

Inductive Constructions

TYPES Summer School, Bertinoro, Italy

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Inductive Constructions: outline

Material for the course

<http://www.lri.fr/~paulin/TypesSummerSchool>

Course 1 : Basic notions

- Introduction
- Inductive constructions in practice
- Encoding in HOL
- Rules for Coq inductive constructions

Inductive Constructions: outline

Course 2 : Advanced notions

- Equality
- Paradoxes
- Coinductive definitions
- Extensions

Part I

Inductive Constructions : basic notions

Plan

- Introduction
- Inductive constructions in practice
 - Basic data types
 - Predicate definition
 - Inductive families
 - Recursive functions
- Encoding in HOL
- Rules for Coq inductive constructions
 - Well-formedness of definition
 - Introduction
 - Elimination

Informal definition

An inductive definition introduces a **new** set of objects (predicate) I by :

- ▶ a set of **rules of constructions** for object in I (proofs of I).
- ▶ **initiality** : If T admits the same rules of constructions then $I \subseteq T$
 - ▶ **smallest notion** closed under the rules of constructions
 - ▶ distinct rules of constructions give distinct objects

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Inductive definitions are everywhere !

▶ programming

- ▶ data structures : enumerated types, records, sum, natural numbers, lists, trees ...
- ▶ clauses in logic programming : predicate definition

▶ semantics of programming languages

- ▶ abstract syntax trees
- ▶ inference rules for static or operational semantics

▶ logic

- ▶ representation of terms, formulas (grammars)
- ▶ semantics, deduction relation
- ▶ constructive interpretation of connectors
Curry-Howard isomorphism

▶ proof assistant

- ▶ basic notion in Martin-Löf's Type Theory (Agda)
- ▶ encoded in HOL
- ▶ primitive in Coq but some can also be encoded

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Two different views

Mathematics

- ▶ Sets as primitive objects
- ▶ Natural numbers, relations, functions as derived notions
- ▶ Extensional equality

Programming language or proof assistant

- ▶ Every constructions should be justified, implemented
- ▶ Intensional view of objects
- ▶ Functions as algorithms
- ▶ Computation

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Inductive definitions in proof-assistants

Representation

- ▶ encoded
- ▶ primitive notion in the theory

Which class of inductive definitions ?

- ▶ (strictly) positive, monotonic, no restriction
- ▶ polymorphic, impredicative . . .
- ▶ mutually inductive definitions, inductive families . . .

Which rules ?

- ▶ primitive rules / derived rules
 - ▶ pattern-matching
 - ▶ primitive recursion
 - ▶ course of value recursion
 - ▶ . . .

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 - ▶ ...

Encoded inductive definitions

- ▶ To a set I corresponds a type \widehat{I}
- ▶ To $t \in I$ corresponds a term $\widehat{t} : \widehat{I}$
- ▶ To a property $P\ t$ corresponds a proof $\vdash \widehat{P}\ t$

Question Adequation of the representation ?

Example

- ▶ \mathbb{N} encoded as $\forall \alpha, (\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$
- ▶ $n \in \mathbb{N}$ encoded as $\widehat{n} \equiv \lambda \alpha\ f\ x, f^n\ x$
 $n + m \equiv \lambda \alpha\ f\ x, n\ \alpha\ f\ (m\ \alpha\ f\ x)$
- ▶ $\forall x, 0 + x = x, \widehat{n} + 0 = \widehat{n}$
- ▶ $0 \neq 1$ not provable in pure Calculus of Constructions

Primitive inductive definitions

Basic principles

- ▶ Which class of inductive definitions ?
- ▶ Primitive eliminations ?
recursive combinators, pattern-matching, fixpoints, rewriting ...

Good computational behavior

- ▶ Termination of computations
- ▶ Extraction, proof irrelevance

Consistency of the underlying logic

Goal of the course

Better understanding of Inductive Definitions

- ▶ How to use them ?
- ▶ Intuition on their power.
- ▶ Explanation of some design choices.
- ▶ What can/cannot be done with inductive definitions.

Notations

inspired by Coq notations, informal in the first part

- ▶ sorts: **Prop**, **Type**, *
- ▶ function space:
 - ▶ $\forall (x : A), B$
 - ▶ $A \rightarrow B$
 - ▶ $\forall x, B \quad \forall (x_1 : A_1) .. (x_n : A_n), B.$
- ▶ abstraction: **fun** $x \Rightarrow t$
- ▶ application: $t \ x_1 \ \dots \ x_n$

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Basic (non-recursive) data types

Concrete data-types/propositional logic

- ▶ enumerated sets (absurdity, truth)

Inductive empty := . (* False *)

Inductive unit := tt. (* True *)

Inductive bool := true | false

- ▶ disjoint sum, constructive disjunction

Inductive sum A B (* or A B *)

:= inl : A → sum A B

| inr : B → sum A B.

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Product

- ▶ product, conjunction

Inductive `prod A B (* and A B *)`
`:= pair : A → B → prod A B.`

- ▶ dependent product, existential quantifier

Inductive `sig (P : A → *) (* ex x:A, P x *)`
`:= sigi : ∀ x:A, P x → sig P.`

a \forall in the type of the constructor corresponds to a constructive existential quantification.

General pattern

Inductive I ($params$)

$:= \dots$

| $c_i : \forall x_1:A_1 \dots x_n:A_n, I \text{ } params$

| \dots

- ▶ Non-recursive case: I does not occur in A_i
- ▶ Property: any $x : I$ is of the form $c_i a_1 \dots a_n$
- ▶ Elimination: **complete** pattern-matching

$f : \text{empty} \rightarrow C := .$

$f : \text{unit} \rightarrow C := f \text{ tt} \Rightarrow x.$

$f : \text{bool} \rightarrow C := f \text{ true} \Rightarrow x \mid f \text{ false} \Rightarrow y.$

$f : \text{sum } A \ B \rightarrow C :=$

$f (\text{inl } a) \Rightarrow x \mid f (\text{inr } b) \Rightarrow y.$

Recursive data types

Algebraic datatypes: natural numbers, lists, trees ...

Pattern-matching + structural recursion

Inductive $\text{nat} := 0 \mid S : \text{nat} \rightarrow \text{nat}.$

Def $f : \text{nat} \rightarrow C :=$

$f \ 0 \Rightarrow \dots$

$f \ (S \ n) \Rightarrow \dots \ (f \ n) \dots$

Induction principle

$$\forall P, P \ 0 \rightarrow (\forall n, P \ n \rightarrow P \ (S \ n)) \rightarrow \forall n, P \ n$$

Exercise

Inductive $I := c : I \rightarrow I.$

- ▶ Write the induction principle associated to I
- ▶ Give the general form of primitive recursive functions of type $I \rightarrow C$
- ▶ I is empty: build a function of type $I \rightarrow \text{empty}$

More recursive data types

Trees with **denumerable** branching.

```
Inductive ord : Type :=
  zero | succ : ord → ord
| lim : (nat → ord) → ord.
```

```
Def nat2ord : nat → ord :=
  0 ⇒ zero
| S n ⇒ succ (nat2ord n).
```

(lim nat2ord) is infinite but each branch is finite.

Induction principle:

$$\begin{aligned} \forall P, & P \text{ zero} \rightarrow (\forall x, P x \rightarrow P (\text{succ } x)) \\ & \rightarrow (\forall f, (\forall n, P (f n)) \rightarrow P (\text{lim } f)) \\ & \rightarrow \forall x:\text{ord}, P x \end{aligned}$$

Well-founded type

$Wx : A.Bx$

Each node is parametrized by $a : A$
with subtrees indexed by $b : Ba$.

