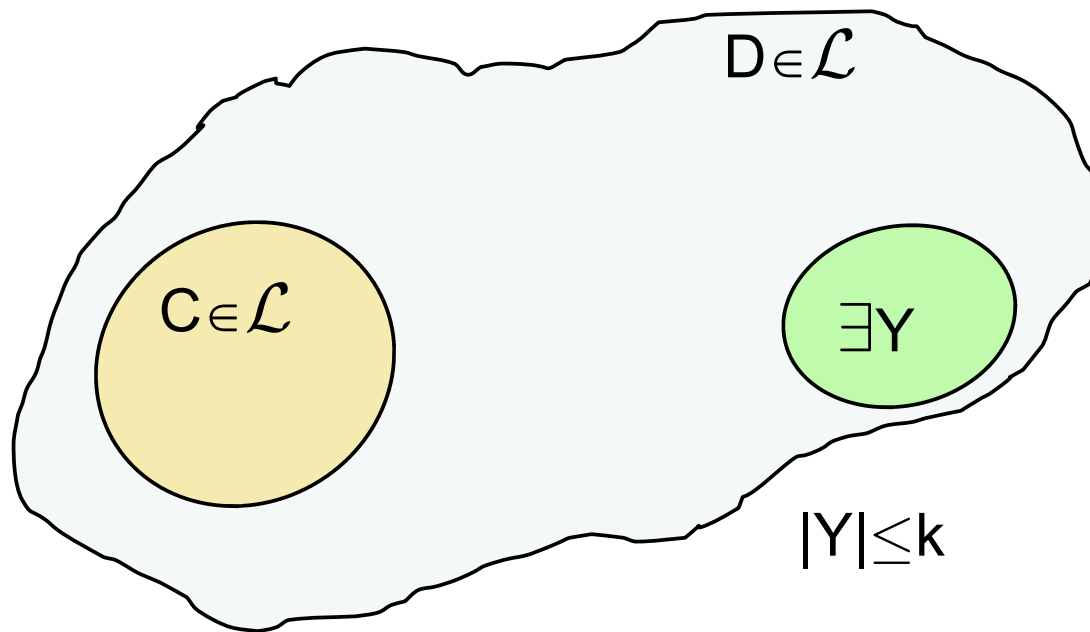
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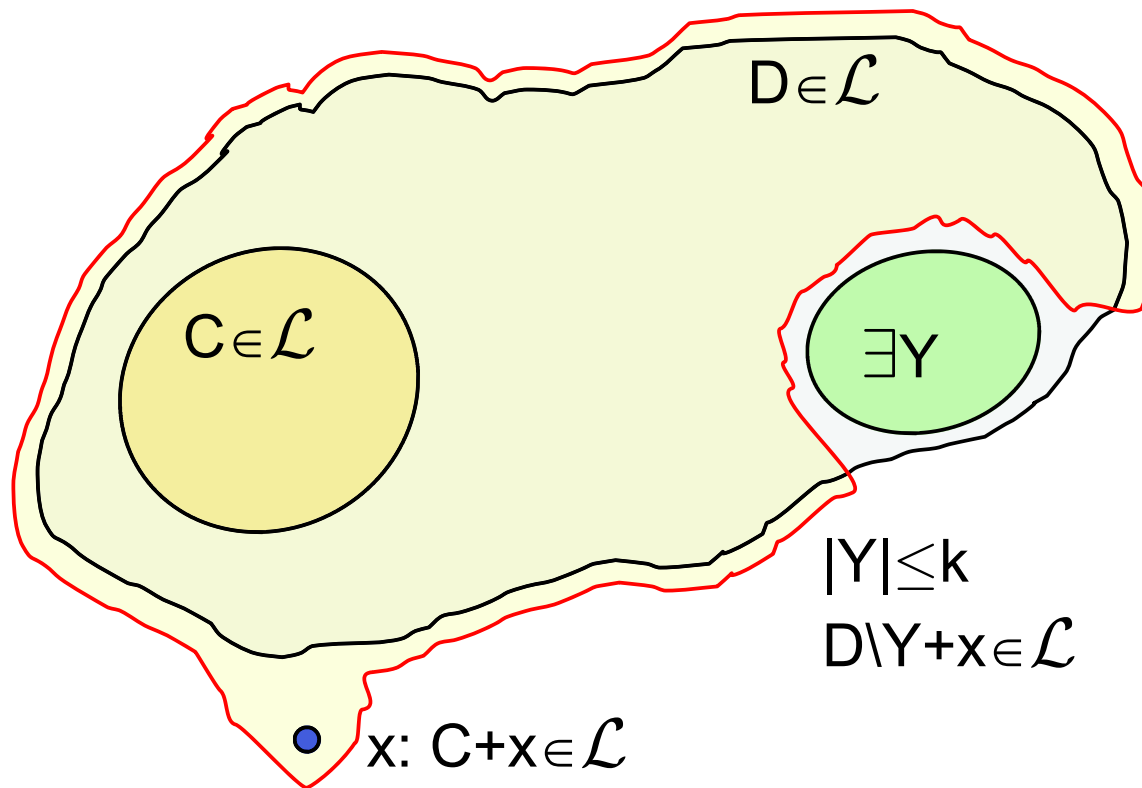
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