# More data types (lists, trees)
# Handling Exceptions
# Computer Arithmetic

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## Outline



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Reminder: labels and ghost variables, function calls and modularity, termination

Reminder: Advanced Modeling of Programs

Reminder: Programs on Arrays

Modeling Continued: Specifying More Data Types
- Product Types
- Sum Types
- Lists

Exceptions

Application: Computer Arithmetic
- Handling Machine Integers
- Floating-Point Computations

## Labels, Ghost Variables

- Labels and ghost variables are handy to refer to past program states in specifications

Home work from the last lecture:

- Extend the post-condition of Euclid algorithm to express the Bezout property:

$$\exists a, b, result = x * a + y * b$$

- Prove the program by adding appropriate ghost local variables

Use canvas file `exo_bezout.mlw`

## Function Call

let fun $f(x_1 : \tau_1, \ldots, x_n : \tau_n) : \tau$
  requires *Pre*
  writes $\vec{w}$
  ensures *Post*
  body *Body*

$$\mathrm{WP}(f(t_1, \ldots, t_n), Q) = Pre[x_i \leftarrow t_i] \wedge \forall \vec{v},\ (Post[x_i \leftarrow t_i, w_j \leftarrow v_j, w_j@Old \leftarrow w_j] \Rightarrow Q[w_j \leftarrow v_j])$$

**Modular proof**

When calling function $f$, only the contract of $f$ is visible, not its body

## Soundness Theorem for a Complete Program

Assuming that for each function defined as

```
let fun f(x1 : τ1, ..., xn : τn) : τ
  requires Pre
  writes w⃗
  ensures Post
  body Body
```

we have

- variables assigned in *Body* belong to $\vec{w}$,
- $\models Pre \Rightarrow \mathrm{WP}(Body, Post)[w_i@Old \leftarrow w_i]$ holds,

then for any formula $Q$ and any expression $e$,
if $\Sigma, \Pi \models \mathrm{WP}(e, Q)$ then execution of $\Sigma, \Pi, e$ is *safe*

Remark: (mutually) recursive functions are allowed

## Termination

- Loop *variants*
- *Variants* for (mutually) recursive function

Example: McCarthy's 91 Function

$$f91(n) = \texttt{if } n \leq 100 \texttt{ then } f91(f91(n+11)) \texttt{ else } n - 10$$

Exercise: find adequate specifications.

```
let fun f91(n:int): int
  requires ?
  variant ?
  writes ?
  ensures ?
body
  if n ≤ 100 then f91(f91(n + 11)) else n - 10
```

Use canvas file `mccarthy.mlw`

## Outline

## Advanced Modeling of Programs

*Direct definitions*

- logic functions, predicates with body
- *total* functions, no recursion allowed

*Axiomatic definitions*

- logic functions, predicates without body
- axioms to specify their behavior
- axiomatic types
- Risk of inconsistency

*Lemma functions*

- When automated provers fail: Write a program to construct a proof
- Example: construct witnesses for existential quantification
- Example: proof by induction using recursive functions

## Home Work 3

Prove Fermat's little theorem for case $p = 3$:

$$\forall x, \exists y. x^3 - x = 3y$$

using a lemma function

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## Programs on Arrays

- applicative maps as an axiomatic type
- array = reference to a pair (length, pure map)
- handling of out-of-bounds index check

```
val get(a:array α,i:int):α
  requires 0 ≤ i < fst(a)
  ensures  result = select(snd(a),i)

val set(a:array α,i:int,v:α):unit
  requires 0 ≤ i < fst(a)
  writes   a
  ensures  fst(a) = fst(a@Old) ∧
           snd(a) = store(snd(a@Old),i,v)
```

- `a[i]` interpreted as a call to `get(a,i)`
- `a[i] := v` interpreted as a call to `set(a,i,v)`

## Exercise: Search Algorithms

```
var a: array real

let fun search(n:int, v:real): int
  requires 0 ≤ n
  ensures  { ? }
= ?
```

1. Formalize postcondition: if $v$ occurs in $a$, between 0 and $n-1$, then result is an index where $v$ occurs, otherwise result is set to $-1$
2. Implement and prove *linear search*:

   $res := -1$;
   for each $i$ from 0 to $n-1$: if $a[i] = v$ then $res := i$;
   return $res$

See file `lin_search.mlw`

## Home Work: Binary Search

$low = 0$; $high = n - 1$;
while $low \leq high$:
  let $m$ be the middle of $low$ and $high$
  if $a[m] = v$ then return $m$
  if $a[m] < v$ then continue search between $m$ and $high$
  if $a[m] > v$ then continue search between $low$ and $m$