Inductive $W \ (B:A \rightarrow \star)$

$:= \text{Node} : \forall a:A, \ (B\ a \rightarrow W\ B) \rightarrow W\ B.$

Recursive calls on subtrees:

Def $f : W\ B \rightarrow C :=$

$(\text{Node}\ a\ t) \Rightarrow \dots (f\ (t\ b_1)) \dots (f\ (t\ b_p)) \dots$

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Predicate definition

Logic

- ▶ $x = y$ with introduction rule: $x = x$

Logic programming

```
le 0 n :-
```

```
le (S n) (S m) :- le n m
```

Semantics

$$\frac{s \vdash b \rightsquigarrow \text{true} \quad s \vdash p \rightsquigarrow s_1 \quad s_1 \vdash (\text{while } bp) \rightsquigarrow s_2}{s \vdash (\text{while } bp) \rightsquigarrow s_2}$$

$$\frac{s \vdash b \rightsquigarrow \text{false}}{s \vdash (\text{while } bp) \rightsquigarrow s}$$

Example

Reflexive-transitive closure of R

Inductive RT :=

$RT_{\text{refl}}: \forall x, RT\ x\ x$
 $| RT_{\text{R}}: \forall x\ y, R\ x\ y \rightarrow RT\ x\ y$
 $| RT_{\text{tran}}: \forall x\ y\ z, RT\ x\ z \rightarrow RT\ z\ y \rightarrow RT\ x\ y.$

Fixpoint:

$$RT\ x\ y \leftrightarrow x = y \vee R\ x\ y \vee (\exists z, RT\ x\ z \wedge RT\ z\ y)$$

Minimality:

$$\begin{aligned}
 &\forall P, \quad (\forall x, P\ x\ x) \\
 &\rightarrow (\forall xy, R\ x\ y \rightarrow P\ x\ y) \\
 &\rightarrow (\forall xyz, RT\ x\ y \rightarrow P\ x\ y \rightarrow RT\ y\ z \rightarrow P\ y\ z \rightarrow P\ x\ z) \\
 &\rightarrow \forall xy, RT\ x\ y \rightarrow P\ x\ y
 \end{aligned}$$

Exercise

- ▶ Prove $RT\ x\ y \leftrightarrow x = y \vee \exists z, RT\ x\ z \wedge R\ z\ y$
- ▶ Write a new inductive definition of the transitive-closure corresponding to the previous equivalence
- ▶ Write the corresponding minimality principle

General pattern

Inductive $\mathbb{I} := \dots$

| $c_i : \forall x_1:A_1 \dots x_n:A_n, \mathbb{I} \ t_1 \dots t_p$
 | \dots

$t_1 \dots t_p$ are **arbitrary terms**,

not only parameters (distinct quantified variables).

Recursive arguments on other instances / $u_1 \dots u_p$

Smallest relation preserving the rules of construction.

Equality is one of the central notions:

Inductive $\mathbb{I} \ y_1 \dots y_p := \dots$

| $c_i : \forall x_1:A_1 \dots x_n:A_n,$
 $t_1 \dots t_p = y_1 \dots y_p \rightarrow \mathbb{I} \ y_1 \dots y_p$
 | \dots

Equality

Smallest reflexive relation:

Inductive $eq := eqrefl : \forall x, eq\ x\ x.$

Minimality:

$$\forall P, (\forall x, P\ x\ x) \rightarrow \forall xy, eq\ x\ y \rightarrow P\ x\ y$$

Different elimination (Leibniz equality):

$$\forall Q\ x\ y, Q\ x \rightarrow eq\ x\ y \rightarrow Q\ y$$

Derivable equivalence

- ▶ $2 \Rightarrow 1$ Given $P\ x$, takes $Q \equiv P\ x$
- ▶ $1 \Rightarrow 2$ Given Q takes $P\ x\ y \equiv Q\ x \rightarrow Q\ y$

2 is always easier to use.

Parameters

corresponds to an outside quantification:

Variable x .

Inductive $eq_x := refl_{eq_x} : eq_x x$.

usually written:

Inductive $eq\ x := eqrefl : eq\ x\ x$.

Generated minimality principle:

$$\forall x, \forall Q, Q\ x \rightarrow \forall y, eq\ x\ y \rightarrow Q\ y$$

General pattern:

Inductive $I\ (params) := \dots$

| $c_i : \forall x_1 : A_1 \dots x_n : A_n, I\ params\ t_1 \dots t_p$

| \dots

Inversion

The conclusion of the minimality principle is

$$\forall x_1 \dots x_p, I x_1 \dots x_p \rightarrow P x_1 \dots x_p$$

P should be true for all instances of I .

Sometimes, we want to prove special instances:

- ▶ $le(S\ n)\ 0 \rightarrow False$
- ▶ $le(S\ n)(S\ m) \rightarrow le\ n\ m$

Advanced pattern-matching rules, or find a generalization:

- ▶ $le\ x\ y \rightarrow x = S\ n \rightarrow y = 0 \rightarrow False$
inversion
- ▶ $le\ x\ y \rightarrow le(P\ x)(P\ y)$

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Different definitions of the order on natural numbers

Recursive definition

Def $le_0 : \text{nat} \rightarrow \text{nat} \rightarrow \text{prop}$
 $le_0 \ 0 \ n \Rightarrow \text{True} \mid le_0 \ (S \ n) \ 0 \Rightarrow \text{False}$
 $\mid le_0 \ (S \ n) \ (S \ m) \Rightarrow le_0 \ n \ m.$

Inductive definitions:

Inductive $le_1 : \text{nat} \rightarrow \text{nat} \rightarrow \text{prop}$
 $le_1b : \forall n, le_1 \ 0 \ n$
 $\mid le_1S : \forall n \ m, le_1 \ n \ m \rightarrow le_1 \ (S \ n) \ (S \ m).$

Inductive $le_2 \ (n : \text{nat}) : \text{nat} \rightarrow \text{prop}$
 $le_2b : le_2 \ n \ n$
 $\mid le_2S : \forall m, le_2 \ n \ m \rightarrow le_2 \ n \ (S \ m).$

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Equivalence of definitions

	le_0	le_1	le_2
$2 \leq 3$	<i>true</i>	$le_1 S (le_1 S (le_1 b 1))$	$le_2 S (le_2 b 2)$
$0 \leq n$	<i>true</i>	$le_1 b$	<i>ind n</i>
$n \leq m \Rightarrow S n \leq S m$			
	$A \Rightarrow A$	$le_1 S$	<i>ind (n ≤ m)</i>
$n \leq n$	<i>ind n</i>	<i>ind n</i>	$le_2 b$
$n \leq m \Rightarrow n \leq S m$			
	<i>double ind</i>	<i>ind (n ≤ m)</i>	$le_2 S$
$S n \leq S m \Rightarrow n \leq m$			
	$A \Rightarrow A$	<i>inversion</i>	<i>hard</i>
$S n \not\leq 0$	\neg <i>false</i>	<i>inversion</i>	<i>inversion</i>
<i>transitive</i>	<i>double ind</i>	<i>double ind</i>	<i>ind (m ≤ p)</i>

$$le_1 \Leftrightarrow le_2$$

$$le_0 \Rightarrow le_1 (\text{double ind})$$

Remarks

- ▶ Recursive definitions are not always possible (see [RT](#))
- ▶ Inductive definitions are not always possible (positivity)
 - ▶ $SN\ x \rightarrow x \in [base]$
 - ▶ $(\forall x, x \in [\sigma] \rightarrow (app\ t\ x) \in [\tau]) \rightarrow t \in [arr\ \sigma\ \tau]$
- ▶ Possible choice between different inductive/recursive specifications
(some theorems for free, other more complicated to get)

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Inductive families

Proof of inductively defined relation seen as concrete objects

Inductive list :=
 nil : list 0
| cons : $\forall n, A \rightarrow \text{list } n \rightarrow \text{list } (S\ n)$

Pattern-matching and recursive definitions as for lists.

