

# Inductive Constructions

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# Inductive Constructions: outline

Material for the course

<http://www.lri.fr/~paulin/TypesSummerSchool>

*Course 1 : Basic notions*

- Introduction
- Inductive constructions in practice
- Encoding in HOL
- Rules for COQ inductive constructions

# Inductive Constructions: outline

## *Course 2 : Advanced notions*

- Equality
- Paradoxes
- Coinductive definitions
- Extensions

# Part I

## Inductive Constructions : basic notions

# Plan

- Introduction
- Inductive constructions in practice
  - Basic data types
  - Predicate definition
  - Inductive families
  - Recursive functions
- Encoding in HOL
- Rules for Coq inductive constructions
  - Well-formedness of definition
  - Introduction
  - Elimination

# Informal definition

An inductive definition introduces a **new** set of objects (predicate)  $I$  by :

- ▶ a set of **rules of constructions** for object in  $I$  (proofs of  $I$ ).
- ▶ **initiality** : If  $T$  admits the same rules of constructions then  $I \subseteq T$ 
  - ▶ **smallest notion** closed under the rules of constructions
  - ▶ distinct rules of constructions give distinct objects

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# Inductive definitions are everywhere !

- ▶ **programming**
  - ▶ data structures : enumerated types, records, sum natural numbers, lists, trees ...
  - ▶ clauses in logic programming : predicate definition
- ▶ **semantics of programming languages**
  - ▶ abstract syntax trees
  - ▶ inference rules for static or operational semantics
- ▶ **logic**
  - ▶ representation of terms, formulas (grammars)
  - ▶ semantics, deduction relation
  - ▶ constructive interpretation of connectors  
Curry-Howard isomorphism
- ▶ **proof assistant**
  - ▶ basic notion in Martin-Löf's Type Theory (Agda)
  - ▶ encoded in HOL
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# Two different views

## Mathematics

- ▶ Sets as primitive objects
- ▶ Natural numbers, relations, functions as derived notions
- ▶ Extensional equality

## Programming language or proof assistant

- ▶ Every constructions should be justified, implemented
- ▶ Intensional view of objects
- ▶ Functions as algorithms
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# Inductive definitions in proof-assistants

## Representation

- ▶ encoded
- ▶ primitive notion in the theory

## Which class of inductive definitions ?

- ▶ (strictly) positive, monotonic, no restriction
- ▶ polymorphic, impredicative . . .
- ▶ mutually inductive definitions, inductive families . . .

## Which rules ?

- ▶ primitive rules / derived rules
  - ▶ pattern-matching
  - ▶ primitive recursion
  - ▶ course of value recursion
  - ▶ . . .

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  - ▶ primitive recursion
  - ▶ course of value recursion
  - ▶ ...

# Encoded inductive definitions

- ▶ To a set  $I$  corresponds a type  $\widehat{I}$
- ▶ To  $t \in I$  corresponds a term  $\widehat{t} : \widehat{I}$
- ▶ To a property  $P t$  corresponds a proof  $\vdash \widehat{P} t$

**Question** Adequation of the representation ?

**Example**

- ▶  $\mathbb{N}$  encoded as  $\forall \alpha, (\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$
- ▶  $n \in \mathbb{N}$  encoded as  $\widehat{n} \equiv \lambda \alpha f x, f^n x$   
 $n + m \equiv \lambda \alpha f x, n \alpha f (m \alpha f x)$
- ▶  $\forall x, 0 + x = x, \widehat{n} + 0 = \widehat{n}$
- ▶  $0 \neq 1$  not provable in pure Calculus of Constructions

# Primitive inductive definitions

## Basic principles

- ▶ Which class of inductive definitions ?
- ▶ Primitive eliminations ?  
recursive combinators, pattern-matching, fixpoints, rewriting ...

## Good computational behavior

- ▶ Termination of computations
- ▶ Extraction, proof irrelevance

## Consistency of the underlying logic

# Goal of the course

## Better understanding of Inductive Definitions

- ▶ How to use them ?
- ▶ Intuition on their power.
- ▶ Explanation of some design choices.
- ▶ What can/cannot be done with inductive definitions.

# Notations

inspired by Coq notations, informal in the first part

- ▶ sorts: **Prop**, **Type**, \*
- ▶ function space:
  - ▶  $\forall(x : A), B$
  - ▶  $A \rightarrow B$
  - ▶  $\forall x, B \quad \forall(x_1 : A_1)..(x_n : A_n), B.$
- ▶ abstraction: **fun**  $x \Rightarrow t$
- ▶ application:  $t x_1 \dots x_n$

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# Basic (non-recursive) data types

## Concrete data-types/propositional logic

- ▶ enumerated sets (absurdity, truth)

**Inductive** `empty := . (* False *)`

**Inductive** `unit := tt. (* True *)`

**Inductive** `bool := true | false`

- ▶ disjoint sum, constructive disjunction

**Inductive** `sum A B (* or A B *)`

`:= inl : A → sum A B`

`| inr : B → sum A B.`

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# Product

- ▶ product, conjunction

**Inductive** `prod A B (* and A B *)`  
`:= pair : A → B → prod A B.`

- ▶ dependent product, existential quantifier

**Inductive** `sig (P : A → *) (* ex x:A, P *)`  
`:= sigi : ∀ x:A, P x → sig P.`

a  $\forall$  in the type of the constructor corresponds to a constructive existential quantification.

# General pattern

**Inductive**  $I$  (*params*)

$:= \dots$

|  $c_i : \forall x_1 : A_1 \dots x_n : A_n, I \text{ params}$

|  $\dots$

- ▶ Non-recursive case:  $I$  does not occur in  $A_i$
- ▶ Property: any  $x : I$  is of the form  $c_i a_1 \dots a_n$
- ▶ Elimination: **complete** pattern-matching

$f : \text{empty} \rightarrow C := .$

$f : \text{unit} \rightarrow C := f \text{ tt} \Rightarrow x.$

$f : \text{bool} \rightarrow C := f \text{ true} \Rightarrow x \mid f \text{ false} \Rightarrow y.$

$f : \text{sum } A \ B \rightarrow C :=$

$f (\text{inl } a) \Rightarrow x \mid f (\text{inr } b) \Rightarrow y.$

# Recursive data types

Algebraic datatypes: natural numbers, lists, trees ...  
 Pattern-matching + structural recursion

**Inductive**  $\text{nat} := 0 \mid S : \text{nat} \rightarrow \text{nat}.$

**Def**  $f : \text{nat} \rightarrow C :=$

$f\ 0 \Rightarrow \dots$

$f\ (S\ n) \Rightarrow \dots\ (f\ n)\ \dots$

**Induction principle**

$$\forall P, P\ 0 \rightarrow (\forall n, P\ n \rightarrow P\ (S\ n)) \rightarrow \forall n, P\ n$$

# Exercise

**Inductive**  $I := c : I \rightarrow I$ .

- ▶ Write the induction principle associated to  $I$
- ▶ Give the general form of primitive recursive functions of type  $I \rightarrow C$
- ▶  $I$  is empty: build a function of type  $I \rightarrow \text{empty}$

# More recursive data types

Trees with **denumerable** branching.

```
Inductive ord : Type :=
  zero | succ : ord → ord
| lim : (nat → ord) → ord.
```

```
Def nat2ord : nat → ord :=
  0 ⇒ zero
| S n ⇒ succ (nat2ord n).
```

(`lim nat2ord`) is infinite but each branch is finite.

**Induction principle:**

$$\begin{aligned} \forall P, P \text{ zero} \rightarrow (\forall x, P x \rightarrow P (\text{succ } x)) \\ \rightarrow (\forall f, (\forall n, P (f n)) \rightarrow P (\text{lim } f)) \\ \rightarrow \forall x:\text{ord}, P x \end{aligned}$$

# Well-founded type

$Wx : A.Bx$

Each node is parametrized by  $a : A$   
with subtrees indexed by  $b : Ba$ .