See file `bin_search.mlw`

## Home Work: "for" loops

Syntax: $\texttt{for } i = e_1 \texttt{ to } e_2 \texttt{ do } e$
Typing:

- $i$ visible only in $e$, and is immutable
- $e_1$ and $e_2$ must be of type `int`, $e$ must be of type `unit`

Operational semantics:
(assuming $e_1$ and $e_2$ are values $v_1$ and $v_2$)

$$\frac{v_1 > v_2}{\Sigma, \Pi, \texttt{for } i = v_1 \texttt{ to } v_2 \texttt{ do } e \rightsquigarrow \Sigma, \Pi, ()}$$

$$\frac{v_1 \leq v_2}{\Sigma, \Pi, \texttt{for } i = v_1 \texttt{ to } v_2 \texttt{ do } e \rightsquigarrow \Sigma, \Pi, \begin{array}{l}(\texttt{let } i = v_1 \texttt{ in } e); \\ (\texttt{for } i = v_1 + 1 \texttt{ to } v_2 \texttt{ do } e)\end{array}}$$

## Home Work: "for" loops

Propose a Hoare logic rule for the `for` loop:

$$\frac{\{?\}e\{?\}}{\{?\}\texttt{for } i = v_1 \texttt{ to } v_2 \texttt{ do } e\{?\}}$$

Propose a rule for computing the WP:

$$\mathrm{WP}(\texttt{for } i = v_1 \texttt{ to } v_2 \texttt{ invariant } I \texttt{ do } e, Q) = ?$$

Additional exercise: use a for loop in the linear search example

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## Product Types

- Tuples types are built-in:
  type pair = (int, int)
- Record types can be defined:
  type point = { x:real; y:real }
- Fields are immutable.
- We allow let with pattern, e.g.
  let (a,b) = some pair in ...
  let { x = a; y = b } = some point in
- Dot notation for records fields, e.g.
  point.x + point.y

## Sum Types

- Sum types à la ML:
  type t =
  $| \; C_1 \; \tau_{1,1} \cdots \tau_{1,n_1}$
  $| \; \vdots$
  $| \; C_k \tau_{k,1} \cdots \tau_{k,n_k}$
- Pattern-matching with
  match $e$ with
  $| \; C_1(p_1, \cdots, p_{n_1}) \to e_1$
  $| \; \vdots$
  $| \; C_k(p_1, \cdots, p_{n_k}) \to e_k$
  end
- Extended pattern-matching, wildcard: _

## Recursive Sum Types

- Sum types can be recursive.
- Recursive definitions of functions or predicates
  - Must termination (only total functions in the logic)
  - In practice in why3: recursive calls only allowed on structurally smaller arguments.

## Sum Types: Example of Lists

```
type list α = Nil | Cons α (list α)

function append(l1:list α,l2:list α): list α =
  match l1 with
  | Nil → l2
  | Cons(x,l) → Cons(x, append(l,l2))
  end

function length(l:list α): int =
  match l with
  | Nil → 0
  | Cons(_,r) → 1 + length r
  end

function rev(l:list α): list α =
  match l with
  | Nil → Nil
  | Cons(x,r) → append(rev(r), Cons(x,Nil))
  end
```

## "In-place" List Reversal

Exercise: fill the holes below.

```
val l: ref (list int)

let fun rev_append(r:list int)
  variant ?  writes  ?   ensures ?
body
  match r with
  | Nil → ()
  | Cons(x,r) → l := Cons(x,l); rev_append(r)
  end

let fun reverse(r:list int)
  writes l  ensures l = rev r
body ?
```

See `rev.mlw`

## Binary Trees

```
type tree α = Leaf | Node (tree α) α (tree α)
```

Home work: specify, implement, and prove a procedure returning the maximum of a tree of integers.

(problem 2 of the FoVeOOS verification competition in 2011, http://foveoos2011.cost-ic0701.org/verification-competition)

## Outline

## Exceptions

We extend the syntax of expressions with

$$e \ ::= \ \texttt{raise}\ exn \ \mid \ \texttt{try}\ e\ \texttt{with}\ exn \to e$$

with $exn$ a set of exception identifiers, declared as

**exception** exn <**type**>

Remark: <type> can be omitted if it is `unit`
Example: linear search revisited in `lin_search_exc.mlw`

## Operational Semantics

- Values: either constants $v$ or $\texttt{raise}\ exn$

Propagation of thrown exceptions:

$$\Sigma, \Pi, (\texttt{let}\ x = \texttt{raise}\ exn\ \texttt{in}\ e) \rightsquigarrow \Sigma, \Pi, \texttt{raise}\ exn$$