Induction principle:

$\forall P, (P\ 0\ \text{nil})$
 $\rightarrow (\forall n\ a\ l, P\ n\ l \rightarrow P\ (S\ n)\ (\text{cons } n\ a\ l))$
 $\rightarrow \forall n\ l, P\ n\ l$

Concrete view of relations

Finite sets

```
inductive finite : set → * :=
  fin0 : finite ∅
| finadd : ∀ a s, finite s → a ∉ s → finite ({a} ∪ s)
```

The proof is a list enumerating the elements without duplication.

Derivations in minimal logic

```
inductive pr : list form → form → * :=
  elim: ∀ E A B, pr E (A ⇒ B) → pr E A → pr E B
| intro: ∀ E A B, pr (A :: E) B → pr E (A ⇒ B)
| var: ∀ E A, pr (A :: E) A
| weak: ∀ E A B, pr E B → pr (A :: E) B.
```

A proof of *pr E A* encodes a lambda-term.

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Structural recursion

```
Def f : nat → C :=  
  f 0 ⇒ ...  
  f (S n) ⇒ ... (f n) ...
```

like primitive recursion but C can be functional

```
Def ackf (f:nat → nat) : nat → nat :=  
  ackf f 0 ⇒ f (S 0)  
  ackf f (S m) ⇒ f (ackf f m) .
```

```
Def ack : nat → nat → nat :=  
  ack 0 ⇒ (fun m ⇒ m)  
  ack (S n) ⇒ ackf (ack n)
```

A theoretical result

Any recursive function, **provably total** in (higher-order) arithmetic can be represented using (higher-order) primitive recursive scheme.

- ▶ Take a recursive function f
- ▶ Kleene T, U (primitive recursive) : natural number n which represents $f : f(x) = y \Leftrightarrow \exists k. T n x k \wedge U k = y$
- ▶ f is provably total if there is a proof of : $\forall x. \exists k. T n x k$
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Maybe not the appropriate algorithm !

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Schemes

Def $f \ (n:\text{nat}) \ : \ C \ := \ h \ (f \ n_1) \ \dots \ (f \ n_p) \ .$

with $n_i < n$.

Def $g \ : \ \text{nat} \rightarrow \text{nat} \rightarrow C \ :=$
 $g \ n \ 0 \Rightarrow ? \mid g \ n \ (S \ m) \Rightarrow h \ (g \ n_1 \ m) \ \dots \ (g \ n_p \ m) \ .$

Def $f \ (n:\text{nat}) \ : \ C \ := \ g \ n \ (S n) \ .$

Properties:

Lemma $\forall \ m \ n, \ n < m \rightarrow f \ n = g \ n \ m \ .$

Lemma $\forall \ n, \ f \ n = h \ (f \ n_1) \ \dots \ (f \ n_p) \ .$

Associated induction principle:

$$\forall P, (\forall n, (\forall m, m < n \rightarrow P \ m) \rightarrow P \ n) \rightarrow \forall n, P \ n$$

Well-founded ordering

Relation $<$ with no infinite chain

Inductive $\text{acc } x$
 $:= \text{acci} : (\forall y, y < x \rightarrow \text{acc } y) \rightarrow \text{acc } x.$

$<$ is well-founded if $\text{wf} : \forall x, \text{acc } x$

Structural recursion:

$F : \forall x, \text{acc } x \rightarrow C :=$
 $F x (\text{acci } t) \Rightarrow \dots (F y (t y ?_{y < x})) \dots$

Induction principle:

$$\forall P, (\forall y, y < x \rightarrow P y) \rightarrow P x \rightarrow \forall x, \text{acc } x \rightarrow P x$$

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Structural recursion:

$F : \forall x, \text{acc } x \rightarrow C :=$
 $F \ x \ (\text{acci } t) \Rightarrow \dots (F \ y \ (t \ y \ ?_{y < x})) \dots$

Induction principle:

$$\forall P, (\forall y, y < x \rightarrow P y) \rightarrow P x \rightarrow \forall x, \text{acc } x \rightarrow P x$$

Well-founded recursion

Def $f \ (x : A) : h \ (f \ x_1) \dots (f \ x_p) .$

with $x_j < x$ for a well-founded order $<$.

Def $g \ (x : A) \ (a : acc \ x) : C :=$
 $g \ x \ (acc \ i \ t) \Rightarrow h \dots (g \ x_j \ (t \ x_j \ ?_{x_j < x})) \dots$

Def $f \ (x : A) := g \ x \ (wf \ x)$

Property :

Lemma $\forall \ (x : A) \ (a : acc \ x), \ f \ x = g \ x \ a$

Lemma $\forall \ (x : A) \ f \ x = h \ (f \ x_1) \dots (f \ x_p) .$

Minimisation

$$\text{min } P \ n = \text{if } P \ n \text{ then } n \text{ else } \text{min } P \ (S \ n)$$

introduce $x \prec y := \neg P \ y \wedge x = S \ y$

show $\forall m, P \ m \rightarrow \text{acc}_{\prec} \ 0$

Remark: we cannot expect a (strongly normalizing) reduction

$$\text{min } P \ n \longrightarrow \text{if } P \ n \text{ then } n \text{ else } \text{min } P \ (S \ n)$$

Termination arguments

Inductive $\text{prog} :=$

- Base : $(\text{state} \rightarrow \text{state}) \rightarrow \text{prog}$
- | Seq : $\text{prog} \rightarrow \text{prog} \rightarrow \text{prog} \ (* \text{ p1;p2 } *)$
- | While : $(\text{state} \rightarrow \text{bool}) \rightarrow \text{prog} \rightarrow \text{prog}.$

How to write an evaluation function ?

Inductive $E : \text{state} \rightarrow \text{prog} \rightarrow \text{state} \rightarrow \text{Prop} :=$

- EBase : $\forall s \ p, E \ s \ (\text{Base } p) \ (p \ s)$
- | ESeq : $\forall s1 \ s2 \ s3 \ p \ q,$
 $E \ s1 \ p \ s2 \rightarrow E \ s2 \ q \ s3 \rightarrow E \ s1 \ (p;q) \ s3$
- | EWhiletrue : $\forall s1 \ s2 \ b \ p,$
 $b \ s1 = \text{true} \rightarrow E \ s1 \ (p;\text{While } b \ p) \ s2$
 $\rightarrow E \ s1 \ (\text{While } b \ p) \ s2$
- | EWhilefalse : $\forall s \ b \ p,$
 $b \ s = \text{false} \rightarrow E \ s \ (\text{While } b \ p) \ s.$

Termination arguments

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- | EWhilefalse : $\forall s \ b \ p,$
 $b \ s = \text{false} \rightarrow E \ s \ (\text{While } b \ p) \ s.$

Terminating programs

Inductive $T : \text{state} \rightarrow \text{prog} \rightarrow \text{Prop} :=$

- $\text{TBase} : \forall s p, T s (\text{Base } p)$
- $| \text{TSeq} : \forall s p q,$
 - $T s p \rightarrow (\forall s', E s p s' \rightarrow T s' q)$
 - $\rightarrow T s (p; q)$
- $| \text{TWhiletrue} : \forall s b p,$
 - $b s = \text{true} \rightarrow T s (p; \text{While } b p)$
 - $\rightarrow T s (\text{While } b p)$
- $| \text{TWhilefalse} : \forall s b p,$
 - $b s = \text{false} \rightarrow T s (\text{While } b p).$

Evaluation function

Recursively defined on terminating programs:

```

Def eval :  $\forall s\ p, T\ s\ p \rightarrow ex\ s', E\ s\ p\ s'$ 
eval s (Base p) (TBase s p)  $\Rightarrow$  (p s,?)
eval s (p1;p2) (TSeq s p1 p2 tp1 tp2)  $\Rightarrow$ 
    let (s1,e1) := eval s p1 tp1 in
    let (s2,_) := eval s1 p2 (tp2 s1 e1)
    in (s2,?)
eval s (While b p) (TWhiletrue s b p H ts)  $\Rightarrow$ 
    let (s',_) := eval s (p;While b p) ts
    in (s',?)
eval s (While b p) (TWhilefalse s b p H)  $\Rightarrow$  (s,?)
  