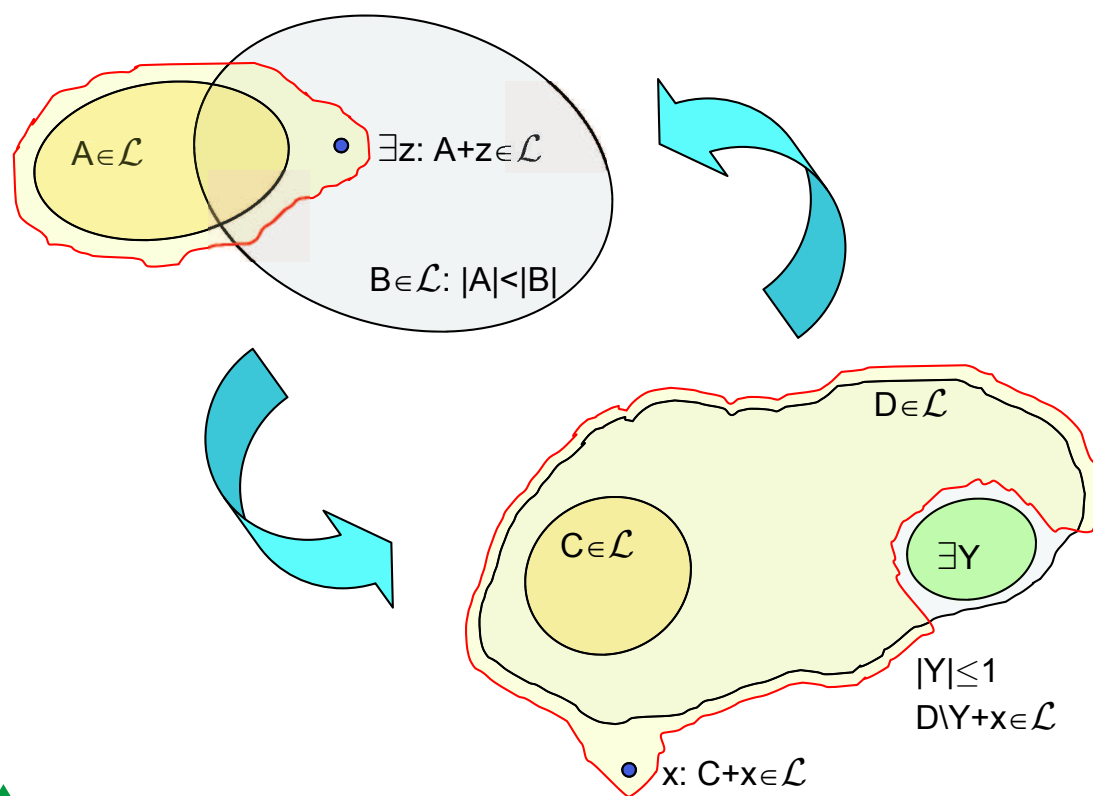
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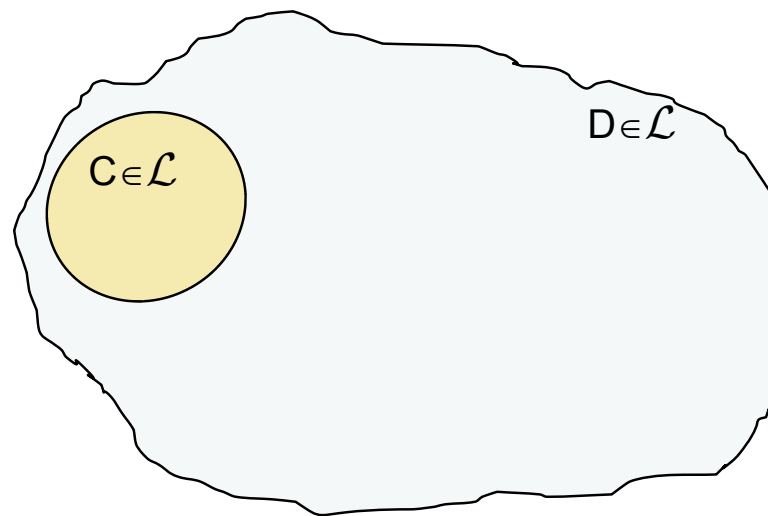