Reduction of try-with:

$$\frac{\Sigma, \Pi, e \rightsquigarrow \Sigma', \Pi', e'}{\Sigma, \Pi, (\texttt{try}\ e\ \texttt{with}\ exn \to e'') \rightsquigarrow \Sigma', \Pi', (\texttt{try}\ e'\ \texttt{with}\ exn \to e'')}$$

Normal execution:

$$\Sigma, \Pi, (\texttt{try}\ v\ \texttt{with}\ exn \to e') \rightsquigarrow \Sigma, \Pi, v$$

Exception handling:

$$\Sigma, \Pi, (\texttt{try}\ \texttt{raise}\ exn\ \texttt{with}\ exn \to e) \rightsquigarrow \Sigma, \Pi, e$$

$$\frac{exn \neq exn'}{\Sigma, \Pi, (\texttt{try}\ \texttt{raise}\ exn\ \texttt{with}\ exn' \to e) \rightsquigarrow \Sigma, \Pi, \texttt{raise}\ exn}$$

## WP Rules

Function WP modified to allow exceptional post-conditions too:

$$\mathrm{WP}(e, Q, exn_i \to R_i)$$

Implicitly, $R_k = \mathit{False}$ for any $exn_k \notin \{exn_i\}$.

Extension of WP for simple expressions:

$$\mathrm{WP}(x := t, Q, exn_i \to R_i) = Q[\mathrm{result} \leftarrow (), x \leftarrow t]$$

$$\mathrm{WP}(\texttt{assert}\ R, Q, exn_i \to R_i) = R \wedge Q$$

## WP Rules

Extension of WP for composite expressions:

$$\begin{aligned}&\mathrm{WP}(\texttt{let}\ x = e_1\ \texttt{in}\ e_2, Q, exn_i \to R_i) = \\ &\qquad \mathrm{WP}(e_1, \mathrm{WP}(e_2, Q, exn_i \to R_i)[\mathrm{result} \leftarrow x], exn_i \to R_i)\end{aligned}$$

$$\begin{aligned}&\mathrm{WP}(\texttt{if}\ t\ \texttt{then}\ e_1\ \texttt{else}\ e_2, Q, exn_i \to R_i) = \\ &\qquad \texttt{if}\ t\ \texttt{then}\ \mathrm{WP}(e_1, Q, exn_i \to R_i) \\ &\qquad\qquad \texttt{else}\ \mathrm{WP}(e_2, Q, exn_i \to R_i)\end{aligned}$$

$$\begin{aligned}&\mathrm{WP}\left(\begin{array}{c}\texttt{while}\ c\ \texttt{invariant}\ I \\ \texttt{do}\ e\end{array}, Q, exn_i \to R_i\right) = I \wedge \forall \vec{v}, \\ &\qquad (I \Rightarrow \texttt{if}\ c\ \texttt{then}\ \mathrm{WP}(e, I, exn_i \to R_i)\ \texttt{else}\ Q)[w_i \leftarrow v_i]\end{aligned}$$

where $w_1, \ldots, w_k$ is the set of assigned variables in $e$ and $v_1, \ldots, v_k$ are fresh logic variables.

## WP Rules

Exercise: propose rules for

$$\mathrm{WP}(\texttt{raise}\ exn, Q, exn_i \rightarrow R_i)$$

and

$$\mathrm{WP}(\texttt{try}\ e_1\ \texttt{with}\ exn \rightarrow e_2, Q, exn_i \rightarrow R_i)$$

$$\mathrm{WP}(\texttt{raise}\ exn_k, Q, exn_i \rightarrow R_i) = R_k$$

$$\mathrm{WP}((\texttt{try}\ e_1\ \texttt{with}\ exn \rightarrow e_2), Q, exn_i \rightarrow R_i) =$$

$$\mathrm{WP}\left(e_1, Q, \left\{ \begin{array}{l} exn \rightarrow \mathrm{WP}(e_2, Q, exn_i \rightarrow R_i) \\ exn_i \backslash exn \rightarrow R_i \end{array} \right.\right)$$

## Functions Throwing Exceptions

Generalized contract:

$\texttt{val}\ f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$
$\quad \texttt{requires}\ Pre$
$\quad \texttt{writes}\ \vec{w}$
$\quad \texttt{ensures}\ Post$
$\quad \texttt{raises}\ E_1 \rightarrow Post_1$
$\quad \vdots$
$\quad \texttt{raises}\ E_n \rightarrow Post_n$