```

Outline

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Encoding of non-recursive predicates

Inductive $\text{I } pars :=$
 $\dots c_i : \forall (x_1 : A_1) \dots (x_n : A_n) \text{I } pars \ u_1 \dots u_p \dots$

is translated into

Def $\text{I } pars \ y_1 \dots y_p :=$
 $\forall P, \dots (\forall (x_1 : A_1) \dots (x_n : A_n) P \ u_1 \dots u_p) \dots$
 $\rightarrow P \ y_1 \dots y_p$

- ▶ No dependent types, no pattern-matching.
- ▶ Works for equality, inference rules ...

Encoding of inductive predicates

Unary predicate with one constructor

Inductive $I\ x := c : F\ I\ x \rightarrow I\ x.$

F has type $(A \rightarrow prop) \rightarrow (A \rightarrow prop)$

F should be monotonic : $mon : X \subseteq Y \rightarrow F\ X \subseteq F\ Y$

with $X \subseteq Y \equiv \forall x, X\ x \rightarrow Y\ x$

Introduce

Def $I\ x := \forall P, (F\ P \subseteq P) \rightarrow P\ x.$

Introduction/Elimination schemes

Def $I\ x := \forall P, (F\ P \subseteq P) \rightarrow P\ x.$

Iteration scheme is trivial.

Def $it := \forall P, (F\ P \subseteq P) \rightarrow I \subseteq P.$

Constructor:

Def $c : F\ I \subseteq I :=$
 fun $x\ (t:F\ I\ x)\ P\ (f : F\ P \subseteq P) \Rightarrow$
 $f\ (mon\ (it\ P\ f)\ x\ t).$

Recursors:

Def $rec1 := \forall P, (F\ (I \cap P) \subseteq P) \rightarrow I \subseteq P.$

Def $rec2 := \forall P,$
 $(\forall Q, (Q \subseteq I) \rightarrow (Q \subseteq P) \rightarrow F\ Q \subseteq P)$
 $\rightarrow I \subseteq P.$

Exercise: show that these schemes are equivalent

Inductive types

T. Melham, E. Gunter, L. Paulson, J. Harrison...

The key steps :

- ▶ Define a type X , such that one can build injective functions for the constructors. $z : X \quad s : X \rightarrow X \quad sx = sy \rightarrow x = y \quad sx \neq z$
- ▶ Define by induction the smallest subset Ix of X closed by the rules of construction. $Nx = \forall P, Pz \rightarrow (\forall y. Py \rightarrow P(sy)) \rightarrow Px$
- ▶ Define \mathbb{I} as the restriction of X to objects x which satisfy Ix .

abs : $X \rightarrow \mathbb{I} \quad \mathbf{rep} : \mathbb{I} \rightarrow X$

abs (**rep** n) = $n \quad Ix \rightarrow \mathbf{rep}(\mathbf{abs} \, x) = x \quad I(\mathbf{rep} \, n)$

$Ix \rightarrow Iy \rightarrow \mathbf{abs} \, x = \mathbf{abs} \, y \rightarrow x = y$

Properties of the inductive type

- ▶ Define constructors of \mathbb{I} with the appropriate type using **abs** and **rep**.

$$O = \mathbf{abs} \ z \quad S n = \mathbf{abs} \ (s(\mathbf{rep} \ n))$$

- ▶ $S n = S m \rightarrow n = m$
because $s(\mathbf{rep} \ n) = s(\mathbf{rep} \ m) \rightarrow \mathbf{rep} \ n = \mathbf{rep} \ m$
- ▶ $S n \neq O$ because $s(\mathbf{rep} \ n) \neq z$
- ▶ Derive induction principle for \mathbb{I} using property I .

$$\frac{P \ 0 \quad \forall n : N, P \ n \rightarrow P \ (S \ n)}{\forall n : N, P \ n}$$

Show $\forall x, N \ x \rightarrow P(\mathbf{abs} \ x)$

Properties of the inductive type

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Show $\forall x, N\ x \rightarrow P(\text{abs } x)$

Recursion scheme

Prove the existence of a general recursor

$\forall g : \alpha, \forall h : \mathbb{N} \rightarrow \alpha \rightarrow \alpha.$

$\exists ! f : \mathbb{N} \rightarrow \alpha, f\ 0 = g \wedge \forall n. f\ (S\ n) = h\ n\ (f\ n)$

Define $F : \mathbb{N} \rightarrow \alpha \rightarrow \alpha$ inductively :

$$\overline{F\ 0\ g} \quad \overline{F\ n\ a} \\ \overline{F\ (S\ n)\ (h\ n\ a)}$$

Prove by induction on n

► $\forall n : \mathbb{N}, \exists a : \alpha, F\ n\ a$

► $\forall (n : \mathbb{N})(a\ b : \alpha), F\ n\ a \rightarrow F\ n\ b \rightarrow a = b$

Take $f\ n$ be $\epsilon a, F\ n\ a$.

Computation done by equational reasoning.

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Prove by induction on n

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Take $f\ n$ be $\epsilon a, F\ n\ a$.

Computation done by equational reasoning.

Encoding in the pure Calculus of Constructions

$nat \equiv \forall \alpha : \mathbf{Set}, (\alpha \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$

- ▶ No proof of $0 \neq 1$, need axiom $true = false$
- ▶ Recursor for $\alpha : \mathbf{Set}$ but bad computational behavior (predecessor function).
- ▶ Complex construction for recursor with $\alpha := A \rightarrow \mathbf{Prop}$
- ▶ No proof of the induction principle (need restriction to $\{x : nat \mid Nx\}$).
- ▶ Extraction to Ocaml

Outline

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Underlying PTS

- ▶ Sorts : Prop , Type_i , $\text{Set} = \text{Type}_0$
- ▶ $\text{Type}_i : \text{Type}_{i+1}$, $\text{Prop} : \text{Type}_1$.
- ▶ $\text{Type}_i \subseteq \text{Type}_{i+1}$, $\text{Prop} \subseteq \text{Type}_1$.
- ▶ Impredicativity

$$\frac{x : A \vdash B : \text{Prop}}{\forall x : A, B : \text{Prop}}$$

- ▶ Predicativity $s = \text{Prop}$ or $s = \text{Type}_i$

$$\frac{\vdash A : s \quad x : A \vdash B : \text{Type}_i}{\forall x : A, B : \text{Type}_i}$$

Declaration

Inductive $l_1 \text{ pars} : Ar_1 := \dots$
 | $c : \forall (x_1 : A_1) .. (x_n : A_n), l_1 \text{ pars } u_1 .. u_p$
 ...
with $l_2 \text{ pars} : Ar_2 := \dots$
with ...

