

Simple Syntax Extensions  
(ghost variables, labels, local mutable variables)  
Functions and Function calls  
Proving Termination  
Specification languages  
Application to arrays

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Cours MPRI 2-36-1 "Preuve de Programme"

14 décembre 2016

## Exercise 2

The following program is one of the original examples of Floyd.

```
q := 0; r := x;  
while r ≥ y do  
  r := r - y; q := q + 1
```

(Why3 file to fill in: `imp_euclide.mlw`)

- ▶ Propose a formal precondition to express that  $x$  is assumed non-negative,  $y$  is assumed positive, and a formal post-condition expressing that  $q$  and  $r$  are respectively the quotient and the remainder of the Euclidean division of  $x$  by  $y$ .
- ▶ Find appropriate loop invariant and prove the correctness of the program.

## Exercise 3

Let's assume given in the underlying logic the functions `div2(x)` and `mod2(x)` which respectively return the division of  $x$  by 2 and its remainder. The following program is supposed to compute, in variable  $r$ , the power  $x^n$ .

```
r := 1; p := x; e := n;  
while e > 0 do  
  if mod2(e) ≠ 0 then r := r * p;  
  p := p * p;  
  e := div2(e);
```

- ▶ Assuming that the power function exists in the logic, specify appropriate pre- and post-conditions for this program.
- ▶ Find an appropriate loop invariant, and prove the program.

## Exercise 4

The Fibonacci sequence is defined recursively by  $fib(0) = 0$ ,  $fib(1) = 1$  and  $fib(n+2) = fib(n+1) + fib(n)$ . The following program is supposed to compute  $fib$  in linear time, the result being stored in  $y$ .

```
y := 0; x := 1; i := 0;  
while i < n do  
  aux := y; y := x; x := x + aux; i := i + 1
```

- ▶ Assuming  $fib$  exists in the logic, specify appropriate pre- and post-conditions.
- ▶ Prove the program.

## Exercise (Exam 2011-2012)

In this exercise, we consider the simple language of the first lecture of this course, where expressions do not have any side effect.

1. Prove that the triple

$$\{P\}x := e\{\exists v, e[x \leftarrow v] = x \wedge P[x \leftarrow v]\}$$

is valid with respect to the operational semantics.

2. Show that the triple above can be proved using the rules of Hoare logic.

Let us assume that we replace the standard Hoare rule for assignment by the rule

$$\frac{}{\{P\}x := e\{\exists v, e[x \leftarrow v] = x \wedge P[x \leftarrow v]\}}$$

3. Show that the triple  $\{P[x \leftarrow e]\}x := e\{P\}$  can be proved with the new set of rules.

## Reminder of the last lecture

- ▶ Classical Hoare Logic
  - ▶ Very simple programming language
  - ▶ Deduction rules for triples  $\{Pre\}s\{Post\}$
- ▶ Modern programming language, ML-like
  - ▶ more data types: int, bool, real, unit
  - ▶ *logic variables*: local and *immutable*
  - ▶ statement = expression of type unit
  - ▶ Typing rules
  - ▶ Formal operational semantics (small steps)
  - ▶ *type soundness*: every typed program executes without blocking.
- ▶ *Blocking semantics* and *Weakest Preconditions*:
  - ▶  $e$  *executes safely* in  $\Sigma, \Pi$  if it does not block on an assertion or a loop invariant
  - ▶ If  $\Sigma, \Pi \models WP(e, Q)$  then  $e$  executes safely in  $\Sigma, \Pi$ , and if it terminates then  $Q$  valid in the final state.

## This Lecture's Goals

- ▶ Extend the language:
  - ▶ Ghost variables and Labels
  - ▶ Local mutable variables
  - ▶ Sub-programs, *modular reasoning*
- ▶ Proving *Termination*
- ▶ (First-order) logic as *modeling language*
  - ▶ Automated provers capabilities
  - ▶ Towards complex data structures: Axiomatized types and predicates
  - ▶ Help provers via *lemma functions*
- ▶ Application: program on *Arrays*

## Outline

### Syntax extensions

Ghost variables and Labels  
Local Mutable Variables  
Functions and Functions Calls

Termination, Variants

Advanced Modeling of Programs

Programs on Arrays

## Ghost variables

Example: Euclid's algorithm, on two global variables  $x, y$

```
Euclid:
  requires ?
  ensures ?
  = while y > 0 do
    let r = mod x y in x := y; y := r
  done;
  x
```

What should be the post-condition?