Extended WP rule for function call:

$$\begin{array}{l} \mathrm{WP}(f(t_1, \dots, t_n), Q, E_k \rightarrow R_k) = Pre[x_i \leftarrow t_i] \wedge \forall \vec{v}, \\ \qquad (Post[x_i \leftarrow t_i, w_j \leftarrow v_j] \Rightarrow Q[w_j \leftarrow v_j]) \wedge \\ \qquad \bigwedge_k (Post_k[x_i \leftarrow t_i, w_j \leftarrow v_j] \Rightarrow R_k[w_j \leftarrow v_j]) \end{array}$$

## Example: “Defensive” variant of ISQRT

```
exception NotSquare

let fun isqrt(x:int): int
  ensures result ≥ 0 ∧ sqr(result) = x
  raises  NotSquare → forall n:int. sqr(n) ≠ x
body
  if x < 0 then raise NotSquare;
  let ref res = 0 in
  let ref sum = 1 in
  while sum ≤ x do
    res := res + 1; sum := sum + 2 * res + 1
  done;
  if sqr(res) ≠ x then raise NotSquare;
  res
```

See Why3 version in `isqrt_exc.mlw`

## Home Work

- Re-implement and prove linear search in an array, using an exception to exit immediately when an element is found. (see `lin_search_exc.mlw`)
- Implement and prove binary search using also a immediate exit:

  *low* = 0; *high* = *n* − 1;
  while *low* ≤ *high*:
    let *m* be the middle of *low* and *high*
    if *a*[*m*] = *v* then return *m*
    if *a*[*m*] < *v* then continue search between *m* and *high*
    if *a*[*m*] > *v* then continue search between *low* and *m*

  (see `bin_search_exc.mlw`)

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## Computers and Number Representations

- 32-, 64-bit signed integers in two-complement: may *overflow*
  - $2147483647 + 1 \rightarrow -2147483648$
  - $100000^2 \rightarrow 1410065408$
- floating-point numbers (32-, 64-bit):
  - *overflows*
    - $2 \times 2 \times \cdots \times 2 \rightarrow +inf$
    - $-1/0 \rightarrow -inf$
    - $0/0 \rightarrow \texttt{NaN}$
  - *rounding errors*
    - $\underbrace{0.1 + 0.1 + \cdots + 0.1}_{10 times} = 1.0 \rightarrow \texttt{false}$

      (because $0.1 \rightarrow 0.100000001490116119384765625$ in 32-bit)

See also `arith.c`

## Some Numerical Failures

(see more at http://catless.ncl.ac.uk/php/risks/search.php?query=rounding)

- 1991, during Gulf War 1, a Patriot system fails to intercept a Scud missile: 28 casualties.
- 1992, Green Party of Schleswig-Holstein seats in Parliament for a few hours, until a rounding error is discovered.
- 1995, Ariane 5 explodes during its maiden flight due to an overflow: insurance cost is $500M.
- 2007, Excel displays $77.1 \times 850$ as 100000.

## Some Numerical Failures

- 1991, during Gulf War 1, a Patriot system fails to intercept a Scud missile: 28 casualties.

  Internal clock ticks every 0.1 second.
  Time is tracked by fixed-point arith.: $0.1 \simeq 209715 \cdot 2^{-24}$.
  Cumulated skew after 24h: $-0.08$s, distance: 160m.
  System was supposed to be rebooted periodically.

- 2007, Excel displays $77.1 \times 850$ as 100000.

  Bug in binary/decimal conversion.
  Failing inputs: 12 FP numbers.
  Probability to uncover them by random testing: $10^{-18}$.

## Integer overflow: example of Binary Search

- Google "Read All About It: Nearly All Binary Searches and Mergesorts are Broken"

```
let l = ref 0 in
let u = ref (a.length - 1) in
while l ≤ u do
  let m = (l + u) / 2 in
  ...
```

$l + u$ may overflow with large arrays!

**Goal**
prove that a program is safe with respect to overflows

## Target Type: int32

- 32-bit signed integers in two-complement representation: integers between $-2^{31}$ and $2^{31} - 1$.
- If the mathematical result of an operation fits in that range, that is the computed result.
- Otherwise, an overflow occurs.
  Behavior depends on language and environment: modulo arith, saturated arith, abrupt termination, etc.

A program is safe if no overflow occurs.