Terminology

- ▶ pars parameters (same for all definitions)
- ▶ Ar_j arity
- ▶ u_i index
- ▶ $\forall (x_1 : A_1) .. (x_n : A_n), l_1 \text{ pars } u_1 .. u_p$ type of constructor
- ▶ A_i type of argument of constructor

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Typing condition

- ▶ Arities are of the form $\forall(y_1 : B_1)..(y_p : B_p), s$
 s is the sort of the inductive definition.
- ▶ Type of constructors C are well-typed:

$$(I_1 : \forall pars, Ar_1)..(I_k : \forall pars, Ar_k) (pars) \vdash C : s$$

- ▶ if s is predicative (not **Prop**) then type of arguments of constructors are in the same universe:
 forall i , $A_i : s$ or $A_i : \mathbf{Prop}$
- ▶ if s is **Prop**, we distinguish
 - ▶ **predicative** definitions $A_i : \mathbf{Prop}$
 - ▶ **impredicative** definitions (at least one i such that $A_i : \mathbf{Type}$)

Positivity condition

In Coq occurrences of l_j should occur strictly positively in types of arguments of constructors A_i :

- ▶ does not occur: $l_j \notin A_i$
- ▶ simple case $A_i = l_j t_1 \dots t_p$
(not necessarily the same parameters, $l_j \notin t_k$)
- ▶ functional case $A_i = \forall z : B_1, B_2$
with $l_j \notin B_1$ and l_j strictly positive in B_2
- ▶ imbricated case : $A_i = J t_1 \dots t_p$
with J another inductive definition with parameters $X_1 \dots X_r$.
When $t_1 \dots t_r$ are substituted for $X_1 \dots X_r$ in the types of constructors of J , the strict positivity condition is satisfied.

Example of imbricated definition

Trees with arbitrary (finite) branching.

```
Inductive list A : Type
  := nil | cons : A → list A → list A.
```

```
Inductive tree A : Type
  := node : A → list (tree A) → tree A.
```

Equivalent to a mutually inductive definition

```
Inductive tree A : Type
  := node : A → forest A → tree A
with forest A : Type
  := empty
  | add : tree A → forest A → forest A.
```

Exercise

Inductive X : Set := intro : unit + X -> X.

Inductive dec (A : Prop) : Prop :=
 yes : A -> dec A | no : ~ A -> dec A.

Inductive X : Prop := intro : dec X -> X.

Inductive option (A : Set) : Set :=
 None | Some : A -> option A.

Inductive X : Set -> Set :=
 abs : \forall (A:Set), X (option A) -> X A
 | var : \forall (A:Set), A -> X A.

Inductive X (A : Set) : Set :=
 abs : X (option A) -> X A
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Outline

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Introduction rules

Given by the constructors.

c is the i -th constructor of inductive definition I with parameters $pars$ and type of constructor C .

$$c \equiv \text{Constr}(i, I) : \forall pars, C$$

Outline

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Case analysis

- ▶ Induction principle versus Case analysis + fixpoint (cf Th. Coquand)
- ▶ (Primitive) pattern-matching is **simple** (one level, complete)
- ▶ Parameters are instantiated

$$\frac{\begin{array}{l} t : I \text{ pars } t_1..t_p \\ y_1..y_k, x : I \text{ pars } y_1..y_k \vdash P(y_1..y_k, x) : s' \\ (x_1 : A_1..x_n : A_n \vdash f : P(u_1..u_k, c\ x_1..x_n))_c \end{array}}{\begin{array}{l} \text{match } t \text{ as } x \text{ in } I_y_1..y_k \text{ return } P(y_1..y_k, x) \\ \text{with } \dots \mid c\ x_1..x_n \Rightarrow f \mid \dots \\ \text{end} : P(t_1..t_p\ t) \end{array}}$$

Reduction rules (ι) as expected when t starts with a constructor.

Inductive definitions and sorts

Which sort s' when doing case analysis on I of sort s ?

- ▶ if s is **Type**, predicative inductive definition, any possible sort for case analysis.
- ▶ if s is **Prop**, impredicative sort + proof irrelevance interpretation + extraction
 - ▶ General case: only sort **Prop** for elimination. Strong elimination : $F(x : I) : \text{Set}$.
 - ▶ Particular cases : I is a predicative definition with only zero or one constructor (all $A_i : \text{Prop}$) any possible sort for case analysis.
 - ▶ absurdity (no constructor)
 - ▶ equality (no arguments)
 - ▶ conjunction of propositions
 - ▶ corresponds to Harrop's formula

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Examples

```
Inductive sig (A:S1) (B:A→S2) : s :=  
  pair : ∀ x:A, B x → sig A B.
```

```
Def fst (p : sig A B) : A :=  
  match p return A with pair a b ⇒ a end.
```

```
Def snd (p : sig A B) : B (fst p) :=  
  match p return B (fst p)  
  with pair a b ⇒ b end.
```

- ▶ What are the possible relations between S_1, S_2, S ?
- ▶ In which cases can we define `fst` and `snd` ?

Records

Syntactic sugar for definition of tuples
automatic generation of projections

```
Record divspec (a b : nat) : Set := mkdiv  
  {quo : nat; rem : nat;  
   prop1 : a=b*quo+rem; prop2:rem<b  
  }
```

```
Record monoid : Type := mkgrp  
  {car:Type; op:car → car → car; elt : car;  
   assoc :  $\forall x y z, op (op x y) z = op x (op y z);$   
   neutr1 :  $\forall x, op elt x = x;$   
   neutr2 :  $\forall x, op x elt = x$   
  }
```

Exercise

Which elimination is used to prove $\text{true} \neq \text{false}$?

Inductive or (A B:Prop) : Prop :=
left : A \rightarrow or A B | right : B \rightarrow or A B.

Prove $pq : \text{or True True}, p \neq q$ by case analysis on **Set**.

Recursive definition

- Concrete declaration:

Fixpoint $f \text{ } \vdash \text{ } (x_1 : A_1) \dots (x_m : A_m) \{ \text{struct } x_n \} : B := t .$

- Internal fixpoint construction

fix $f \text{ } \vdash \text{ } (x_1 : A_1) \dots (x_n : A_n) : \forall (x_{n+1} : A_{n+1}) \text{ } (x_m : A_m) B$
 $:= \text{fun } x_{n+1} \dots x_m \Rightarrow t .$

- Typing condition:

$$(f : \forall (x_1 : A_1) \dots (x_n : A_n), B)(x_1 : A_1) \dots (x_n : A_n) \vdash t : B$$

- Condition: Recursive calls to f in t should be made on terms **structurally** smaller than x_n

Guarded definitions

Syntactic criteria: t is structurally smaller than x_n if

- ▶ $t = x \vec{u}$ with x a variable in a pattern in a **match** on x_n corresponding to a recursive argument.

```
Fixpoint add n m {struct n} : nat :=
  match n with 0  $\Rightarrow$  m | (S p)  $\Rightarrow$  S (add p m) end.
```

- ▶ transitivity:

```
Fixpoint div2 {struct n} : nat :=
  match n with
    0  $\Rightarrow$  0
  | (S p)  $\Rightarrow$  match p with
    0  $\Rightarrow$  0 | (S q)  $\Rightarrow$  S (div2 q)
  end.
end.
```

Guarded definitions

Match construction: **match** u **with** $p_1 \Rightarrow u_1 \mid \dots$ **end** is structurally smaller than x when each branch u_i is.