### Ghost variables

additional variables, introduced for the specification

See Why3 file [euclid\\_ghost.mlw](#)

## Labels: motivation

- ▶ Using ghost variables becomes quickly painful
- ▶ *Label*
  - ▶ simple alternative to ghost variables
  - ▶ (but not always possible)

## Labels: Syntax and Typing

Add in syntax of *terms*:

$t ::= x@L$  (labeled variable access)

Add in syntax of *expressions*:

$e ::= L : e$  (labeled expressions)

Typing:

- ▶ only mutable variables can be accessed through a label
- ▶ labels must be declared before use

Implicit labels:

- ▶ *Here*, available in every formula
- ▶ *Old*, available in post-conditions

## Toy Examples, Continued

```
{ true }
let v = r in (r := v + 42; v)
{ r = r@old + 42 ∧ result = r@old }
```

```
{ true }
let tmp = x in x := y; y := tmp
{ x = y@old ∧ y = x@old }
```

SUM revisited:

```
{ y ≥ 0 }
L:
while y > 0 do
  invariant { x + y = x@L + y@L }
  x := x + 1; y := y - 1
{ x = x@old + y@old ∧ y = 0 }
```

## Labels: Operational Semantics

Program state

- ▶ becomes a collection of maps indexed by labels
- ▶ value of variable  $x$  at label  $L$  is denoted  $\Sigma(x, L)$

New semantics of variables in terms:

$$\begin{aligned} \llbracket x \rrbracket_{\Sigma, \Pi} &= \Sigma(x, \text{Here}) \\ \llbracket x@L \rrbracket_{\Sigma, \Pi} &= \Sigma(x, L) \end{aligned}$$

The operational semantics of expressions is modified as follows

$$\begin{aligned} \Sigma, \Pi, x := \text{val} &\rightsquigarrow \Sigma\{(x, \text{Here}) \leftarrow \text{val}\}, \Pi, () \\ \Sigma, \Pi, L : e &\rightsquigarrow \Sigma\{(x, L) \leftarrow \Sigma(x, \text{Here}) \mid x \text{ any variable}\}, \Pi, e \end{aligned}$$

Syntactic sugar: term  $t@L$

- ▶ attach label  $L$  to any variable of  $t$  that does not have an explicit label yet.
- ▶ example:  $(x + y@K + 2)@L + x$  is  $x@L + y@K + 2 + x@Here$ .

## Example: Euclid's algorithm revisited

```
Euclid:
  requires { x ≥ 0 ∧ y ≥ 0 }
  ensures { result = gcd(x@old, y@old) }
= L:
  while y > 0 do
    invariant { x ≥ 0 ∧ y ≥ 0 }
    invariant { gcd(x, y) = gcd(x@L, y@L) }
    let r = mod x y in x := y; y := r
  done;
x
```

See file [euclid\\_labels.mlw](#)

## New rules for WP

New rules for computing WP:

$$\begin{aligned} \text{WP}(x := t, Q) &= Q[x@Here \leftarrow t] \\ \text{WP}(L : e, Q) &= \text{WP}(e, Q)[x@L \leftarrow x@Here \mid x \text{ any variable}] \end{aligned}$$

Exercise:

$$\text{WP}(L : x := x + 42, x@Here > x@L) = ?$$

## Mutable Local Variables

We extend the syntax of expressions with

$$e ::= \text{let ref } id = e \text{ in } e$$

Example: isqrt revisited

```
val x, res : ref int

isqrt:
  res := 0;
  let ref sum = 1 in
  while sum ≤ x do
    res := res + 1; sum := sum + 2 * res + 1
  done
```

## Operational Semantics

$$\Sigma, \Pi, e \rightsquigarrow \Sigma', \Pi', e'$$

$\Pi$  no longer contains just immutable variables.

$$\frac{\Sigma, \Pi, e_1 \rightsquigarrow \Sigma', \Pi', e'_1}{\Sigma, \Pi, \text{let ref } x = e_1 \text{ in } e_2 \rightsquigarrow \text{let ref } x = e'_1 \text{ in } e_2}$$

$$\frac{}{\Sigma, \Pi, \text{let ref } x = v \text{ in } e \rightsquigarrow \Sigma, \Pi\{(x, \text{Here}) \mapsto v\}, e}$$

$$\frac{x \text{ local variable}}{\Sigma, \Pi, x := v \rightsquigarrow \Sigma, \Pi\{(x, \text{Here}) \mapsto v\}, e}$$

And labels too.