**Inductive**  $W (B:A \rightarrow *)$

$:= \text{Node} : \forall a:A, (B a \rightarrow W B) \rightarrow W B.$

Recursive calls on subtrees:

**Def**  $f : W B \rightarrow C :=$

$(\text{Node } a \ t) \Rightarrow \dots (f \ (t \ b_1)) \dots (f \ (t \ b_p)) \dots$

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# Predicate definition

## Logic

- ▶  $x = y$  with introduction rule:  $x = x$

## Logic programming

le 0 n :-

le (S n) (S m) :- le n m

## Semantics

$$\frac{s \vdash b \rightsquigarrow \text{true} \quad s \vdash p \rightsquigarrow s_1 \quad s_1 \vdash (\text{while } bp) \rightsquigarrow s_2}{s \vdash (\text{while } bp) \rightsquigarrow s_2}$$

$$\frac{s \vdash b \rightsquigarrow \text{false}}{s \vdash (\text{while } bp) \rightsquigarrow s}$$

# Example

## Reflexive-transitive closure of $R$

**Inductive**  $RT :=$

$RT_{\text{refl}}: \forall x, RT\ x\ x$   
 $| RT_{\text{R}}: \forall x\ y, R\ x\ y \rightarrow RT\ x\ y$   
 $| RT_{\text{tran}}: \forall x\ y\ z, RT\ x\ z \rightarrow RT\ z\ y \rightarrow RT\ x\ y.$

**Fixpoint:**

$$RT\ x\ y \leftrightarrow x = y \vee R\ x\ y \vee (\exists z, RT\ x\ z \wedge RT\ z\ y)$$

**Minimality:**

$$\begin{aligned}
 &\forall P, \quad (\forall x, P\ x\ x) \\
 &\rightarrow (\forall xy, R\ x\ y \rightarrow P\ x\ y) \\
 &\rightarrow (\forall xyz, RT\ x\ y \rightarrow P\ x\ y \rightarrow RT\ y\ z \rightarrow P\ y\ z \rightarrow P\ x\ z) \\
 &\rightarrow \forall xy, RT\ x\ y \rightarrow P\ x\ y
 \end{aligned}$$

# Exercise

- ▶ Prove  $RT\ x\ y \leftrightarrow x = y \vee \exists z, RT\ x\ z \wedge R\ z\ y$
- ▶ Write a new inductive definition of the transitive-closure corresponding to the previous equivalence
- ▶ Write the corresponding minimality principle

# General pattern

**Inductive**  $\mathbb{I} := \dots$

|  $c_i : \forall x_1 : A_1 \dots x_n : A_n, \mathbb{I} \ t_1 \dots t_p$

|  $\dots$

$t_1 \dots t_p$  are **arbitrary terms**,

not only parameters (distinct quantified variables).

Recursive arguments on other instances /  $u_1 \dots u_p$

Smallest relation preserving the rules of construction.

**Equality** is one of the central notions:

**Inductive**  $\mathbb{I} \ y_1 \dots y_p := \dots$

|  $c_i : \forall x_1 : A_1 \dots x_n : A_n,$

$t_1 \dots t_p = y_1 \dots y_p \rightarrow \mathbb{I} \ y_1 \dots y_p$

|  $\dots$

# Equality

Smallest reflexive relation:

**Inductive**  $eq := eqrefl : \forall x, eq\ x\ x.$

**Minimality:**

$$\forall P, (\forall x, P\ x\ x) \rightarrow \forall xy, eq\ x\ y \rightarrow P\ x\ y$$

**Different** elimination (Leibniz equality):

$$\forall Q\ x\ y, Q\ x \rightarrow eq\ x\ y \rightarrow Q\ y$$

**Derivable equivalence**

- ▶  $2 \Rightarrow 1$  Given  $P\ x$ , takes  $Q \equiv P\ x$
- ▶  $1 \Rightarrow 2$  Given  $Q$  takes  $P\ x\ y \equiv Q\ x \rightarrow Q\ y$

$2$  is always easier to use.

# Parameters

corresponds to an outside quantification:

**Variable**  $x$ .

**Inductive**  $eq_x := refl_{eq_x} : eq_x x$ .

usually written:

**Inductive**  $eq\ x := eqrefl : eq\ x\ x$ .

Generated minimality principle:

$$\forall x, \forall Q, Q\ x \rightarrow \forall y, eq\ x\ y \rightarrow Q\ y$$

General pattern:

**Inductive**  $I\ (params) := \dots$

|  $c_i : \forall x_1 : A_1 \dots x_n : A_n, I\ params\ t_1 \dots t_p$

|  $\dots$

# Inversion

The conclusion of the minimality principle is

$$\forall x_1 \dots x_p, I x_1 \dots x_p \rightarrow P x_1 \dots x_p$$

$P$  should be true for all instances of  $I$ .

Sometimes, we want to prove special instances:

- ▶  $le(S n) 0 \rightarrow False$
- ▶  $le(S n)(S m) \rightarrow le n m$

Advanced pattern-matching rules, or find a generalization:

- ▶  $le x y \rightarrow x = S n \rightarrow y = 0 \rightarrow False$   
inversion
- ▶  $le x y \rightarrow le(P x)(P y)$

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# Different definitions of the order on natural numbers

## Recursive definition

**Def**  $le_0 : \text{nat} \rightarrow \text{nat} \rightarrow \text{prop}$   
 $le_0 \ 0 \ n \Rightarrow \text{True} \mid le_0 \ (S \ n) \ 0 \Rightarrow \text{False}$   
 $\mid le_0 \ (S \ n) \ (S \ m) \Rightarrow le_0 \ n \ m.$

## Inductive definitions:

**Inductive**  $le_1 : \text{nat} \rightarrow \text{nat} \rightarrow \text{prop}$   
 $le_1b : \forall n, le_1 \ 0 \ n$   
 $\mid le_1S : \forall n \ m, le_1 \ n \ m \rightarrow le_1 \ (S \ n) \ (S \ m).$

**Inductive**  $le_2 \ (n : \text{nat}) : \text{nat} \rightarrow \text{prop}$   
 $le_2b : le_2 \ n \ n$   
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# Equivalence of definitions

	$le_0$	$le_1$	$le_2$
$2 \leq 3$	<i>true</i>	$le_1 S (le_1 S (le_1 b 1))$	$le_2 S (le_2 b 2)$
$0 \leq n$	<i>true</i>	$le_1 b$	<i>ind n</i>
$n \leq m \Rightarrow S n \leq S m$	$A \Rightarrow A$	$le_1 S$	<i>ind (n ≤ m)</i>
$n \leq n$	<i>ind n</i>	<i>ind n</i>	$le_2 b$
$n \leq m \Rightarrow n \leq S m$	<i>double ind</i>	<i>ind (n ≤ m)</i>	$le_2 S$
$S n \leq S m \Rightarrow n \leq m$	$A \Rightarrow A$	<i>inversion</i>	<i>hard</i>
$S n \not\leq 0$	$\neg$ <i>false</i>	<i>inversion</i>	<i>inversion</i>
<i>transitive</i>	<i>double ind</i>	<i>double ind</i>	<i>ind(m ≤ p)</i>

$$le_1 \Leftrightarrow le_2$$

$$le_0 \Rightarrow le_1 (\textit{double ind})$$

# Remarks

- ▶ Recursive definitions are not always possible (see *RT*)
- ▶ Inductive definitions are not always possible (positivity)
  - ▶  $SN\ x \rightarrow x \in [base]$
  - ▶  $(\forall x, x \in [\sigma] \rightarrow (app\ t\ x) \in [\tau]) \rightarrow t \in [arr\ \sigma\ \tau]$
- ▶ Possible choice between different inductive/recursive specifications  
(some theorems for free, other more complicated to get)

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# Inductive families

Proof of inductively defined relation seen as concrete objects

**Inductive** list :=  
 nil : list 0  
 | cons :  $\forall n, A \rightarrow \text{list } n \rightarrow \text{list } (S n)$

Pattern-matching and recursive definitions as for lists.

Induction principle:

$$\begin{aligned} &\forall P, (P \ 0 \ \text{nil}) \\ &\rightarrow (\forall n \ a \ l, P \ n \ l \rightarrow P \ (S \ n) \ (\text{cons } n \ a \ l)) \\ &\rightarrow \forall n \ l, P \ n \ l \end{aligned}$$

# Concrete view of relations

## Finite sets

```
inductive finite : set → * :=
  fin0 : finite ∅
  | finadd : ∀ a s, finite s → a ∉ s → finite ({a}U s)
```

The proof is a list enumerating the elements without duplication.