Matroids \equiv 1-extendible systems

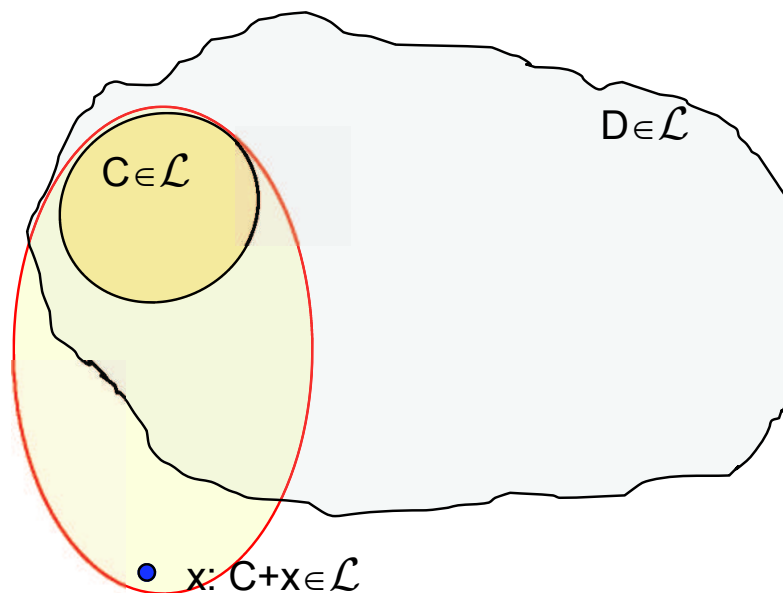
- Thm. (E, \mathcal{L}) is a matroid iff (E, \mathcal{L}) is 1-extendible.