## Mutable Local Variables: WP rules

Rules are exactly the same as for global variables

$$\text{WP}(\text{let ref } x = e_1 \text{ in } e_2, Q) = \text{WP}(e_1, \text{WP}(e_2, Q)[x \leftarrow \text{result}])$$

$$\text{WP}(x := e, Q) = \text{WP}(e, Q[x \leftarrow \text{result}])$$

$$\text{WP}(L : e, Q) = \text{WP}(e, Q)[x@L \leftarrow x@Here \mid x \text{ any variable}]$$

## Home Work 1

- ▶ Extend the post-condition of Euclid's algorithm to express the Bézout property:

$$\exists a, b, \text{result} = x * a + y * b$$

- ▶ Prove the program by adding appropriate ghost local variables

Use canvas file [exo\\_bezout.mlw](#)

## Functions

Program structure:

```
prog ::= decl*
decl ::= vardecl | fundecl
vardecl ::= val id : ref basetype
fundecl ::= let fun id( (param,)* ):basetype
           contract body e
param ::= id : basetype
contract ::= requires t writes (id,)* ensures t
```

Function definition:

- ▶ Contract:
  - ▶ pre-condition,
  - ▶ post-condition (label *Old* available),
  - ▶ assigned variables: clause *writes* .
- ▶ Body: expression.

## Example: isqrt

```
let fun isqrt(x:int): int
  requires x ≥ 0
  ensures result ≥ 0 ∧
    sqr(result) ≤ x < sqr(result + 1)
body
  let ref res = 0 in
  let ref sum = 1 in
  while sum ≤ x do
    res := res + 1;
    sum := sum + 2 * res + 1
  done;
  res
```

## Example using *Old* label

```
val res: ref int

let fun incr(x:int)
  requires true
  writes res
  ensures res = res@Old + x
body
  res := res + x
```

## Typing

Definition  $d$  of function  $f$ :

```
let fun  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$ 
  requires  $Pre$ 
  writes  $\vec{w}$ 
  ensures  $Post$ 
  body  $Body$ 
```

Well-formed definitions:

$$\frac{\Gamma = \{x_i : \tau_i \mid 1 \leq i \leq n\} \cdot \Gamma \quad \vec{w} \subseteq \Gamma \quad \Gamma' \vdash Pre, Post : formula \quad \Gamma' \vdash Body : \tau \quad \vec{w}_g \subseteq \vec{w} \text{ for each call } g \quad y \in \vec{w} \text{ for each assign } y}{\Gamma \vdash d : wf}$$

where  $\Gamma$  contains the global declarations. Well-typed function calls:

$$\frac{\Gamma \vdash t_i : \tau_i}{\Gamma \vdash f(t_1, \dots, t_n) : \tau}$$

Note:  $t_i$  are immutable expressions.

## Operational Semantics

```
function  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$ 
  requires  $Pre$ 
  writes  $\vec{w}$ 
  ensures  $Post$ 
  body  $Body$ 
```

$$\frac{\Pi' = \{x_i \mapsto \llbracket t_i \rrbracket_{\Sigma, \Pi}\} \quad \Sigma, \Pi' \models Pre}{\Sigma, \Pi, f(t_1, \dots, t_n) \rightsquigarrow \Sigma, \Pi, (Old : frame(\Pi', Body, Post))}$$

### Blocking Semantics

Execution blocks at call if pre-condition does not hold

## Operational Semantics of Function Call

frame is a dummy expression that keeps track of the *local variables* of the callee:

$$\frac{\Sigma, \Pi, e \rightsquigarrow \Sigma', \Pi', e'}{\Sigma, \Pi', (\text{frame}(\Pi, e, P)) \rightsquigarrow \Sigma', \Pi'', (\text{frame}(\Pi', e', P))}$$

It also checks that the *post-condition* holds at the end:

$$\frac{\Sigma, \Pi' \models P[\text{result} \leftarrow v]}{\Sigma, \Pi, (\text{frame}(\Pi', v, P)) \rightsquigarrow \Sigma, \Pi, v}$$