- informal explanation:

$f(\text{match } u \text{ with } p_1 \Rightarrow u_1 \mid \dots \text{end})$

is computationally equivalent to: **match** u **with** $p_1 \rightarrow f u_1 \dots$ **end**

- When $u : \text{False}$, **match** u **with end** is structurally smaller than any term.
- Def** $\text{pred } n : 0 < n \rightarrow \text{nat} :=$
 $\text{match } n \text{ with } 0 \Rightarrow \text{fun } H \Rightarrow \text{error?}_{\text{False}}$
 $\mid S \ p \Rightarrow \text{fun } H \Rightarrow p \text{ end}$

$\text{pred } n \ H$ is smaller than n .

Well-founded recursion

Define *acc* as a relation in *Prop*.

Inductive *acc* (*x*:A) : Prop
 := *acc_i* : ($\forall y, y < x \rightarrow \text{acc } y$) \rightarrow *acc x*.

Given *F* of type $\forall x, (\forall y, y < x \rightarrow P y) \rightarrow P x$

Fixpoint *wf_rec* (*x*:A) (*p*:*acc x*) {struct *p*} : P *x* :=
 F *x* (**fun** *y* (*h*:*y*<*x*) \Rightarrow
 wf_rec y (**match** *p with* (*acc_i t*) \Rightarrow *t y h* **end**))

- ▶ Works for *P x* : Type
- ▶ Does not use *p* for computation in the extracted term

A similar (more involved) trick can be used for the evaluation function in the WHILE language.

Computation

- ▶ Naive fixpoint reduction breaks strong normalisation
- ▶ Trick : guard reduction by asking the inductive arguments to start with a constructor.

Induction principles

They can be obtained combining fixpoint and pattern-matching

- ▶ Automatically generated when introducing an inductive definition
- ▶ Dependent version in general, non-dependent version for inductive in **Prop**.

$$\begin{aligned} & \forall n, \forall P, \\ & P\ n \rightarrow (\forall m, \text{le } n\ m \rightarrow P\ m \rightarrow P\ (S\ m)) \\ & \rightarrow \forall m, \text{le } n\ m \rightarrow P\ m. \end{aligned}$$

- ▶ Dependent or mutual induction obtained with **Scheme** command:

$$\begin{aligned} & \forall n, \forall (P : \forall m, \text{le } n\ m \rightarrow *), \\ & P\ n\ \text{leb} \\ & \rightarrow (\forall m\ (p : \text{le } n\ m), P\ m\ p \rightarrow P\ (S\ m)\ (\text{leS } p)) \\ & \rightarrow \forall m\ (p : \text{le } n\ m), P\ m\ p. \end{aligned}$$

Part II

Inductive Constructions : advanced notions

Outline

- Equality
- Paradoxes
 - Positivity condition
 - Sorts
 - Guarded definitions and pattern-matching
- Coinductive definitions
- Extensions
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Convertibility

Convertibility modulo $\beta\delta\iota\dots$

- ▶ **Meta-theoretical** notion corresponding to the same λ -term
- ▶ Two convertible propositions have the same proofs

$$(2 + 2 > 2) \equiv (4 > 2)$$

- ▶ **Intensional** equality (\neq extensional): two different algorithms for sorting are not convertible

Inductive equality

Leibniz equality, smallest reflexive relation

- ▶ Polymorphic binary predicate $\forall \alpha, \alpha \rightarrow \alpha \rightarrow \mathbf{Prop}$
- ▶ Strong link with convertibility:

$$\frac{\Gamma \vdash t \equiv u}{\Gamma \vdash \text{refleq} : t = u}$$

if $p : t = u$ in the empty context then $t \equiv u$ (meta-theorem)

Proofs of $\forall n, 0 + n = n$ $\forall n, n + 0 = n$

Leibniz equality and dependent types

► How to compare objects in different types?

► **Inductive** `list` :=

`nil : list 0`

`| cons : $\forall n, A \rightarrow \text{list } n \rightarrow \text{list } (S\ n)$.`

Def `app : $\forall n\ m, \text{list } n \rightarrow \text{list } m \rightarrow \text{list } (n+m)$.`

Lemma `$\forall n\ (l:\text{list } n), \text{app nil } l = l$.`

Lemma `$\forall n\ (l:\text{list } n), \text{app } l\ \text{nil} = l$.`

NOT WELL TYPED!

► **Idea:** compare $(n + 0, \text{app } l\ \text{nil}) = (n, l)$ in $\Sigma n, \text{list } n$
But no possible replacement

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Equality on dependent types

$(A : \text{Type}) (P : A \rightarrow \text{Type}) (a b : A) (t : P a) (u : P b)$

How to say that $t = u$?

Def $\text{eqdep } (a b : A) (t : P a) (u : P b) := (a, t) = (b, u) .$

Inductive $\text{eqdep } (a : A) (t : P a) : \forall b, P b \rightarrow \text{Prop} :=$
 $\text{refleqdep} : \text{eqdep } a t a t .$

Def $\text{eqdep } (a b : A) (t : P a) (u : P b)$
 $:= \text{exists } h : a = b, \text{subst } h t = u .$

- ▶ Equivalent relations
- ▶ None of them can prove : $\forall a (t u : P a), \text{eqdep } t u \rightarrow t = u$
- ▶ Related to the absence of proof of : $\forall x (p : x = x), p = \text{refleq } x .$

Context of substitution

Elimination principle for inductive equality:

$$\forall (P : \forall y, x=y \rightarrow *), P\ x\ (\text{refl}\ x) \\ \rightarrow \forall y\ (p : x=y), P\ y\ p$$

Only says that $(y, p) = (x, \text{refl}\ x)$

Property $Q\ p \equiv p = \text{refl}\ x$ only well-typed if $p : x = x$ cannot be abstracted such that $p : x = y$.

- ▶ problem first identified by Th. Coquand
- ▶ models where it is not true (M. Hoffman, Th Streicher)

Dependent equality in practice

- Problem appears even in simple examples:

$$\forall l : \text{list } 0, l = \text{nil}.$$

cannot directly use case analysis on l which requires to abstract with respect to n and $l : \text{list } n$.

- K axiom (Streicher's habilitation): equivalent to

$$\forall x (p : x = x), p = \text{refl}eq\ x.$$

In Coq (file `Logic/Eqdep`):

$$\forall U (p : U) (Q : U \rightarrow \text{Type}) (x : Q\ p) (h : p = p), \\ x = \text{match } h \text{ with } \text{refl}eq \Rightarrow x \text{ end}$$

- Axiom provable when equality on U is decidable.

M. Hedberg, Th. Kleymann (Lego), B. Barras (Coq `Eqdep_dec`)

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Dependent equality on `nat`

```
Def eqdnat (n m : nat) :  $\forall P, P\ n \rightarrow P\ m \rightarrow$  Prop
  eqdnat 0 0 P t u  $\Rightarrow$  t=u
  eqdnat (S p) (S q) P t u  $\Rightarrow$  eqdnat p q (P o S) t u
  eqdnat _ _ P t u  $\Rightarrow$  False
```

It is easy to prove the following facts by induction on n .

```
 $\forall n P (p:P\ n), eqdnat\ n\ n\ P\ p\ p$ 
 $\forall n P (p\ q:P\ n), eqdnat\ n\ n\ P\ p\ q \rightarrow p=q$ 
```

We deduce

```
 $\forall n\ m\ P (p:P\ n) (q:P\ m), eqdep\ p\ q \rightarrow eqdnat\ n\ m\ P\ p\ q$ 
 $\forall n P (p\ q:P\ n), eqdep\ p\ q \rightarrow p=q$ 
```

Heterogeneous equality

Previously called John Major's equality (Conor McBride).