Matroids \subseteq 1-extendible systems

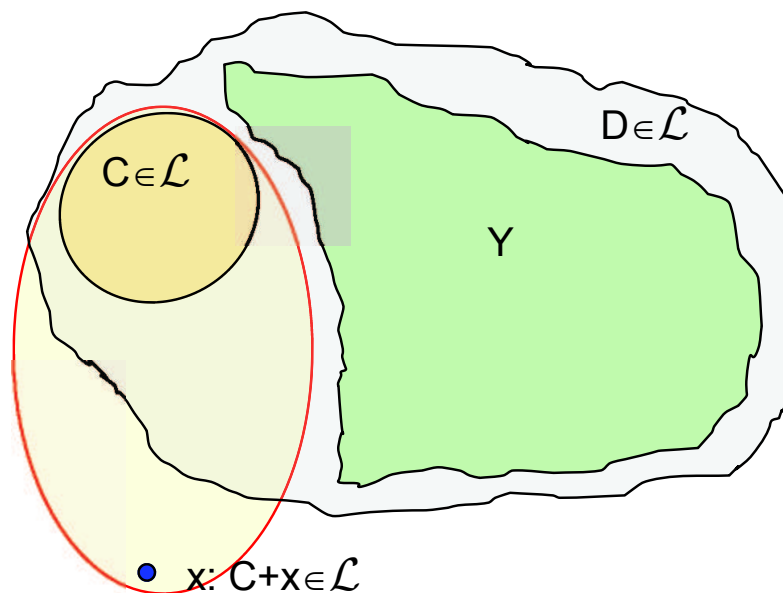


Matroids \subseteq 1-extendible systems



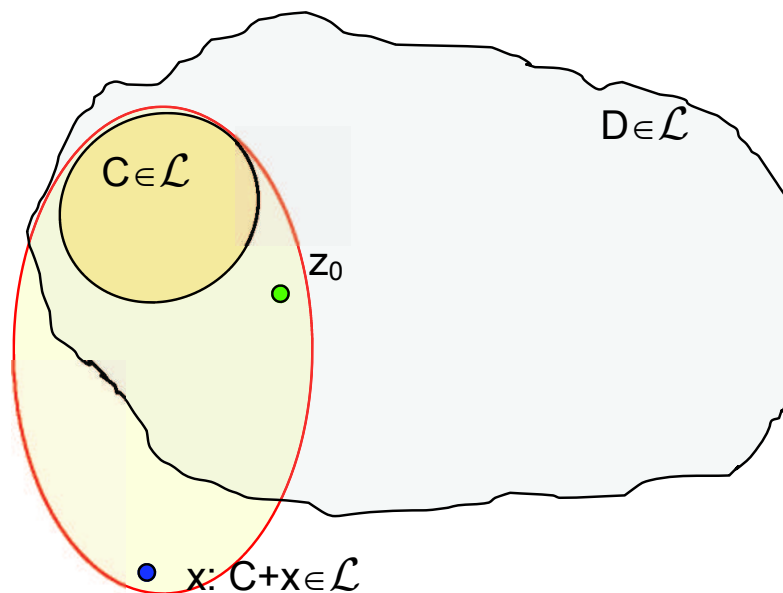
- If $|C + x| = |D|$, then $|D \setminus C| = 1$.

Matroids \subseteq 1-extendible systems



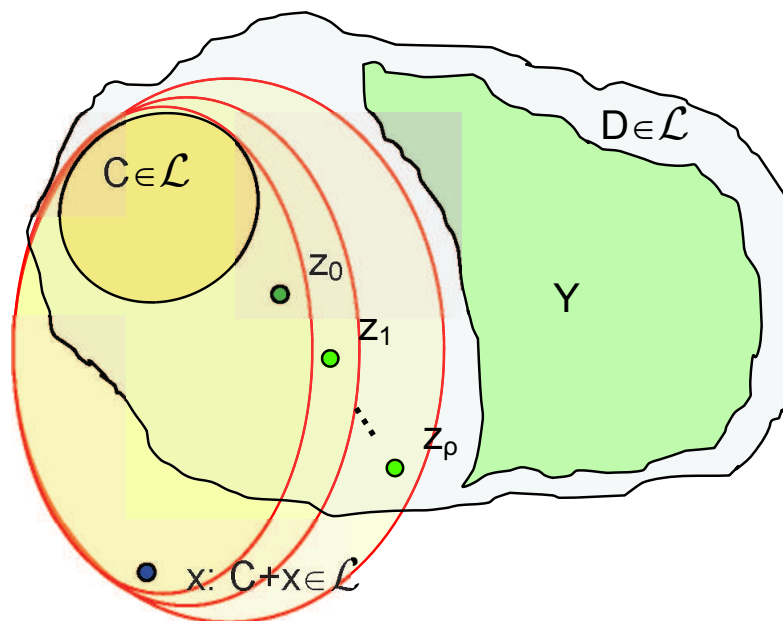
■ $D \setminus Y + x \in \mathcal{L}.$

Matroids \subseteq 1-extendible systems



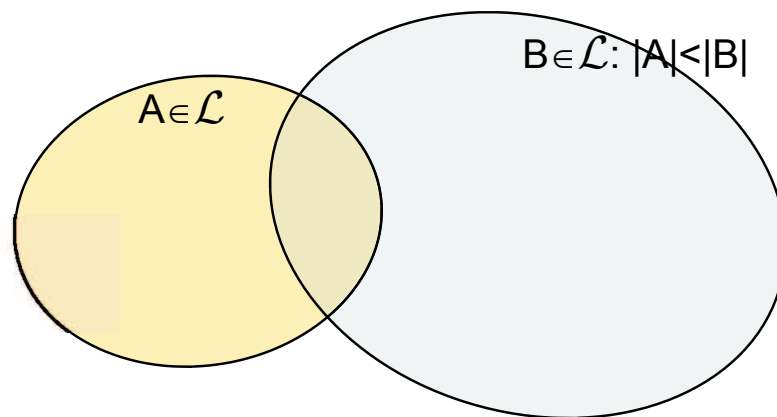
- Applying the augmentation property,
 $\exists z_0 \in D \setminus C$ such that $C + z_0 + x \in \mathcal{L}$.