### Blocking Semantics

Execution blocks at return if post-condition does not hold

## WP Rule of Function Call

let fun  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$   
requires *Pre*  
writes  $\vec{w}$   
ensures *Post*  
body *Body*

$$\text{WP}(f(t_1, \dots, t_n), Q) = \text{Pre}[x_i \leftarrow t_i] \wedge \forall \vec{v}, (\text{Post}[x_i \leftarrow t_i, w_j \leftarrow v_j, w_j@Old \leftarrow w_j] \Rightarrow Q[w_j \leftarrow v_j])$$

### Modular Proof Methodology

When calling function  $f$ , only the contract of  $f$  is visible, not its body

## Example: isqrt(42)

Exercise: prove that  $\{\text{true}\}\text{isqrt}(42)\{\text{result} = 6\}$  holds.

```
val isqrt(x:int): int
  requires x ≥ 0
  writes (nothing)
  ensures result ≥ 0 ∧
           sqr(result) ≤ x < sqr(result + 1)
```

### Abstraction of sub-programs

- ▶ Keyword **val** introduces a function with a contract but without body
- ▶ **writes** clause is mandatory in that case

## Example: Incrementation

```
val res: ref int

val incr(x:int):unit
  writes res
  ensures res = res@old + x
```

Exercise: Prove that  $\{\text{res} = 6\}\text{incr}(36)\{\text{res} = 42\}$  holds.

## Soundness Theorem for a Complete Program

Assuming that for each function defined as

```
let fun  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$   
  requires  $Pre$   
  writes  $\vec{w}$   
  ensures  $Post$   
  body  $Body$ 
```

we have

- ▶ variables assigned in  $Body$  belong to  $\vec{w}$ ,
- ▶  $\models Pre \Rightarrow WP(Body, Post)[w_i@Old \leftarrow w_i]$  holds,

then for any formula  $Q$  and any expression  $e$ ,  
if  $\Sigma, \Pi \models WP(e, Q)$  then execution of  $\Sigma, \Pi, e$  is *safe*

Remark: (mutually) recursive functions are allowed

## Outline

Syntax extensions

Termination, Variants

Advanced Modeling of Programs

Programs on Arrays

## Termination

### Goal

Prove that a program terminates (on all inputs satisfying the precondition)

Amounts to show that

- ▶ loops never execute infinitely many times
- ▶ (mutual) recursive calls cannot occur infinitely many times

## Case of loops

Solution: annotate loops with *loop variants*

- ▶ a term that *decreases at each iteration*
- ▶ for some *well-founded ordering*  $\prec$  (i.e. there is no infinite sequence  $val_1 \succ val_2 \succ val_3 \succ \dots$ )
- ▶ A typical ordering on integers:

$$x \prec y = x < y \wedge 0 \leq y$$



## Syntax

New syntax construct:

```
 $e ::= \text{while } c \text{ invariant } I \text{ variant } t, \prec \text{ do } e$ 
```

Example:

```
{ y ≥ 0 }  
L:  
while y > 0 do  
  invariant { x + y = x@L + y@L }  
  variant { y }  
  x := x + 1; y := y - 1  
{ x = x@old + y@old ∧ y = 0 }
```

## Operational semantics

$$\frac{\llbracket I \rrbracket_{\Sigma, \Pi} \text{ holds}}{\Sigma, \Pi, \text{while } c \text{ invariant } I \text{ variant } t, \prec \text{ do } e \rightsquigarrow \Sigma, \Pi, L : \text{if } c \text{ then } (e; \text{assert } t \prec t@L; \text{while } c \text{ invariant } I \text{ variant } t, \prec \text{ do } e) \text{ else } ()}$$

## Weakest Precondition

$$\text{WP}(\text{while } c \text{ invariant } I \text{ variant } t, \prec \text{ do } e, Q) = I \wedge \forall \vec{v}, (I \Rightarrow \text{WP}(L : c, \text{if } \text{result} \text{ then } \text{WP}(e, I \wedge t \prec t@L) \text{ else } Q)) [w_i \leftarrow v_i]$$

Remark: in practice with Why3:

- ▶ presence of loop variants tells if one wants to prove termination or not
- ▶ warning issued if no variant given
- ▶ keyword `diverges` in contract for non-terminating functions
- ▶ default ordering determined from type of  $t$

## Examples

Exercise: find adequate variants.

```
i := 0;  
while i ≤ 100  
  invariant ? variant ?  
  do i := i+1 done;
```

```
while sum ≤ x  
  invariant ? variant ?  
  do  
    res := res + 1; sum := sum + 2 * res + 1  
  done;
```

## Recursive Functions: Termination

If a function is recursive, termination of call can be proved, provided that the function is annotated with a *variant*.

```
let fun  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$   
  requires  $Pre$   
  variant  $var, \prec$   
  writes  $\vec{w}$   
  ensures  $Post$   
  body  $Body$ 
```

WP for function call:

$$WP(f(t_1, \dots, t_n), Q) = Pre[x_i \leftarrow t_i] \wedge var[x_i \leftarrow t_i] \prec var@Init \wedge \forall \vec{y}, (Post[x_i \leftarrow t_i][w_j \leftarrow y_j][w_j@Old \leftarrow w_j] \Rightarrow Q[w_j \leftarrow y_j])$$

with *Init* a label assumed to be present at the start of *Body*.

## Case of mutual recursion

Assume two functions  $f(\vec{x})$  and  $g(\vec{y})$  that call each other.

- ▶ each should be given its own variant  $v_f$  (resp.  $v_g$ ) in their contract
- ▶ with the *same* well-founded ordering  $\prec$ .

When  $f$  calls  $g(\vec{t})$  the WP should include

$$v_g[\vec{y} \leftarrow \vec{t}] \prec v_f@Init$$

and symmetrically when  $g$  calls  $f$

## Home Work 2: McCarthy's 91 Function

$$f91(n) = \text{if } n \leq 100 \text{ then } f91(f91(n + 11)) \text{ else } n - 10$$

Find adequate specifications.

```
let fun f91(n:int): int  
  requires ?  
  variant ?  
  writes ?  
  ensures ?  
  body  
  if n ≤ 100 then f91(f91(n + 11)) else n - 10
```

Use canvas file [mccarthy.mlw](#)

## Outline

Syntax extensions

Termination, Variants

Advanced Modeling of Programs

(First-Order) Logic as a Modeling Language

Axiomatic Definitions

Axiomatic Type Definitions

Automated Provers Capabilities, Lemma Functions

Programs on Arrays

## About Specification Languages

Specification languages:

- ▶ Algebraic Specifications: CASL, Larch
- ▶ Set theory: VDM, Z notation, Atelier B
- ▶ Higher-Order Logic: PVS, Isabelle/HOL, HOL4, Coq
- ▶ Object-Oriented: Eiffel, JML, OCL
- ▶ ...

Case of *Why3*, ACSL, Dafny: trade-off between

- ▶ expressiveness of specifications,
- ▶ support by automated provers.

## Why3 Logic Language

- ▶ (mostly First-order) logic, with type polymorphism à la ML
- ▶ Built-in arithmetic (integers and reals)
- ▶ Definitions à la ML
  - ▶ logic (i.e. pure) functions, predicates
  - ▶ structured types, pattern-matching
- ▶ Axiomatizations
- ▶ Inductive predicates
- ▶ Some higher-order features: lambda-expressions are allowed with syntax  $\lambda x:\tau. t$

### Important note

Function and predicates are always totally defined

## Logic Symbols

Logic functions defined as

**function**  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau = e$

Predicate defined as

**predicate**  $p(x_1 : \tau_1, \dots, x_n : \tau_n) = e$

where  $\tau_i, \tau$  are not reference types.

- ▶ No recursion allowed
- ▶ No side effects
- ▶ Defines total functions and predicates

## Logic Symbols: Examples

```
function sqr(x:int) = x * x

predicate prime(x:int) =
  x ≥ 2 ∧
  forall y z:int. y ≥ 0 ∧ z ≥ 0 ∧ x = y*z →
    y=1 ∨ z=1
```

## Axiomatic Definitions

*Function* and *predicate* declarations of the form

```
function  $f(\tau, \dots, \tau_n) : \tau$   
predicate  $p(\tau, \dots, \tau_n)$ 
```

together with *axioms*

```
axiom  $id$  : formula
```

specify that  $f$  (resp.  $p$ ) is **any symbol** satisfying the axioms.