## Derivations in minimal logic

```
inductive pr : list form → form → * :=
  elim: ∀ E A B, pr E (A⇒B) → pr E A → pr E B
  | intro: ∀ E A B, pr (A::E) B → pr E (A⇒B)
  | var: ∀ E A, pr (A::E) A
  | weak: ∀ E A B, pr E B → pr (A::E) B.
```

A proof of *pr E A* encodes a lambda-term.

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# Structural recursion

**Def**  $f : \text{nat} \rightarrow C :=$   
 $f \ 0 \Rightarrow \dots$   
 $f \ (S \ n) \Rightarrow \dots \ (f \ n) \ \dots$

like primitive recursion but  $C$  can be functional

**Def**  $\text{ackf} \ (f : \text{nat} \rightarrow \text{nat}) : \text{nat} \rightarrow \text{nat} :=$   
 $\text{ackf} \ f \ 0 \Rightarrow f \ (S \ 0)$   
 $\text{ackf} \ f \ (S \ m) \Rightarrow f \ (\text{ackf} \ f \ m).$

**Def**  $\text{ack} : \text{nat} \rightarrow \text{nat} \rightarrow \text{nat} :=$   
 $\text{ack} \ 0 \Rightarrow (\text{fun } m \Rightarrow m)$   
 $\text{ack} \ (S \ n) \Rightarrow \text{ackf} \ (\text{ack} \ n)$

# A theoretical result

Any recursive function, **provably total** in (higher-order) arithmetic can be represented using (higher-order) primitive recursive scheme.

- ▶ Take a recursive function  $f$
- ▶ Kleene  $T, U$  (primitive recursive) : natural number  $n$  which represents  $f : f(x) = y \Leftrightarrow \exists k. T n x k \wedge U k = y$
- ▶  $f$  is provably total if there is a proof of :  $\forall x. \exists k. T n x k$
- ▶ From this proof, one can extract a (higher-order) primitive recursive representation.

*Maybe not the appropriate algorithm !*

# A theoretical result

Any recursive function, **provably total** in (higher-order) arithmetic can be represented using (higher-order) primitive recursive scheme.

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- ▶ From this proof, one can extract a (higher-order) primitive recursive representation.

*Maybe not the appropriate algorithm !*

# Schemes

**Def**  $f (n:\text{nat}) : C := h (f n_1) \dots (f n_p) .$

with  $n_i < n$ .

**Def**  $g : \text{nat} \rightarrow \text{nat} \rightarrow C :=$

$g n 0 \Rightarrow ? \mid g n (S m) \Rightarrow h (g n_1 m) \dots (g n_p m) .$

**Def**  $f (n:\text{nat}) : C := g n (S n) .$

Properties:

**Lemma**  $\forall m n, n < m \rightarrow f n = g n m .$

**Lemma**  $\forall n, f n = h (f n_1) \dots (f n_p) .$

Associated induction principle:

$$\forall P, (\forall n, (\forall m, m < n \rightarrow P m) \rightarrow P n) \rightarrow \forall n, P n$$

# Well-founded ordering

Relation  $<$  with no infinite chain

**Inductive**  $\text{acc } x$   
 $:= \text{acci} : (\forall y, y < x \rightarrow \text{acc } y) \rightarrow \text{acc } x.$

$<$  is well-founded if  $\text{wf} : \forall x, \text{acc } x$

Structural recursion:

$F : \forall x, \text{acc } x \rightarrow C :=$   
 $F x (\text{acci } t) \Rightarrow \dots (F y (t y ?_{y < x})) \dots$

Induction principle:

$\forall P, (\forall y, y < x \rightarrow P y) \rightarrow P x \rightarrow \forall x, \text{acc } x \rightarrow P x$

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**Structural recursion:**

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**Induction principle:**

$$\forall P, (\forall y, y < x \rightarrow P y) \rightarrow P x \rightarrow \forall x, \text{acc } x \rightarrow P x$$

# Well-founded recursion

**Def**  $f (x : A) : h (f x_1) \dots (f x_p) .$

with  $x_j < x$  for a well-founded order  $<$ .

**Def**  $g (x : A) (a : \text{acc } x) : C :=$   
 $g x (\text{acc } i \ t) \Rightarrow h \dots (g x_j (t \ x_j \ ?_{x_j < x})) \dots$

**Def**  $f (x : A) := g x (\text{wf } x)$

Property :

**Lemma**  $\forall (x : A) (a : \text{acc } x), f x = g x a$

**Lemma**  $\forall (x : A) f x = h (f x_1) \dots (f x_p) .$

# Minimisation

$$\text{min } P \ n = \text{if } P \ n \ \text{then } n \ \text{else } \text{min } P \ (S \ n)$$

introduce  $x \prec y := \neg P \ y \wedge x = S \ y$

show  $\forall m, P \ m \rightarrow \text{acc}_{\prec} \ 0$

**Remark:** we cannot expect a (strongly normalizing) reduction

$$\text{min } P \ n \longrightarrow \text{if } P \ n \ \text{then } n \ \text{else } \text{min } P \ (S \ n)$$

# Termination arguments

**Inductive** `prog` :=

- Base : (state → state) → prog
- | Seq : prog → prog → prog (\* p1;p2 \*)
- | While : (state → bool) → prog → prog.

How to write an evaluation function ?

**Inductive** `E` : state → prog → state → Prop :=

- EBase : ∀ s p, E s (Base p) (p s)
- | ESeq : ∀ s1 s2 s3 p q,  
E s1 p s2 → E s2 q s3 → E s1 (p;q) s3
- | EWhiletrue : ∀ s1 s2 b p,  
b s1 = true → E s1 (p;While b p) s2  
→ E s1 (While b p) s2
- | EWhilefalse : ∀ s b p,  
b s = false → E s (While b p) s.

# Termination arguments

**Inductive**  $\text{prog} :=$

- Base :  $(\text{state} \rightarrow \text{state}) \rightarrow \text{prog}$
- | Seq :  $\text{prog} \rightarrow \text{prog} \rightarrow \text{prog} (* p1;p2 *)$
- | While :  $(\text{state} \rightarrow \text{bool}) \rightarrow \text{prog} \rightarrow \text{prog}$ .

How to write an evaluation function ?

**Inductive**  $E : \text{state} \rightarrow \text{prog} \rightarrow \text{state} \rightarrow \text{Prop} :=$

- EBase :  $\forall s p, E s (\text{Base } p) (p s)$
- | ESeq :  $\forall s1 s2 s3 p q,$   
 $E s1 p s2 \rightarrow E s2 q s3 \rightarrow E s1 (p;q) s3$
- | EWhiletrue :  $\forall s1 s2 b p,$   
 $b s1 = \text{true} \rightarrow E s1 (p;\text{While } b p) s2$   
 $\rightarrow E s1 (\text{While } b p) s2$
- | EWhilefalse :  $\forall s b p,$   
 $b s = \text{false} \rightarrow E s (\text{While } b p) s.$

# Terminating programs

**Inductive**  $T : \text{state} \rightarrow \text{prog} \rightarrow \text{Prop} :=$

- TBase :  $\forall s p, T s (\text{Base } p)$
- | TSeq :  $\forall s p q,$   
 $T s p \rightarrow (\forall s', E s p s' \rightarrow T s' q)$   
 $\rightarrow T s (p; q)$
- | TWhiletrue :  $\forall s b p,$   
 $b s = \text{true} \rightarrow T s (p; \text{While } b p)$   
 $\rightarrow T s (\text{While } b p)$
- | TWhilefalse :  $\forall s b p,$   
 $b s = \text{false} \rightarrow T s (\text{While } b p).$

# Evaluation function

Recursively defined on terminating programs:

```

Def eval :  $\forall s p, T s p \rightarrow ex s', E s p s'$ 
eval s (Base p) (TBase s p)  $\Rightarrow$  (p s,?)
eval s (p1;p2) (TSeq s p1 p2 tp1 tp2)  $\Rightarrow$ 
    let (s1,e1) := eval s p1 tp1 in
    let (s2,_) := eval s1 p2 (tp2 s1 e1)
    in (s2,?)
eval s (While b p) (TWhiletrue s b p H ts)  $\Rightarrow$ 
    let (s',_) := eval s (p;While b p) ts
    in (s',?)
eval s (While b p) (TWhilefalse s b p H)  $\Rightarrow$  (s,?)
  