## Safety Checking

Idea: replace all arithmetic operations by abstract functions with preconditions. $x + y$ becomes int32_add($x, y$).

```
val int32_add(x: int, y: int): int
  requires -2^31 ≤ x + y < 2^31
  ensures result = x + y
```

Unsatisfactory: range contraints of integer must be added explicitly everywhere

## Safety Checking, Second Attempt

Idea:

- replace type *int* with an abstract type *int32*
- introduce a *projection* from *int32* to *int*
- axiom about the *range* of projections of *int32* elements
- replace all operations by abstract functions with preconditions

```
type int32
function to_int(x: int32): int
axiom bounded_int32:
  forall x: int32. -2^31 ≤ to_int(x) < 2^31

val int32_add(x: int32, y: int32): int32
  requires -2^31 ≤ to_int(x) + to_int(y) < 2^31
  ensures to_int(result) = to_int(x) + to_int(y)
```

## Binary Search with overflow checking

See `bin_search_int32.mlw`

### Application

Used for translating mainstream programming language into Why3:

- From C to Why3: Frama-C, Jessie plug-in
  See `bin_search.c`
- From Java to Why3: Krakatoa
- From Ada to Why3: Spark2014

## Floating-Point Arithmetic

- Limited range $\Rightarrow$ exceptional behaviors.
- Limited precision $\Rightarrow$ inaccurate results.

## Floating-Point Data

IEEE-754 Binary Floating-Point Arithmetic.
Width: $1 + w_e + w_m = 32$, or 64, or 128.
Bias: $2^{w_e-1} - 1$. Precision: $p = w_m + 1$.

A floating-point datum

| sign $s$ | biased exponent $e'$ ($w_e$ bits) | mantissa $m$ ($w_m$ bits) |
|---|---|---|

represents

- if $0 < e' < 2^{w_e} - 1$, the real $(-1)^s \cdot \overline{1.m'} \cdot 2^{e'-bias}$, normal
- if $e' = 0$,
  - $\pm 0$ if $m' = 0$, zeros
  - the real $(-1)^s \cdot \overline{0.m'} \cdot 2^{-bias+1}$ otherwise, subnormal
- if $e' = 2^{w_e} - 1$,
  - $(-1)^s \cdot \infty$ if $m' = 0$, infinity
  - *Not-a-Number* otherwise. NaN

## Floating-Point Data

$$
\begin{array}{ccccc}
\boxed{1} & & \boxed{11000110} & & \boxed{10010011110000111000000} \\
s & & e & & f \\
\downarrow & & \downarrow & & \downarrow \\
(-1)^s & \times & 2^{e-B} & \times & 1.f \\
 & & & & \\
(-1)^1 & \times & 2^{198-127} & \times & 1.10010011110000111000000_2
\end{array}
$$

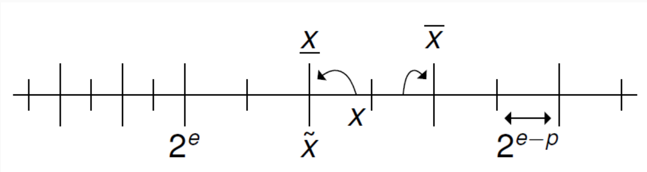
$$-2^{54} \times 206727 \approx -3.7 \times 10^{21}$$

## Semantics for the Finite Case

**IEEE-754 standard**

A floating-point operator shall behave as if it was first computing the infinitely-precise value and then rounding it so that it fits in the destination floating-point format.

Rounding of a real number $x$:




Overflows are not considered when defining rounding: exponents are supposed to have no upper bound!

## Specifications, main ideas

Same as with integers, we specify FP operations so that no overflow occurs.

```
constant max : real = 0x1.FFFFFEp127
predicate in_float32 (x:real) = abs x ≤ max
type float32
function to_real(x: float32): real
axiom float32_range: forall x: float32. in_float32 (to_real x)

function round32(x: real): real
(* ... axioms about round32 ... *)

function float32_add(x: float32, y: float32): float32
  requires in_float32(round32(to_real x + to_real y))
  ensures to_real result = round32 (to_real x + to_real y)
```

## Specifications in practice

- Several possible rounding modes
- many axioms for `round32`, but incomplete anyway
- Specialized prover: Gappa `http://gappa.gforge.inria.fr/`

Demo: `clock_drift.c`

## Deductive verification nowadays

More native support in SMT solvers:

- *bitvectors* supported by CVC4, Z3, others
- *theory of floats* supported by Z3, MathSAT

Using such a support for deductive program verification remains an open research topic

- Issues when bitvectors/floats are mixed with other features: conversions, arrays, quantification

Fumex et al.(2016) C. Fumex, C. Dross, J. Gerlach, C. Marché. Specification and proof of high-level functional properties of bit-level programs. 8th NASA Formal Methods Symposium, LNCS 9690 Science

Boldo, Marché (2011) S. Boldo, C. Marché. Formal verification of numerical programs: from C annotated programs to mechanical proofs. Mathematics in Computer Science, 5:377–393