## Axiomatic Definitions

Example: division

```
function div(real,real):real  
axiom mul_div:  
  forall x,y.  $y \neq 0 \rightarrow \text{div}(x,y)*y = x$ 
```

Example: factorial

```
function fact(int):int  
axiom fact0:  
  fact(0) = 1  
axiom factn:  
  forall n:int.  $n \geq 1 \rightarrow \text{fact}(n) = n * \text{fact}(n-1)$ 
```

## Axiomatic Definitions

- ▶ Functions/predicates are typically **underspecified**.  
⇒ we can model **partial** functions in a logic of total functions.

### Warning about soundness

Axioms may introduce *inconsistencies*.

```
function div(real,real):real  
axiom mul_div: forall x,y.  $\text{div}(x,y)*y = x$   
implies  $1 = \text{div}(1,0)*0 = 0$ 
```

## Underspecified Logic Functions and Run-time Errors

Error “Division by zero” can be modeled by an abstract function

```
val div_real(x:real,y:real):real  
  requires  $y \neq 0.0$   
  ensures result =  $\text{div}(x,y)$ 
```

### Reminder

Execution blocks when an invalid annotation is met

## Axiomatic Definitions: Example of Factorial

Exercise: Find appropriate precondition, postcondition, loop invariant, and variant, for this program:

```
let fun fact_imp (x:int): int
  requires ?
  ensures ?
  body
  let ref y = 0 in
  let ref res = 1 in
  while y < x do
    y := y + 1;
    res := res * y
  done;
  res
```

See file `fact.mlw`

## Axiomatic Type Definitions

Abstract type declarations, of the form

`type  $\tau$`

associated with axiomatized functions and predicates

Example: colors

```
type color
function blue: color
function red: color
axiom distinct: red  $\neq$  blue
```

*Polymorphic* types:

`type  $\tau \alpha_1 \dots \alpha_k$`

where  $\alpha_1 \dots \alpha_k$  are *type parameters*.

## Example: Sets

```
type set  $\alpha$ 
function empty: set  $\alpha$ 
function single( $\alpha$ ): set  $\alpha$ 
function union(set  $\alpha$ , set  $\alpha$ ): set  $\alpha$ 
axiom union_assoc: forall x y z:set  $\alpha$ .
  union(union(x,y),z) = union(x,union(y,z))
axiom union_comm: forall x y:set  $\alpha$ .
  union(x,y) = union(y,x)
predicate mem( $\alpha$ ,set  $\alpha$ )
axiom mem_empty: forall x: $\alpha$ .  $\neg$  mem(x,empty)
axiom mem_single: forall x y: $\alpha$ .
  mem(x,single(y))  $\leftrightarrow$  x=y
axiom mem_union: forall x: $\alpha$ , y z:set  $\alpha$ .
  mem(x,union(y,z))  $\leftrightarrow$  mem(x,y)  $\vee$  mem(x,z)
```

## Automated Provers Capabilities

SMT solvers like Alt-Ergo, CVC4, Z3 are the best ones for deductive verification because:

- ▶ they understand (typed) first-order logic
- ▶ they have built-in support for the equality predicate
- ▶ they support integer and real arithmetic
- ▶ they allow user definitions and axiomatizations

Weaknesses:

- ▶ incompleteness (this logic is too powerful to be decidable)
- ▶ weak support for quantifiers (sometimes FO provers like Vampire, Spass, E can be better)
- ▶ existential goals are typically hard: provers cannot guess the “witness”
- ▶ no support for advanced reasoning like *induction*

## Some hints to help provers

- ▶ Simplify the goal: inline definitions, compute what can be computed
- ▶ Split the goal into subgoals (hint: try to inline definition of the head symbol of the goal)
- ▶ help the provers by
  - ▶ introduce extra assertions in the code (“local lemmas”)
  - ▶ introduce extra lemmas before the code
  - ▶ prove extra lemmas using *lemma functions*

## Lemma functions

- ▶ Basic idea: if a program function is *without side effects* and *terminating* :

```
let fun  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$   
  requires Pre  
  variant var,  $\prec$   
  ensures Post  
  body Body
```

then it is a (constructive) proof of

$$\forall x_1, \dots, x_n, \exists \text{result}, \text{Pre} \Rightarrow \text{Post}$$