```

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# Encoding of non-recursive predicates

**Inductive**  $I\ pars :=$   
 $\dots c_j : \forall (x_1 : A_1) \dots (x_n : A_n) I\ pars\ u_1 \dots u_p \dots$

is translated into

**Def**  $I\ pars\ y_1 \dots y_p :=$   
 $\forall P, \dots (\forall (x_1 : A_1) \dots (x_n : A_n) P\ u_1 \dots u_p) \dots$   
 $\rightarrow P\ y_1 \dots y_p$

- ▶ No dependent types, no pattern-matching.
- ▶ Works for equality, inference rules ...

# Encoding of inductive predicates

Unary predicate with one constructor

**Inductive**  $I\ x := c : F\ I\ x \rightarrow I\ x.$

$F$  has type  $(A \rightarrow prop) \rightarrow (A \rightarrow prop)$

$F$  should be monotonic :  $mon : X \subseteq Y \rightarrow F\ X \subseteq F\ Y$

with  $X \subseteq Y \equiv \forall x, X\ x \rightarrow Y\ x$

Introduce

**Def**  $I\ x := \forall P, (F\ P \subseteq P) \rightarrow P\ x.$

# Introduction/Elimination schemes

**Def**  $I\ x := \forall P, (F\ P \subseteq P) \rightarrow P\ x.$

Iteration scheme is trivial.

**Def**  $it := \forall P, (F\ P \subseteq P) \rightarrow I \subseteq P.$

Constructor:

**Def**  $c : F\ I \subseteq I :=$   
 $\text{fun } x\ (t:F\ I\ x)\ P\ (f : F\ P \subseteq P) \Rightarrow$   
 $f\ (\text{mon } (it\ P\ f)\ x\ t).$

Recursors:

**Def**  $\text{rec1} := \forall P, (F\ (I \cap P) \subseteq P) \rightarrow I \subseteq P.$

**Def**  $\text{rec2} := \forall P,$   
 $(\forall Q, (Q \subseteq I) \rightarrow (Q \subseteq P) \rightarrow F\ Q \subseteq P)$   
 $\rightarrow I \subseteq P.$

Exercise: show that these schemes are equivalent

# Inductive types

T. Melham, E. Gunter, L. Paulson, J. Harrison. . .

The key steps :

- ▶ Define a type  $X$ , such that one can build injective functions for the constructors.  $z : X \quad s : X \rightarrow X \quad sx = sy \rightarrow x = y \quad sx \neq z$
- ▶ Define by induction the smallest subset  $Ix$  of  $X$  closed by the rules of construction.  $Nx = \forall P, Pz \rightarrow (\forall y. Py \rightarrow P(sy)) \rightarrow Px$
- ▶ Define  $\mathbb{I}$  as the restriction of  $X$  to objects  $x$  which satisfy  $Ix$ .

**abs** :  $X \rightarrow \mathbb{I} \quad \mathbf{rep} : \mathbb{I} \rightarrow X$

**abs** (**rep**  $n$ ) =  $n \quad Ix \rightarrow \mathbf{rep}$ (**abs**  $x$ ) =  $x \quad I(\mathbf{rep} \ n)$

$Ix \rightarrow Iy \rightarrow \mathbf{abs} \ x = \mathbf{abs} \ y \rightarrow x = y$

# Properties of the inductive type

- ▶ Define constructors of  $\mathbb{I}$  with the appropriate type using **abs** and **rep**.

$$O = \mathbf{abs} \ z \quad S n = \mathbf{abs} \ (s(\mathbf{rep} \ n))$$

- ▶  $S n = S m \rightarrow n = m$   
because  $s(\mathbf{rep} \ n) = s(\mathbf{rep} \ m) \rightarrow \mathbf{rep} \ n = \mathbf{rep} \ m$
- ▶  $S n \neq O$  because  $s(\mathbf{rep} \ n) \neq z$
- ▶ Derive induction principle for  $\mathbb{I}$  using property *I*.

$$\frac{P \ 0 \quad \forall n : N, P \ n \rightarrow P \ (S \ n)}{\forall n : N, P \ n}$$

Show  $\forall x, N \ x \rightarrow P \ (\mathbf{abs} \ x)$

# Properties of the inductive type

- ▶ Define constructors of  $\mathbb{I}$  with the appropriate type using **abs** and **rep**.

$$O = \mathbf{abs} \ z \quad S n = \mathbf{abs} \ (s(\mathbf{rep} \ n))$$

- ▶  $S n = S m \rightarrow n = m$

$$\text{because } s(\mathbf{rep} \ n) = s(\mathbf{rep} \ m) \rightarrow \mathbf{rep} \ n = \mathbf{rep} \ m$$

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- ▶ Derive induction principle for  $\mathbb{I}$  using property *I*.

$$\frac{P \ 0 \quad \forall n : N, P \ n \rightarrow P \ (S \ n)}{\forall n : N, P \ n}$$

Show  $\forall x, N \ x \rightarrow P(\mathbf{abs} \ x)$

# Recursion scheme

Prove the existence of a general recursor

$$\forall g : \alpha, \forall h : \mathbb{N} \rightarrow \alpha \rightarrow \alpha.$$

$$\exists ! f : \mathbb{N} \rightarrow \alpha, f 0 = g \wedge \forall n. f (S n) = h n (f n)$$

Define  $F : \mathbb{N} \rightarrow \alpha \rightarrow o$  inductively :

$$\frac{}{F 0 g} \quad \frac{F n a}{F (S n) (h n a)}$$

Prove by induction on  $n$

$$\triangleright \forall n : \mathbb{N}, \exists a : \alpha, F n a$$

$$\triangleright \forall (n : \mathbb{N})(a b : \alpha), F n a \rightarrow F n b \rightarrow a = b$$

Take  $f n$  be  $\epsilon a, F n a$ .

Computation done by equational reasoning.

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Define  $F : \mathbb{N} \rightarrow \alpha \rightarrow \alpha$  inductively :

$$\frac{}{F 0 g} \quad \frac{F n a}{F (S n) (h n a)}$$

Prove by induction on  $n$

$$\blacktriangleright \forall n : \mathbb{N}, \exists a : \alpha, F n a$$

$$\blacktriangleright \forall (n : \mathbb{N})(a b : \alpha), F n a \rightarrow F n b \rightarrow a = b$$

Take  $f n$  be  $\epsilon a, F n a$ .

Computation done by equational reasoning.

# Encoding in the pure Calculus of Constructions

$nat \equiv \forall \alpha : \mathbf{Set}, (\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$

- ▶ No proof of  $0 \neq 1$ , need axiom  $true = false$
- ▶ Recursor for  $\alpha : \mathbf{Set}$  but bad computational behavior (predecessor function).
- ▶ Complex construction for recursor with  $\alpha := A \rightarrow \mathbf{Prop}$
- ▶ No proof of the induction principle (need restriction to  $\{x : nat \mid Nx\}$ ).
- ▶ Extraction to Ocaml

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# Underlying PTS

- ▶ Sorts :  $\text{Prop}$ ,  $\text{Type}_i$ ,  $\text{Set}=\text{Type}_0$
- ▶  $\text{Type}_i:\text{Type}_{i+1}$ ,  $\text{Prop}:\text{Type}_1$ .
- ▶  $\text{Type}_i \subseteq \text{Type}_{i+1}$ ,  $\text{Prop} \subseteq \text{Type}_1$ .
- ▶ Impredicativity

$$\frac{x : A \vdash B : \text{Prop}}{\forall x : A, B : \text{Prop}}$$

- ▶ Predicativity  $s = \text{Prop}$  or  $s = \text{Type}_i$

$$\frac{\vdash A : s \quad x : A \vdash B : \text{Type}_i}{\forall x : A, B : \text{Type}_i}$$

# Declaration

```

Inductive  $l_1$  pars :  $Ar_1$  := ...
  |  $c$  :  $\forall (x_1:A_1) .. (x_n:A_n), l_1$  pars  $u_1 .. u_p$ 
  ...
with  $l_2$  pars :  $Ar_2$  := ...
with ...