Matroids \subseteq 1-extendible systems

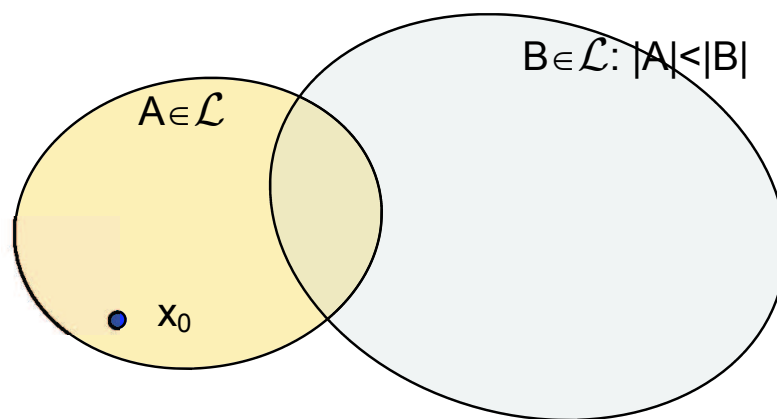


- Finally, we get a sequence z_0, \dots, z_ρ such that $C + \sum z_i + x \in \mathcal{L}$ and $|D \setminus (C + \sum z_i)| = 1$.

Matroids \supseteq 1-extendible systems

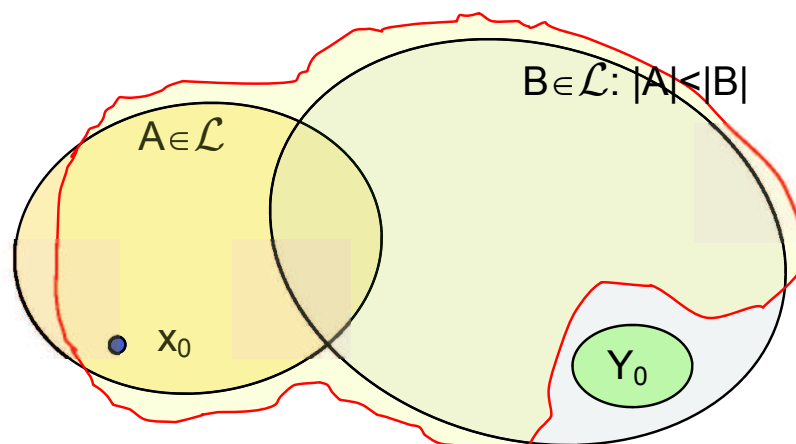


Matroids \supseteq 1-extendible systems



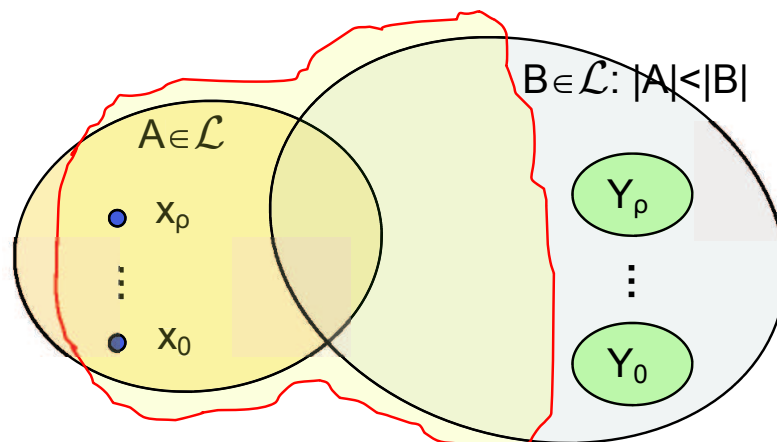
- Pick any $x_0 \in A \setminus B$.