- ▶ If  $f$  is recursive, it simulates a proof by induction

## Example: power function

```
function power int int : int  
axiom power_0 : forall x:int. power x 0 = 1  
axiom power_s : forall x n:int. n ≥ 0 →  
  power x (n+1) = x * power x n  
  
lemma power_1 : forall x:int. power x 1 = x  
  
lemma sqrt4_256 : exists x:int. power x 4 = 256  
  
lemma power_sum : forall x n m: int. 0 ≤ n ∧ 0 ≤ m →  
  power x (n+m) = power x n * power x m
```

See file [lemma\\_functions.mlw](#)

## Home Work 3

Prove Fermat's little theorem for case  $p = 3$ :

$$\forall x, \exists y. x^3 - x = 3y$$

using a lemma function

## Outline

Syntax extensions

Termination, Variants

Advanced Modeling of Programs

Programs on Arrays

## Arrays as References on Pure Maps

Axiomatization of *maps* from int to some type  $\alpha$ :

```
type map  $\alpha$ 
function select(map  $\alpha$ ,int):  $\alpha$ 
function store (map  $\alpha$ ,int, $\alpha$ ): map  $\alpha$ 
axiom select_store_eq:
  forall a:map  $\alpha$ , i:int, v: $\alpha$ .
    select(store(a,i,v),i) = v
axiom select_store_neq:
  forall a:map  $\alpha$ , i j:int, v: $\alpha$ .
    i  $\neq$  j  $\rightarrow$  select(store(a,i,v),j) = select(a,j)
```

- ▶ Unbounded indexes.
- ▶ `select(a,i)` models the usual notation `a[i]`.
- ▶ `store` denotes the *functional update* of a map.

## Arrays as Reference on Maps

- ▶ Array variable: variable of type `ref (map  $\alpha$ )`.
- ▶ In a program, the standard assignment operation

```
a[i] := e
```

is interpreted as

```
a := store(a,i,e)
```

## Simple Example

```
val a: ref (map int)

let fun test()
  writes a
  ensures select(a,0) = 13 (* a[0] = 13 *)
body
  a := store(a,0,13); (* a[0] := 13 *)
  a := store(a,1,42) (* a[1] := 42 *)
```

Exercise: prove this program.

## Example: Swap

Permute the contents of cells  $i$  and  $j$  in an array  $a$ :

```
val a: ref (map int)

let fun swap(i:int,j:int)
  requires  $0 \leq i < \text{length } a \wedge 0 \leq j < \text{length } a$ 
  writes a
  ensures  $\text{select}(a,i) = \text{select}(a@old,j) \wedge$ 
          $\text{select}(a,j) = \text{select}(a@old,i) \wedge$ 
         forall k:int.  $k \neq i \wedge k \neq j \rightarrow$ 
            $\text{select}(a,k) = \text{select}(a@old,k)$ 
  body
  let tmp = select(a,i) in (* tmp :=a[i]*)
  a := store(a,i,select(a,j)); (* a[i]:=a[j]*)
  a := store(a,j,tmp) (* a[j]:=tmp *)
```

## Arrays as Reference on pairs (length,map)

- ▶ Goal: model “out-of-bounds” run-time errors
- ▶ Array variable: mutable variable of type  $(\text{int}, \text{map } \alpha)$ .

```
val get(a:array  $\alpha$ ,i:int): $\alpha$ 
  requires  $0 \leq i < \text{fst}(a)$ 
  ensures result = select(snd(a),i)

val set(a:array  $\alpha$ ,i:int,v: $\alpha$ ):unit
  requires  $0 \leq i < \text{fst}(a)$ 
  writes a
  ensures  $\text{fst}(a) = \text{fst}(a@old) \wedge$ 
          $\text{snd}(a) = \text{store}(\text{snd}(a@old),i,v)$ 
```

- ▶  $a[i]$  interpreted as a call to  $\text{get}(a,i)$
- ▶  $a[i] := v$  interpreted as a call to  $\text{set}(a,i,v)$