```

## Terminology

- ▶ *pars* parameters (same for all definitions)
- ▶  $Ar_j$  arity
- ▶  $u_i$  index
- ▶  $\forall(x_1 : A_1)..(x_n : A_n), l_1$  *pars*  $u_1..u_p$  type of constructor
- ▶  $A_i$  type of argument of constructor

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# Typing condition

- ▶ Arities are of the form  $\forall(y_1 : B_1)..(y_p : B_p), s$   
 $s$  is the sort of the inductive definition.
- ▶ Type of constructors  $C$  are well-typed:

$$(I_1 : \forall pars, Ar_1)..(I_k : \forall pars, Ar_k) (pars) \vdash C : s$$

- ▶ if  $s$  is predicative (not **Prop**) then type of arguments of constructors are in the same universe:  
 forall  $i$ ,  $A_i : s$  or  $A_i : \text{Prop}$
- ▶ if  $s$  is **Prop**, we distinguish
  - ▶ **predicative** definitions  $A_i : \text{Prop}$
  - ▶ **impredicative** definitions (at least one  $i$  such that  $A_i : \text{Type}$ )

# Positivity condition

In Coq occurrences of  $l_j$  should occur strictly positively in types of arguments of constructors  $A_i$ :

- ▶ does not occur:  $l_j \notin A_i$
- ▶ simple case  $A_i = l_j t_1 \dots t_p$   
(not necessarily the same parameters,  $l_j \notin t_k$ )
- ▶ functional case  $A_i = \forall z : B_1, B_2$   
with  $l_j \notin B_1$  and  $l_j$  strictly positive in  $B_2$
- ▶ imbricated case :  $A_i = J t_1 \dots t_p$   
with  $J$  another inductive definition with parameters  $X_1 \dots X_r$ .  
When  $t_1 \dots t_r$  are substituted for  $X_1 \dots X_r$  in the types of constructors of  $J$ , the strict positivity condition is satisfied.

# Example of imbricated definition

Trees with arbitrary (finite) branching.

```
Inductive list A : Type
  := nil | cons : A → list A → list A.
```

```
Inductive tree A : Type
  := node : A → list (tree A) → tree A.
```

Equivalent to a mutually inductive definition

```
Inductive tree A : Type
  := node : A → forest A → tree A
with forest A : Type
  := empty
  | add : tree A → forest A → forest A.
```

# Exercise

**Inductive** `X : Set := intro : unit + X -> X.`

**Inductive** `dec (A : Prop) : Prop :=  
 yes : A -> dec A | no : ~ A -> dec A.`

**Inductive** `X : Prop := intro : dec X -> X.`

**Inductive** `option (A : Set) : Set :=  
 None | Some : A -> option A.`

**Inductive** `X : Set -> Set :=  
 abs :  $\forall$  (A:Set), X (option A) -> X A  
 | var :  $\forall$  (A:Set), A -> X A.`

**Inductive** `X (A : Set) : Set :=  
 abs : X (option A) -> X A  
 | var : A -> X A.`

# Exercise

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 abs :  $\forall$  (A:Set), X (option A) -> X A  
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# Exercise

**Inductive**  $X : \text{Set} := \text{intro} : \text{unit} + X \rightarrow X.$

**Inductive**  $\text{dec} (A : \text{Prop}) : \text{Prop} :=$   
 $\text{yes} : A \rightarrow \text{dec} A \mid \text{no} : \sim A \rightarrow \text{dec} A.$

**Inductive**  $X : \text{Prop} := \text{intro} : \text{dec} X \rightarrow X.$

**Inductive**  $\text{option} (A : \text{Set}) : \text{Set} :=$   
 $\text{None} \mid \text{Some} : A \rightarrow \text{option} A.$

**Inductive**  $X : \text{Set} \rightarrow \text{Set} :=$   
 $\text{abs} : \forall (A:\text{Set}), X (\text{option} A) \rightarrow X A$   
 $\mid \text{var} : \forall (A:\text{Set}), A \rightarrow X A.$

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 $\text{None} \mid \text{Some} : A \rightarrow \text{option } A.$

**Inductive**  $X : \text{Set} \rightarrow \text{Set} :=$   
 $\text{abs} : \forall (A:\text{Set}), X (\text{option } A) \rightarrow X A$   
 $\mid \text{var} : \forall (A:\text{Set}), A \rightarrow X A.$

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# Introduction rules

Given by the constructors.

$c$  is the  $i$ -th constructor of inductive definition  $I$  with parameters  $pars$  and type of constructor  $C$ .

$$c \equiv \text{Constr}(i, I) : \forall pars, C$$

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# Case analysis

- ▶ Induction principle versus Case analysis + fixpoint (cf Th. Coquand)
- ▶ (Primitive) pattern-matching is **simple** (one level, complete)
- ▶ Parameters are instantiated

$$\begin{array}{l}
 t : I \text{ pars } t_1 \dots t_p \\
 y_1 \dots y_k, x : I \text{ pars } y_1 \dots y_k \vdash P(y_1 \dots y_k, x) : s' \\
 (x_1 : A_1 \dots x_n : A_n \vdash f : P(u_1 \dots u_k, c x_1 \dots x_n))_c \\
 \hline
 \text{match } t \text{ as } x \text{ in } I \_ y_1 \dots y_k \text{ return } P(y_1 \dots y_k, x) \\
 \text{with } \dots \mid c x_1 \dots x_n \Rightarrow f \mid \dots \\
 \text{end} : P(t_1 \dots t_p t)
 \end{array}$$

Reduction rules ( $\iota$ ) as expected when  $t$  starts with a constructor.

# Inductive definitions and sorts

Which sort  $s'$  when doing case analysis on  $I$  of sort  $s$ ?

- ▶ if  $s$  is **Type**, predicative inductive definition, any possible sort for case analysis.
- ▶ if  $s$  is **Prop**, impredicative sort + proof irrelevance interpretation + extraction
  - ▶ General case: only sort **Prop** for elimination. Strong elimination :  $F(x : I) : \text{Set}$ .
  - ▶ Particular cases :  $I$  is a predicative definition with only zero or one constructor (all  $A_i : \text{Prop}$ ) any possible sort for case analysis.
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# Examples

**Inductive** sig (A:S<sub>1</sub>) (B:A→S<sub>2</sub>) : s :=  
 pair :  $\forall x:A, B\ x \rightarrow \text{sig } A\ B.$

**Def** fst (p : sig A B) : A :=  
 match p return A with pair a b  $\Rightarrow$  a **end**.

**Def** snd (p : sig A B) : B (fst p) :=  
 match p return B (fst p)  
 with pair a b  $\Rightarrow$  b **end**.

- ▶ What are the possible relations between  $S_1, S_2, S$  ?
- ▶ In which cases can we define `fst` and `snd` ?

# Records

Syntactic sugar for definition of tuples  
automatic generation of projections

```
Record divspec (a b : nat) : Set := mkdiv
  {quo : nat; rem : nat;
   prop1 : a=b*quo+rem; prop2:rem<b
  }
```

```
Record monoid : Type := mkgrp
  {car:Type; op:car → car → car; elt : car;
   assoc : ∀ x y z, op (op x y) z = op x (op y z);
   neutr1 : ∀ x, op elt x = x;
   neutr2 : ∀ x, op x elt = x
  }
```

# Exercice

Which elimination is used to prove  $true \neq false$  ?

**Inductive** `or (A B:Prop) : Prop :=`  
`left : A → or A B | right : B → or A B.`

Prove  $pq : or True True, p \neq q$  by case analysis on `Set`.