Compare $x : A$ with $y : B$ with arbitrary A, B

True when A and B are convertible, as well as x and y .

Inductive $\text{Heq} (A:\text{Type}) (x:A) : \forall B, B \rightarrow \text{Prop} :=$
 $\text{reflHeq} : \text{Heq} A x A x.$

Symmetry and transitivity can be proved as for Leibniz equality.

Proof of $\text{Heq} A x B y \rightarrow A = B.$

More or less useless without an axiom (equivalent to K).

$\forall A (x y:A), \text{Heq} A x A y \rightarrow x=y.$

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Positivity

A negative occurrence in a type of constructor gives non terminating terms even without recursion.

Inductive $L = \text{Lam} : (L \rightarrow L) \rightarrow L.$

Def $\text{app} : L \rightarrow L \rightarrow L :=$
 $\text{app} (\text{Lam } f) \ x \Rightarrow f \ x.$

Def $\text{delta} : L := \text{Lam } (\text{fun } x \Rightarrow \text{app } x \ x)$

Def $\text{omega} : L := \text{app } \text{delta } \text{delta}$

$\text{omega} \equiv \text{app } (\text{Lam } (\text{fun } x \Rightarrow \text{app } x \ x)) \ \text{delta}$
 $\longrightarrow (\text{fun } x \Rightarrow \text{app } x \ x) \ \text{delta}$
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Not compatible with higher-order syntax for binders representation ...

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Not compatible with higher-order syntax for binders representation ...

General positivity

Strict positivity required at the **Type** level:

Inductive $B : \text{Type} := \text{in} : ((B \rightarrow \text{Prop}) \rightarrow \text{Prop}) \rightarrow B$

Def $f (P : B \rightarrow \text{Prop}) : \text{Prop} := \text{in} (\text{fun } Q \Rightarrow P = Q)$

Lemma $\forall P Q, f P = f Q \rightarrow P = Q$

Paradox: $P_0 x := \exists P. f P = x \wedge \neg P x \quad i_0 := f P_0$

$$P_0 i_0 \Leftrightarrow \neg P_0 i_0$$

Monotonicity is correct at the impredicative level **Prop**
(but not implemented).

Def $\text{orc } A B :=$

$\forall C, (\neg \neg C \rightarrow C) \rightarrow (A \rightarrow C) \rightarrow (B \rightarrow C) \rightarrow C$

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Impredicative type

Inductive $A : \text{Prop} := \text{in} : \text{Prop} \rightarrow A.$

Def $\text{out} : A \rightarrow \text{Prop} :=$
 $\text{out} (\text{in } P) \Rightarrow P.$

We end up with $A : \text{Prop}$ and $A \leftrightarrow \text{Prop}$ which gives a paradox.
Not allowed in COQ because it requires case analysis on predicate
 $P x := \text{Prop} : \text{Type}$

Classical logic

Implies proof-irrelevance.

Inductive `BOOL : Prop := T | F.`

Lemma $(\forall A:\text{Prop}, A \vee \neg A) \rightarrow T=F.$

Cf Coq-lab : $I : \text{Prop} \rightarrow \text{BOOL}$ such that $(I A = T) \leftrightarrow A.$

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Indecidability of completeness of pattern-matching

Nicolas Oury

Post-problem : pairs of words $(u_1, v_1), \dots, (u_n, v_n)$

Search solutions $u_{i_1} \dots u_{i_k} = v_{i_1} \dots v_{i_k}$

Inductive char := A | B. **Def** word := list char.

Inductive post : word \rightarrow word \rightarrow Prop :=

post0 : post nil nil

| post1 : $\forall l m, \text{post } l m \rightarrow \text{post } u_1[l] \ v_1[m]$

...

| postn : $\forall l m, \text{post } l m \rightarrow \text{post } u_n[l] \ v_n[m]$

Purely first-order inductive definition.

Def nondec : $\forall l, \text{post } l l \rightarrow \text{unit} :=$
 nondec nil post0 \Rightarrow tt.

Complete definition: no non-trivial solution to the post-problem.

Guard condition

Recursion only on recursive arguments

Inductive $I \text{ (A:Prop) : Prop := } c : A \rightarrow I \text{ A.}$

Def $\text{Tr : Prop := } \forall A, A \rightarrow A.$

Def $\text{id : True := fun A x } \rightarrow x.$

Def $f \text{ (I Tr) } \rightarrow X :=$
 $\quad f \text{ (c u) } \Rightarrow f \text{ (u (I True) (c id))}.$
 $f \text{ (c id) } \longrightarrow f \text{ (c id)}$

Def $f \text{ (I True) } \rightarrow X :=$
 $\quad f \text{ (c u) } \Rightarrow f \text{ (match u with tt } \Rightarrow \text{ c tt))}.$
 $f \text{ (c tt) } \longrightarrow f \text{ (c tt)}$

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Introduction

- ▶ Coinductive definitions are greatest fixpoints of monotonic operators
- ▶ $C = \nu X.F X$ satisfies

$$F C \subseteq C \quad C \subseteq F C \quad \forall X, (X \subseteq F X) \rightarrow X \subseteq C$$

- ▶ Impredicative encoding :

Def $C \ x := \exists X, (X \subseteq F X) \wedge (X \ x)$

Abstract type X , a state $s : X$ and a method $f : X \subseteq F X$ to produce outputs and new states.

Example of streams

Infinite lists (streams)

$F X := A * X$

$S := \exists X, X \rightarrow A * X \wedge X$ (record).

- Coiterative construction of a stream : $\langle X, f, s \rangle$ with
 $f : X \rightarrow A * X$ and $s : X$

Def $\text{Coit } X \ (f : X \rightarrow A * X) \ (s : X) : S := \langle X, f, s \rangle.$

- Head/Tail functions :

Def $\text{hd} : S \rightarrow A :=$
 $\text{hd } \langle X, f, s \rangle \Rightarrow f \ s.$

Def $\text{tl} : S \rightarrow S :=$
 $\text{tl } \langle X, f, s \rangle \Rightarrow \langle X, f, f \ s \rangle.$

- Properties : $\text{hd } (\text{Coit } f \ s) = \text{fst } (f \ s)$
 $\text{tl } (\text{Coit } f \ s) = (\text{Coit } f \ (\text{snd } (f \ s)))$

Constructor

cons *a s* first outputs *a* then behaves like *s*.

Need to distinguish the first step

Def `cons : A * S → S :=`

`cons (a, <X, f, s>) ⇒ <option X, g a f s, None>.`

Def `g (a:A) X (f:X → A * X) (s:X)`

`: option X → A * option X :=`

`g a X f s None ⇒ (a, Some s)`

`g a X f s (Some y) ⇒ let (b, z) := f y in (b, Some z)`

Alternative (more abstract) definition :

Def `cons (a, s) ⇒ <option S, g a s, None>.`

Def `g (a:A) (s:S) : option S → A * option S :=`

`g a s None ⇒ (a, Some s)`

`g a s (Some x) ⇒ hd x, Some (tl x)`

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Constructor

cons a s first outputs *a* then behaves like *s*.