Matroids \supseteq 1-extendible systems



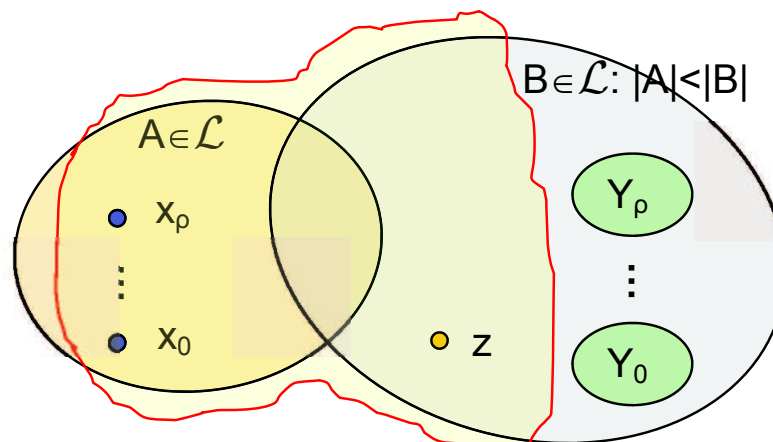
- By 1-extendibility, $\exists Y_0 \subseteq B \setminus A$ with $|Y_0| \leq 1$ such that $B \setminus Y_0 + x_0 \in \mathcal{L}$.

Matroids \supseteq 1-extendible systems



- Keep picking x_i 's in $A \setminus B$ until there are no more. Then $B \setminus \bigcup Y_i + \sum x_i \in \mathcal{L}$.

Matroids \supseteq 1-extendible systems



- Moreover, $A \subseteq B \setminus \bigcup Y_i + \sum x_i$, which implies $A + z \in \mathcal{L}$ for arbitrary $z \in B \setminus \bigcup Y_i$.



Greedy on k -extendible systems

- **Thm.** If (E, \mathcal{L}) is k -extendible then Greedy obtains a $\frac{1}{k}$ -approximate solution for any weight function on (E, \mathcal{L}) .



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- **Proof.**
 - x_1, x_2, \dots, x_ρ : successive choices of Greedy
 - $\emptyset = S_0, S_1, S_2, \dots, S_\rho = \text{SOL}$: successive partial solutions with

$$S_i = S_{i-1} + x_i \quad , \quad \forall i : 1 \leq i \leq \rho$$



Obtaining the approximation ratio

- Lemma For each $i : 1 \leq i \leq \rho$,

$$w(\text{OPT}(S_{i-1})) \leq w(\text{OPT}(S_i)) + (k-1) \cdot w(x_i)$$





Obtaining the approximation ratio

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- Apply lemma ρ times:

$$\begin{aligned} \text{OPT} &= w(\text{OPT}(S_0)) \\ &\leq w(\text{OPT}(S_\rho)) + (k-1) \cdot w(S_\rho) \\ &= k \cdot \text{SOL} \end{aligned}$$