# Recursive definition

- ▶ Concrete declaration:

**Fixpoint**  $f$   $(x_1 : A_1) \dots (x_m : A_m) \{ \text{struct } x_n \} : B := t .$

- ▶ Internal fixpoint construction

**fix**  $f$   $(x_1 : A_1) \dots (x_n : A_n) : \forall (x_{n+1} : A_{n+1}) (x_m : A_m) B$   
 $:= \text{fun } x_{n+1} \dots x_m \Rightarrow t .$

- ▶ Typing condition:

$$(f : \forall (x_1 : A_1) \dots (x_n : A_n), B)(x_1 : A_1) \dots (x_n : A_n) \vdash t : B$$

- ▶ Condition: Recursive calls to  $f$  in  $t$  should be made on terms **structurally** smaller than  $x_n$

# Guarded definitions

Syntactic criteria:  $t$  is structurally smaller than  $x_n$  if

- ▶  $t = x \vec{u}$  with  $x$  a variable in a pattern in a **match** on  $x_n$  corresponding to a recursive argument.

```
Fixpoint add n m {struct n} : nat :=
  match n with 0  $\Rightarrow$  m | (S p)  $\Rightarrow$  S (add p m) end.
```

- ▶ transitivity:

```
Fixpoint div2 {struct n} : nat :=
  match n with
    0  $\Rightarrow$  0
  | (S p)  $\Rightarrow$  match p with
      0  $\Rightarrow$  0 | (S q)  $\Rightarrow$  S (div2 q)
    end.
  end.
```

# Guarded definitions

Match construction: **match**  $u$  **with**  $p_1 \Rightarrow u_1 \mid \dots$  **end** is structurally smaller than  $x$  when each branch  $u_j$  is.

- ▶ informal explanation:

$f(\text{match } u \text{ with } p_1 \Rightarrow u_1 \mid \dots \text{end})$

is computationally equivalent to:  $\text{match } u \text{ with } p_1 \rightarrow f u_1 \dots \text{end}$

- ▶ When  $u : \text{False}$ , **match**  $u$  **with** **end** is structurally smaller than any term.
- ▶ **Def**  $\text{pred } n : 0 < n \rightarrow \text{nat} :=$   
 $\text{match } n \text{ with } 0 \Rightarrow \text{fun } H \Rightarrow \text{error?}_{\text{False}}$   
 $\mid S p \Rightarrow \text{fun } H \Rightarrow p \text{end}$

$\text{pred } n H$  is smaller than  $n$ .

# Well-founded recursion

Define *acc* as a relation in `Prop`.

```
Inductive acc (x:A) : Prop
  := acci : (∀ y, y < x → acc y) → acc x.
```

Given *F* of type  $\forall x, (\forall y, y < x \rightarrow P y) \rightarrow P x$

```
Fixpoint wf_rec (x:A) (p:acc x) {struct p} : P x :=
  F x (fun y (h:y < x) ⇒
    wf_rec y (match p with (acc i t) ⇒ t y h end))
```

- ▶ Works for  $P x : \text{Type}$
- ▶ Does not use *p* for computation in the extracted term

A similar (more involved) trick can be used for the evaluation function in the `WHILE` language.

# Computation

- ▶ Naive fixpoint reduction breaks strong normalisation
- ▶ Trick : guard reduction by asking the inductive arguments to start with a constructor.

# Induction principles

They can be obtained combining fixpoint and pattern-matching

- ▶ Automatically generated when introducing an inductive definition
- ▶ Dependent version in general, non-dependent version for inductive in `Prop`.

$$\begin{aligned} & \forall n, \forall P, \\ & P\ n \rightarrow (\forall m, \text{le}\ n\ m \rightarrow P\ m \rightarrow P\ (S\ m)) \\ & \rightarrow \forall m, \text{le}\ n\ m \rightarrow P\ m. \end{aligned}$$

- ▶ Dependent or mutual induction obtained with **Scheme** command:

$$\begin{aligned} & \forall n, \forall (P:\forall m, \text{le}\ n\ m \rightarrow *), \\ & P\ n\ \text{leb} \\ & \rightarrow (\forall m (p:\text{le}\ n\ m), P\ m\ p \rightarrow P\ (S\ m)\ (\text{leS}\ p)) \\ & \rightarrow \forall m (p:\text{le}\ n\ m), P\ m\ p. \end{aligned}$$

## Part II

# Inductive Constructions : advanced notions

# Outline

- Equality
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# Convertibility

Convertibility modulo  $\beta\delta\iota\dots$

- ▶ **Meta-theoretical** notion corresponding to the same  $\lambda$ -term
- ▶ Two convertible propositions have the same proofs

$$(2 + 2 > 2) \equiv (4 > 2)$$

- ▶ **Intensional** equality ( $\neq$  extensional): two different algorithms for sorting are not convertible

# Inductive equality

Leibniz equality, smallest reflexive relation

- ▶ Polymorphic binary predicate  $\forall \alpha, \alpha \rightarrow \alpha \rightarrow \mathbf{Prop}$
- ▶ Strong link with convertibility:

$$\frac{\Gamma \vdash t \equiv u}{\Gamma \vdash \mathit{refleq} : t = u}$$

if  $p : t = u$  in the empty context then  $t \equiv u$  (meta-theorem)

Proofs of  $\forall n, 0 + n = n$      $\forall n, n + 0 = n$

# Leibniz equality and dependent types

► How to compare objects in different types?

► **Inductive** `list` :=

`nil` : `list 0`

| `cons` :  $\forall n, A \rightarrow \text{list } n \rightarrow \text{list } (S\ n)$ .

**Def** `app` :  $\forall n\ m, \text{list } n \rightarrow \text{list } m \rightarrow \text{list } (n+m)$ .

**Lemma**  $\forall n\ (l:\text{list } n), \text{app } \text{nil } l = l$ .

**Lemma**  $\forall n\ (l:\text{list } n), \text{app } l\ \text{nil} = l$ .

NOT WELL TYPED!

► **Idea**: compare  $(n + 0, \text{app } l\ \text{nil}) = (n, l)$  in  $\Sigma n, \text{list } n$   
 But no possible replacement

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# Equality on dependent types

$(A : \text{Type}) (P : A \rightarrow \text{Type}) (ab : A) (t : P a) (u : P b)$

How to say that  $t = u$  ?

**Def**  $\text{eqdep } (a b : A) (t : P a) (u : P b) := (a, t) = (b, u)$ .

**Inductive**  $\text{eqdep } (a : A) (t : P a) : \forall b, P b \rightarrow \text{Prop} :=$   
 $\text{refleqdep} : \text{eqdep } a t a t$ .

**Def**  $\text{eqdep } (a b : A) (t : P a) (u : P b)$   
 $:= \text{exists } h : a = b, \text{subst } h t = u$ .

- ▶ Equivalent relations
- ▶ None of them can prove :  $\forall a (t u : P a), \text{eqdep } t u \rightarrow t = u$
- ▶ Related to the absence of proof of :  $\forall x (p : x = x), p = \text{refleq } x$ .

# Context of substitution

Elimination principle for inductive equality:

$$\forall (P : \forall y, x=y \rightarrow *) , P x (\text{refleq } x) \\ \rightarrow \forall y (p : x=y) , P y p$$

Only says that  $(y, p) = (x, \text{refleq } x)$

Property  $Q p \equiv p = \text{refleq } x$  only well-typed if  $p : x = x$  cannot be abstracted such that  $p : x = y$ .

- ▶ problem first identified by Th. Coquand
- ▶ models where it is not true (M. Hoffman, Th Streicher)

# Dependent equality in practice

- ▶ Problem appears even in simple examples:

$$\forall l : \text{list } 0, l = \text{nil}.$$

cannot directly use case analysis on  $l$  which requires to abstract with respect to  $n$  and  $l : \text{list } n$ .

- ▶ K axiom (Streicher's habilitation): equivalent to

$$\forall x (p : x = x), p = \text{refl } x.$$

In Coq (file `Logic/Eqdep`):

$$\forall U (p : U) (Q : U \rightarrow \text{Type}) (x : Q p) (h : p = p),$$

$$x = \text{match } h \text{ with } \text{refl} \Rightarrow x \text{ end}$$

- ▶ Axiom provable when equality on  $U$  is decidable.

M. Hedberg, Th. Kleymann (Lego), B. Barras (Coq `Eqdep_dec`)

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# Dependent equality on `nat`

**Def** `eqdnat (n m : nat) :  $\forall P, P n \rightarrow P m \rightarrow \text{Prop}$`   
`eqdnat 0 0 P t u  $\Rightarrow$  t=u`  
`eqdnat (S p) (S q) P t u  $\Rightarrow$  eqdnat p q (P o S) t u`  
`eqdnat _ _ P t u  $\Rightarrow$  False`

It is easy to prove the following facts by induction on  $n$ .

$\forall n P (p:P n), \text{eqdnat } n n P p p$

$\forall n P (p q:P n), \text{eqdnat } n n P p q \rightarrow p=q$

We deduce

$\forall n m P (p:P n) (q:P m), \text{eqdep } p q \rightarrow \text{eqdnat } n m P p q$

$\forall n P (p q:P n), \text{eqdep } p q \rightarrow p=q$

# Heterogeneous equality

Previously called John Major's equality (Conor McBride).