## Example: Swap again

```
val a: ref (int,map int)

let fun swap(i:int,j:int)
  requires  $0 \leq i < \text{fst } a \wedge 0 \leq j < \text{fst } a$ 
  writes a
  ensures  $\text{select}(\text{snd } a,i) = \text{select}(\text{snd } a@old,j) \wedge$ 
          $\text{select}(\text{snd } a,j) = \text{select}(\text{snd } a@old,i) \wedge$ 
         forall k:int.  $k \neq i \wedge k \neq j \rightarrow$ 
            $\text{select}(a,k) = \text{select}(a@old,k)$ 
  body
  let tmp = get(a,i) in (* tmp :=a[i]*)
  set(a,i,get(a,j)); (* a[i]:=a[j]*)
  set(a,j,tmp) (* a[j]:=tmp *)
```

## Note about Arrays in Why3

```
use import array.Array
syntax: a.length, a[i], a[i]<-v
```

Example: swap

```
val a: array int

let swap (i:int) (j:int)
  requires {  $0 \leq i < a.\text{length} \wedge 0 \leq j < a.\text{length}$  }
  writes { a }
  ensures {  $a[i] = \text{old } a[j] \wedge a[j] = \text{old } a[i]$  }
  ensures { forall k:int.
            $0 \leq k < a.\text{length} \wedge k \neq i \wedge k \neq j \rightarrow$ 
            $a[k] = \text{old } a[k]$  }
  =
  let tmp = a[i] in a[i] <- a[j]; a[j] <- tmp
```



## Exercises on Arrays

- ▶ Prove Swap using WP.
- ▶ Prove the program

```
let fun test()
  requires
    select(a,0) = 13 ∧ select(a,1) = 42 ∧
    select(a,2) = 64
  ensures
    select(a,0) = 64 ∧ select(a,1) = 42 ∧
    select(a,2) = 13
  body swap(0,2)
```

- ▶ Specify, implement, and prove a program that increments by 1 all cells, between given indexes  $i$  and  $j$ , of an array of reals.

## Exercise: Search Algorithms

```
var a: array real

let fun search(n:int, v:real): int
  requires 0 ≤ n
  ensures { ? }
  = ?
```

1. Formalize postcondition: if  $v$  occurs in  $a$ , between 0 and  $n - 1$ , then result is an index where  $v$  occurs, otherwise result is set to  $-1$
2. Implement and prove *linear search*:  
 $res := -1$ ;  
for each  $i$  from 0 to  $n - 1$ : if  $a[i] = v$  then  $res := i$ ;  
return  $res$

See file [lin\\_search.mlw](#)

## Home Work 4: Binary Search

```
low = 0; high = n - 1;
while low ≤ high:
  let  $m$  be the middle of  $low$  and  $high$ 
  if  $a[m] = v$  then return  $m$ 
  if  $a[m] < v$  then continue search between  $m$  and  $high$ 
  if  $a[m] > v$  then continue search between  $low$  and  $m$ 
```

See file [bin\\_search.mlw](#)

## Home Work 5: “for” loops

Syntax: `for  $i = e_1$  to  $e_2$  do  $e$`

Typing:

- ▶  $i$  visible only in  $e$ , and is immutable
- ▶  $e_1$  and  $e_2$  must be of type `int`,  $e$  must be of type `unit`

Operational semantics:

(assuming  $e_1$  and  $e_2$  are values  $v_1$  and  $v_2$ )

$$\frac{v_1 > v_2}{\Sigma, \Pi, \text{for } i = v_1 \text{ to } v_2 \text{ do } e \rightsquigarrow \Sigma, \Pi, ()}$$

$$\frac{v_1 \leq v_2}{\Sigma, \Pi, \text{for } i = v_1 \text{ to } v_2 \text{ do } e \rightsquigarrow \Sigma, \Pi, (\text{let } i = v_1 \text{ in } e); (\text{for } i = v_1 + 1 \text{ to } v_2 \text{ do } e)}$$

## Home Work: “for” loops

Propose a Hoare logic rule for the for loop:

$$\frac{\{?\}e\{?\}}{\{?\}\text{for } i = v_1 \text{ to } v_2 \text{ do } e\{?\}}$$

Propose a rule for computing the WP:

$$\text{WP}(\text{for } i = v_1 \text{ to } v_2 \text{ invariant } I \text{ do } e, Q) = ?$$

That's all for today, Merry Christmas !



- ▶ No lecture on January 4th
- ▶ Several home work exercises to do
- ▶ Project text on the web page soon