Proof of lemma

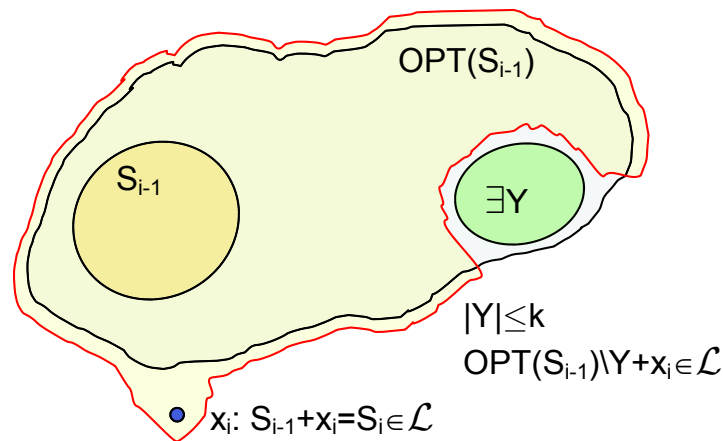
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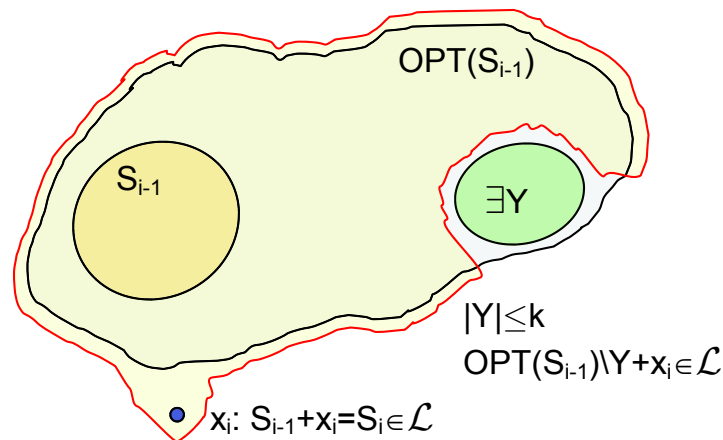
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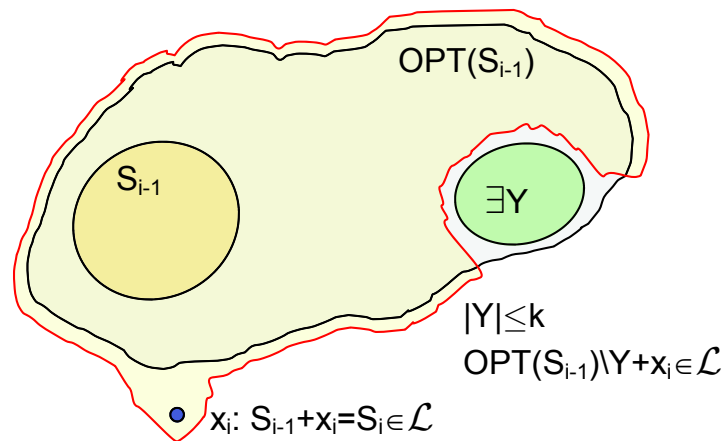


- $w(\text{OPT}(S_{i-1})) =$
 $w(\text{OPT}(S_{i-1}) \setminus Y + x_i) + w(Y) - w(x_i)$

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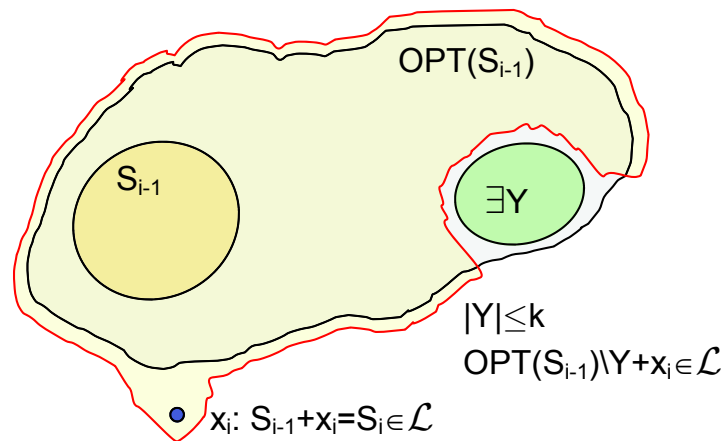


- $w(\text{OPT}(S_{i-1})) \leq w(\text{OPT}(S_i)) + w(Y) - w(x_i)$

Proof of lemma

- Lemma For each $i : 1 \leq i \leq \rho$,

$$w(\text{OPT}(S_{i-1})) \leq w(\text{OPT}(S_i)) + (k-1) \cdot w(x_i)$$



- $y \in Y \Rightarrow \forall j \leq i-1, S_j + y \subseteq \text{OPT}(S_{i-1}) \Rightarrow$
 $\forall j \leq i-1, S_j + y \in \mathcal{L} \Rightarrow w(y) \leq w(x_i)$



Example of a 2-extendible system

■ Maximum-weight b -matching.

- $G = (V, E)$: undirected graph, $b : V \longrightarrow \mathbb{N}$,
 $w : E \longrightarrow \mathbb{R}$.
- E : edge set of G .
- $\mathcal{L} = \{M \subseteq E : \forall u \in V, \deg_M(u) \leq b(u)\}$.