Compare  $x : A$  with  $y : B$  with arbitrary  $A, B$

True when  $A$  and  $B$  are convertible, as well as  $x$  and  $y$ .

**Inductive**  $\text{Heq} (A:\text{Type}) (x:A) : \forall B, B \rightarrow \text{Prop} :=$   
 $\text{reflHeq} : \text{Heq} A x A x.$

Symmetry and transitivity can be proved as for Leibniz equality.

Proof of  $\text{Heq} A x B y \rightarrow A = B.$

More or less useless without an axiom (equivalent to K).

$\forall A (x y:A), \text{Heq} A x A y \rightarrow x=y.$

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# Positivity

A negative occurrence in a type of constructor gives non terminating terms even without recursion.

**Inductive**  $L = \text{Lam} : (L \rightarrow L) \rightarrow L.$

**Def**  $\text{app} : L \rightarrow L \rightarrow L :=$   
 $\text{app} (\text{Lam } f) x \Rightarrow f x.$

**Def**  $\text{delta} : L := \text{Lam} (\text{fun } x \Rightarrow \text{app } x x)$

**Def**  $\text{omega} : L := \text{app } \text{delta } \text{delta}$

$\text{omega} \equiv \text{app} (\text{Lam} (\text{fun } x \Rightarrow \text{app } x x)) \text{delta}$   
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Not compatible with higher-order syntax for binders representation ...

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# General positivity

Strict positivity required at the **Type** level:

**Inductive**  $B : \text{Type} := \text{in} : ((B \rightarrow \text{Prop}) \rightarrow \text{Prop}) \rightarrow B$

**Def**  $f (P : B \rightarrow \text{Prop}) : \text{Prop} := \text{in} (\text{fun } Q \Rightarrow P = Q)$

**Lemma**  $\forall P Q, f P = f Q \rightarrow P = Q$

Paradox:  $P_0 x := \exists P. f P = x \wedge \neg P x \quad i_0 := f P_0$

$$P_0 i_0 \Leftrightarrow \neg P_0 i_0$$

Monotonicity is correct at the impredicative level **Prop**  
(but not implemented).

**Def**  $\text{orc } A B :=$

$\forall C, (\neg \neg C \rightarrow C) \rightarrow (A \rightarrow C) \rightarrow (B \rightarrow C) \rightarrow C$

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# Impredicative type

**Inductive**  $A : \text{Prop} := \text{in} : \text{Prop} \rightarrow A.$

**Def**  $\text{out} : A \rightarrow \text{Prop} :=$   
 $\text{out} (\text{in } P) \Rightarrow P.$

We end up with  $A : \text{Prop}$  and  $A \leftrightarrow \text{Prop}$  which gives a paradox.  
Not allowed in COQ because it requires case analysis on predicate  
 $P x := \text{Prop} : \text{Type}$

# Classical logic

Implies proof-irrelevance.

**Inductive** `BOOL : Prop := T | F.`

**Lemma**  $(\forall A:\text{Prop}, A \vee \neg A) \rightarrow T=F.$

Cf Coq-lab :  $I : \text{Prop} \rightarrow \text{BOOL}$  such that  $(IA = T) \leftrightarrow A.$

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# Indecidability of completeness of pattern-matching

Nicolas Oury

Post-problem : pairs of words  $(u_1, v_1), \dots, (u_n, v_n)$

Search solutions  $u_{i_1} \dots u_{i_k} = v_{i_1} \dots v_{i_k}$

**Inductive** char := A | B. **Def** word := list char.

**Inductive** post : word  $\rightarrow$  word  $\rightarrow$  Prop :=

post0 : post nil nil

|post1 :  $\forall$  l m, post l m  $\rightarrow$  post  $u_1[l]$   $v_1[m]$

...

|postn :  $\forall$  l m, post l m  $\rightarrow$  post  $u_n[l]$   $v_n[m]$

Purely first-order inductive definition.

**Def** nondec :  $\forall$  l, post l l  $\rightarrow$  unit :=

nondec nil post0  $\Rightarrow$  tt.

Complete definition: no non-trivial solution to the post-problem.

# Guard condition

## Recursion only on recursive arguments

**Inductive**  $I (A:\text{Prop}) : \text{Prop} := c : A \rightarrow I A.$

**Def**  $\text{Tr} : \text{Prop} := \forall A, A \rightarrow A.$

**Def**  $\text{id} : \text{True} := \text{fun } A \ x \rightarrow x.$

**Def**  $f (I \text{Tr}) \rightarrow X :=$

$f (c \ u) \Rightarrow f (u (I \text{True}) (c \ \text{id})).$

$f (c \ \text{id}) \longrightarrow f (c \ \text{id})$

**Def**  $f (I \text{True}) \rightarrow X :=$

$f (c \ u) \Rightarrow f (\text{match } u \ \text{with } \text{tt} \Rightarrow c \ \text{tt}).$

$f (c \ \text{tt}) \longrightarrow f (c \ \text{tt})$

# Outline

- Equality
- Paradoxes
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- **Coinductive definitions**
- Extensions
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# Introduction

- ▶ Coinductive definitions are greatest fixpoints of monotonic operators
- ▶  $C = \nu X.F X$  satisfies

$$F C \subseteq C \quad C \subseteq F C \quad \forall X, (X \subseteq F X) \rightarrow X \subseteq C$$

- ▶ Impredicative encoding :

**Def**  $C \ x := \exists X, (X \subseteq F X) \wedge (X \ x)$

Abstract type  $X$ , a state  $s : X \ x$  and a method  $f : X \subseteq F X$  to produce outputs and new states.

# Example of streams

Infinite lists (streams)

$F X := A * X$

$S := \exists X, X \rightarrow A * X \wedge X$  (record).

- ▶ Coiterative construction of a stream :  $\langle X, f, s \rangle$  with  
 $f : X \rightarrow A * X$  and  $s : X$

**Def**  $\text{Coit } X (f : X \rightarrow A * X) (s : X) : S := \langle X, f, s \rangle.$

- ▶ Head/Tail functions :

**Def**  $\text{hd} : S \rightarrow A :=$   
 $\text{hd } \langle X, f, s \rangle \Rightarrow f s.$

**Def**  $\text{tl} : S \rightarrow S :=$   
 $\text{tl } \langle X, f, s \rangle \Rightarrow \langle X, f, f s \rangle.$

- ▶ Properties :  $\text{hd } (\text{Coit } f s) = \text{fst } (f s)$   
 $\text{tl } (\text{Coit } f s) = (\text{Coit } f (\text{snd } (f s)))$

# Constructor

*cons a s* first outputs *a* then behaves like *s*.

Need to distinguish the first step

**Def**  $\text{cons} : A * S \rightarrow S :=$

$\text{cons } (a, \langle X, f, s \rangle) \Rightarrow \langle \text{option } X, g \ a \ f \ s, \text{None} \rangle.$

**Def**  $g \ (a:A) \ X \ (f:X \rightarrow A * X) \ (s:X)$

$: \text{option } X \rightarrow A * \text{option } X :=$

$g \ a \ X \ f \ s \ \text{None} \Rightarrow (a, \text{Some } s)$

$g \ a \ X \ f \ s \ (\text{Some } y) \Rightarrow \text{let } (b, z) := f \ y \ \text{in } (b, \text{Some } z)$

Alternative (more abstract) definition :

**Def**  $\text{cons } (a, s) \Rightarrow \langle \text{option } S, g \ a \ s, \text{None} \rangle.$

**Def**  $g \ (a:A) \ (s:S) : \text{option } S \rightarrow A * \text{option } S :=$

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$g \ a \ s \ (\text{Some } x) \Rightarrow \text{hd } x, \text{Some } (\text{tl } x)$

# Constructor

*cons a s* first outputs *a* then behaves like *s*.

Need to distinguish the first step

**Def** `cons : A * S → S :=`

`cons (a, <X, f, s>) ⇒ <option X, g a f s, None>.`

**Def** `g (a:A) X (f:X → A * X) (s:X)`

`: option X → A * option X :=`

`g a X f s None ⇒ (a, Some s)`

`g a X f s (Some y) ⇒ let (b, z) := f y in (b, Some z)`

Alternative (more abstract) definition :

**Def** `cons (a, s) ⇒ <option S, g a s, None>.`

**Def** `g (a:A) (s:S) : option S → A * option S :=`

`g a s None ⇒ (a, Some s)`

`g a s (Some x) ⇒ hd x, Some (tl x)`

# Constructor

*cons a s* first outputs *a* then behaves like *s*.

Need to distinguish the first step

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# Functional programming point of view

## Th. Coquand

- ▶ Concrete data structure like inductive definition but with possible infinite elements.
- ▶ Case analysis but no induction principle
- ▶ Fixpoint definition of infinite objects but with a guard condition for productivity.

# Example of streams

**CoInductive** Str (A:Set) : Set :=  
 cons : A → Str A → Str A.

## Projections

**Def** hd A (s:Str A) : A :=  
 hd A (cons a s) ⇒ a.

**Def** tl A (s:Str A) : Str A :=  
 hd A (cons a s) ⇒ s.

## Recursive definition

**Def** cte A (a:A) : Str A := cons a (cte A a).

**Def** CoIt X (f:X→ A\*X) (s:X) : Str A  
 := cons (fst (f s)) (CoIt X s (snd (f s))).

## Exercise : define the map function

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## Exercise : define the map function

# Productivity condition

Limit of recursive definitions of streams:

```
Def filter A (p:A→ bool) (s:Str A) : Str A :=
  filter A p (cons a t) ⇒
    if p a then cons a (filter p t) else filter p t
```

Problem

```
match filter p s with cons a _ ⇒ ... end
```

Productivity condition : the recursive call appears immediatly under a constructor.

# Productivity condition

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**Problem**

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```

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# Reduction rules

**cofix**  $f x := t$

- ▶ A co-fixpoint is a normal form.
- ▶ fixpoint reduction when fixpoint is in a **match** operation.

$$\mathbf{match} f x \mathbf{with} p \Rightarrow \dots \mathbf{end} \longrightarrow \mathbf{match} t \mathbf{with} p \Rightarrow \dots \mathbf{end}$$

- ▶ systematic proof of  $f x = t$  with Leibniz equality using

$$s = \mathbf{match} s \mathbf{with} (\mathit{cons} a u) \Rightarrow \mathit{cons} a u \mathbf{end}$$

# Equality on streams

- ▶ Intensional equality is not appropriate: canonical streams are generated by different algorithms.

```
Def alt1 : Str bool := cons true (cons false alt1).
```

```
Def alt2 (b:bool): Str bool
  := cons b (alt2 (not b)).
```

- ▶ Two streams are equal if they have the same elements

$$\text{eqStr } x \ y \leftrightarrow \text{hd } x = \text{hd } y \wedge \text{eqStr } (\text{tl } x) \ (\text{tl } y)$$

- ▶ *eqStr* should be defined co-inductively.

Proofs of equality are also co-recursively defined.

```
Def alt1_2 : eqStr alt1 (alt2 true) :=
  eqStr_i alt1 (alt2 true) (refleq true)
    (eqStr_i (cons false alt1) (alt2 false)
      (refleq false) alt1_2.
```

# Combining induction and co-induction

- ▶ Define a function  $\text{pre}$  of type  $\text{Str } A \rightarrow \text{nat} \rightarrow \text{list } A$  such that  $\text{pre } s \ n$  contains the first  $n$  elements of  $s$ .
  - ▶ Recursion on  $n$ .
- ▶ Show that  $\forall st, \text{eqStr } s \ t \rightarrow \forall n, \text{pre } s \ n = \text{pre } t \ n$ 
  - ▶ Induction on  $n$
- ▶ Show the opposite direction.
  - ▶ Co recursion
- ▶ Given a property  $P$  on  $A$ , define a property on streams which says that  $P$  is true:
  - ▶ for all the elements of the stream
  - ▶ for at least one element in the stream
  - ▶ for infinitely many elements in the stream

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- Equality
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  - Positivity condition
  - Sorts
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# Induction recursion

- ▶ Used in the definition of universes in MLTT, studied by P. Dybjer. Introduce a type  $U$  of codes of propositions with a decoding function of type  $U \rightarrow \text{Set}$ .

We want

- ▶  $\dot{\text{nat}} : U$  with  $\text{dec } \dot{\text{nat}} \Rightarrow \text{nat}$
- ▶  $\dot{+} : U \rightarrow U \rightarrow U$  with  $\text{dec } \dot{+} \ x \ y \Rightarrow \text{dec } x + \text{dec } y$
- ▶  $\text{dec } (\dot{\Pi} \ A \ B) = \forall x : \text{dec } A, \text{dec } (B \ x)$   $\text{dec}$  appears in type of  $\dot{\Pi}$ .

**Inductive**  $U : \text{Type} :=$

$\text{cnat} : U$

$\text{csum} : U \rightarrow U \rightarrow U$

$\text{cpi} : \forall (A:U) (\text{dec } A \rightarrow U) \rightarrow U$

**with**  $\text{dec} : U \rightarrow \text{Set} :=$

$\text{dec } \text{cnat} \Rightarrow \text{nat}$

$\text{dec } (\text{csum } A \ B) \Rightarrow \text{csum } A + \text{csum } B$

$\text{dec } (\text{cpi } A \ B) \Rightarrow \forall x:\text{dec } A, \text{dec } (B \ x)$

# Encoding in Coq

```
Inductive UT : Set → Type :=
  UTnat : UT nat
| UTsum : ∀ A B, UT A → UT B → UT (A+B)
| UTpi : ∀ (A:Set) (B:A→ Set), UT A →
  (∀ x:A, UT (B x)) → UT (∀ x:A, B x).
```

```
Record U : Type := mkU {dec:Set; val:UT dec}.
```

```
Def cnat : U := mkU UTnat.
```

```
Def csum (x y: U) : U :=
  mkU (UTsum (val x) (val y)).
```

```
Def cpi (A : U) (B : dec A → U) : U :=
  mkU (UTpi (fun z ⇒ dec (B z))
    (val A) (fun z ⇒ val (B z))).
```

$dec(cpi A B) \equiv \forall x : dec A, dec(B x)$

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# Size annotation

- ▶ Guard condition for fixpoints is a global side condition
  - ▶ syntactic criteria
  - ▶ interact badly with reduction, tactics
  - ▶ not powerful enough for imbricated recursive definition
- ▶ Use instead a typing relation with special marks Mendler, Giménez, Barthe, Amadio, Altenkirch ...

$$\frac{0 : \text{nat}^{\hat{x}} \quad S : \text{nat}^x \Rightarrow \text{nat}^{\hat{x}} \quad n : \hat{x} \quad g : P0 \quad n : \text{nat}^x \vdash h : P(Sn)}{\text{match } n \text{ with } 0 \Rightarrow g \mid S n \Rightarrow h \text{ end} : P n}$$

$$\frac{f : \text{nat}^x \rightarrow \alpha \vdash t : \text{nat}^{\hat{x}} \rightarrow \alpha}{\text{fix } f \ x := t : \text{nat}^\infty \rightarrow \alpha}$$

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# Rewriting in conversion

Consider extensions of lambda-calculus with new types and reduction rules.

- ▶  $n + 0 \longrightarrow n$     $0 + n \longrightarrow n$     $(n + m) + q \longrightarrow n + (m + q)$
- ▶ Algebraic extensions of simple lambda-calculus (Breazu-Tannen, Fernandez, Barbanera ...)
- ▶ General scheme (Blanqui, Jouannaud, Okada ...)
- ▶ RPO (Walukiewicz, Jouannaud, Rubio)

Many questions:

- ▶ Normalisation,
- ▶ Confluence,
- ▶ Consistency,
- ▶ Completeness,
- ▶ Efficiency of reduction

# Summary

## Inductive definitions

- ▶ useful notion in computer science
- ▶ strong constructive interpretation
- ▶ powerful notion  
(models or encoding are useful to ensure consistency)
- ▶ interaction of programming and logic still problematic
  - ▶ termination
  - ▶ completeness of pattern-matching
  - ▶ interaction with proof-irrelevance
- ▶ better interfaces in proof assistants
  - ▶ termination criteria
  - ▶ induction principles

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