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non-empty:

$$\forall u \in V, \deg_{\emptyset}(u) = 0 \leq b(u)$$



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hereditary:

if $M \in \mathcal{L}$ and $M' \subseteq M$, then for any $u \in V$:

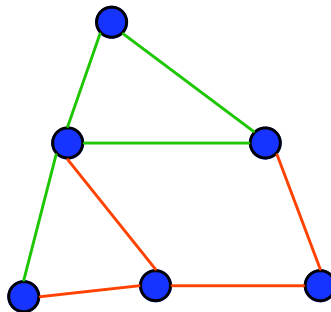
$$\deg_{M'}(u) \leq \deg_M(u) \leq b(u)$$

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2-extendible:

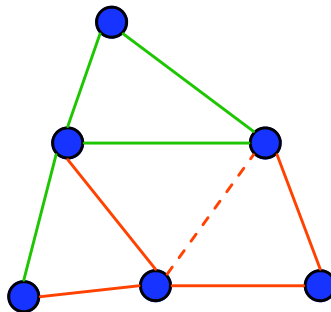


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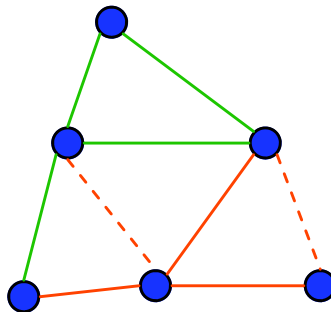


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- ## ■ Cor. Greedy is a $\frac{1}{2}$ -approximation algorithm for Maximum-weight b -matching.



Other k -extendible systems

- Maximum profit scheduling (2-extendible).
- Maximum asymmetric TSP (3-extendible).
- Intersection of k matroids (k -extendible).



Tradeoffs for b -matching

- Maximum-weight b -matching can be solved exactly in time $O\left(\sum b(u) \cdot \min(m \log n, n^2)\right)$.



Tradeoffs for b -matching

- Maximum-weight b -matching can be solved exactly in time $O\left(\sum b(u) \cdot \min(m \log n, n^2)\right)$.
- Therefore the Greedy algorithm should be regarded as a tradeoff: $\frac{1}{2}$ -approximation in time $O(m \log n)$.



Tradeoffs for b -matching

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- Improvement: $\frac{1}{2}$ -approximation in time $O(bm)$.
- Further improvement: randomized $(\frac{2}{3} - \epsilon)$ -approximation in time $O(bm \log \frac{1}{\epsilon})$.



b -matching by greedy walks

- Alg. Find-Walk(u)

$b(u) \leftarrow b(u) - 1$

if $\deg(u) = 0$ then return \emptyset

let (u, v) be the heaviest edge out of u

remove (u, v) from G

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- Only guarantees that $\deg_M(u) \leq 2b(u)$.



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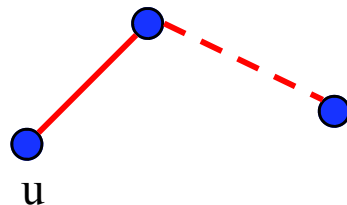


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 - If $(u, v) \in M_{\text{OPT}}$, assign it to itself.
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- Each edge in M_{OPT} is assigned to a unique edge in M . Moreover, $w(e) \leq w(u, v)$.

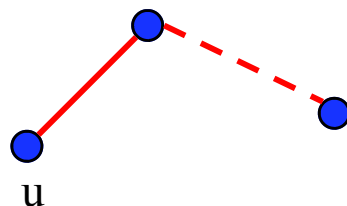
Arms and pieces

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An *Arm* out of node u

Arms and pieces



An **Arm** out of node u



A **Piece** about edge (u, v)



A randomized algorithm for b -matching

■ Alg. Linear-Random(G, w)

$M \leftarrow \emptyset$

repeat k times

pick a vertex u uniformly at random

with probability $\frac{\deg_M(u)}{b}$ do

pick $(u, v) \in M$ uniformly at random

find max-benefit compatible piece P about (u, v)

$M \leftarrow M \oplus P$

with probability $\frac{b(u) - \deg_M(u)}{b}$ do

find max-benefit compatible arm A out of u

$M \leftarrow M \oplus A$