Need to distinguish the first step

Def $\text{cons} : A * S \rightarrow S :=$

$\text{cons } (a, \langle X, f, s \rangle) \Rightarrow \langle \text{option } X, g \ a \ f \ s, \text{None} \rangle.$

Def $g \ (a:A) \ X \ (f:X \rightarrow A * X) \ (s:X)$

$: \text{option } X \rightarrow A * \text{option } X :=$

$g \ a \ X \ f \ s \ \text{None} \Rightarrow (a, \text{Some } s)$

$g \ a \ X \ f \ s \ (\text{Some } y) \Rightarrow \text{let } (b, z) := f \ y \text{ in } (b, \text{Some } z)$

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Def $g \ (a:A) \ (s:S) : \text{option } S \rightarrow A * \text{option } S :=$

$g \ a \ s \ \text{None} \Rightarrow (a, \text{Some } s)$

$g \ a \ s \ (\text{Some } x) \Rightarrow \text{hd } x, \text{Some } (\text{tl } x)$

Functional programming point of view

Th. Coquand

- ▶ Concrete data structure like inductive definition but with possible infinite elements.
- ▶ Case analysis but no induction principle
- ▶ Fixpoint definition of infinite objects but with a guard condition for productivity.

Example of streams

CoInductive Str (A:Set) : Set :=
 cons : A \rightarrow Str A \rightarrow Str A.

Projections

Def hd A (s:Str A) : A :=
 hd A (cons a s) \Rightarrow a.
Def tl A (s:Str A) : Str A :=
 hd A (cons a s) \Rightarrow s.

Recursive definition

Def cte A (a:A) : Str A := cons a (cte A a).
Def CoIt X (f:X \rightarrow A*X) (s:X) : Str A
 := cons (fst (f s)) (CoIt X s (snd (f s))).

Exercise : define the map function

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Productivity condition

Limit of recursive definitions of streams:

```
Def filter A (p:A→ bool) (s:Str A) : Str A :=
  filter A p (cons a t) ⇒
    if p a then cons a (filter p t) else filter p t
```

Problem

```
match filter p s with cons a _ ⇒ ... end
```

Productivity condition : the recursive call appears immediatly under a constructor.

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Reduction rules

cofix $f\ x := t$

- ▶ A co-fixpoint is a normal form.
- ▶ fixpoint reduction when fixpoint is in a **match** operation.

match $f\ x$ **with** $p \Rightarrow \dots$ **end** \longrightarrow **match** t **with** $p \Rightarrow \dots$ **end**

- ▶ systematic proof of $f\ x = t$ with Leibniz equality using

$s =$ **match** s **with** $(\text{cons } a\ u) \Rightarrow \text{cons } a\ u$ **end**

Equality on streams

- ▶ Intensional equality is not appropriate: canonical streams are generated by different algorithms.

```
Def alt1 : Str bool := cons true (cons false alt1).
```

```
Def alt2 (b:bool): Str bool
  := cons b (alt2 (not b)).
```

- ▶ Two streams are equal if they have the same elements

$$\text{eqStr } x \ y \leftrightarrow \text{hd } x = \text{hd } y \wedge \text{eqStr } (\text{tl } x) \ (\text{tl } y)$$

- ▶ *eqStr* should be defined co-inductively.

Proofs of equality are also co-recursively defined.

```
Def alt1_2 : eqStr alt1 (alt2 true) :=
  eqStr_i alt1 (alt2 true) (refleq true)
    (eqStr_i (cons false alt1) (alt2 false)
      (refleq false) alt1_2.
```

Combining induction and co-induction

- ▶ Define a function pre of type $\text{Str } A \rightarrow \text{nat} \rightarrow \text{list } A$ such that $\text{pre } s \ n$ contains the first n elements of s .
 - ▶ Recursion on n .
- ▶ Show that $\forall st, \text{eqStr } s \ t \rightarrow \forall n, \text{pre } s \ n = \text{pre } t \ n$
 - ▶ Induction on n
- ▶ Show the opposite direction.
 - ▶ Co recursion
- ▶ Given a property P on A , define a property on streams which says that P is true:
 - ▶ for all the elements of the stream
 - ▶ for at least one element in the stream
 - ▶ for infinitely many elements in the stream

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Outline

- Equality
- Paradoxes
 - Positivity condition
 - Sorts
 - Guarded definitions and pattern-matching
- Coinductive definitions
- **Extensions**
 - Induction-recursion
 - Size-annotation
 - Algebraic constructions

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Induction recursion

- ▶ Used in the definition of universes in MLTT, studied by P. Dybjer. Introduce a type U of codes of propositions with a decoding function of type $U \rightarrow \mathbf{Set}$.

We want

- ▶ $\mathit{nat} : U$ with $\mathit{dec} \mathit{nat} \Rightarrow \mathit{nat}$
- ▶ $\dot{+} : U \rightarrow U \rightarrow U$ with $\mathit{dec} \dot{+} x y \Rightarrow \mathit{dec} x + \mathit{dec} y$
- ▶ $\mathit{dec} (\dot{\Pi} A B) = \forall x : \mathit{dec} A, \mathit{dec} (B x)$ dec appears in type of $\dot{\Pi}$.

Inductive $U : \mathbf{Type} :=$

$\mathit{cnat} : U$

$\mathit{csum} : U \rightarrow U \rightarrow U$

$\mathit{cpi} : \forall (A:U) (\mathit{dec} A \rightarrow U) \rightarrow U$

with $\mathit{dec} : U \rightarrow \mathbf{Set} :=$

$\mathit{dec} \mathit{cnat} \Rightarrow \mathit{nat}$

$\mathit{dec} (\mathit{csum} A B) \Rightarrow \mathit{dec} A + \mathit{dec} B$

$\mathit{dec} (\mathit{cpi} A B) \Rightarrow \forall x : \mathit{dec} A, \mathit{dec} (B x)$

Encoding in Coq

```

Inductive UT : Set → Type :=
  UTnat : UT nat
| UTsum : ∀ A B, UT A → UT B → UT (A+B)
| UTpi : ∀ (A:Set) (B:A→ Set), UT A →
    (∀ x:A, UT (B x)) → UT (∀ x:A, B x).

Record U : Type := mkU {dec:Set; val:UT dec}.
Def cnat : U := mkU UTnat.
Def csum (x y: U) : U :=
  mkU (UTsum (val x) (val y)).
Def cpi (A : U) (B : dec A → U) : U :=
  mkU (UTpi (fun z ⇒ dec (B z))
    (val A) (fun z ⇒ val (B z)))).

```

$dec(cpi\ A\ B) \equiv \forall x : dec\ A, dec\ (B\ x)$

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Size annotation

- ▶ Guard condition for fixpoints is a global side condition
 - ▶ syntactic criteria
 - ▶ interact badly with reduction, tactics
 - ▶ not powerful enough for imbricated recursive definition
- ▶ Use instead a typing relation with special marks Mendler, Giménez, Barthe, Amadio, Altenkirch ...

$$\frac{0 : \text{nat}^{\hat{x}} \quad S : \text{nat}^x \Rightarrow \text{nat}^{\hat{x}} \quad n : \hat{x} \quad g : P0 \quad n : \text{nat}^x \vdash h : P(Sn)}{\text{match } n \text{ with } 0 \Rightarrow g \mid S n \Rightarrow h \text{ end} : P n}$$

$$\frac{f : \text{nat}^x \rightarrow \alpha \vdash t : \text{nat}^{\hat{x}} \rightarrow \alpha}{\text{fix } f \ x := t : \text{nat}^\infty \rightarrow \alpha}$$

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Rewriting in conversion

Consider extensions of lambda-calculus with new types and reduction rules.

- ▶ $n + 0 \longrightarrow n$ $0 + n \longrightarrow n$ $(n + m) + q \longrightarrow n + (m + q)$
- ▶ Algebraic extensions of simple lambda-calculus (Breazu-Tannen, Fernandez, Barbanera ...)
- ▶ General scheme (Blanqui, Jouannaud, Okada ...)
- ▶ RPO (Walukiewicz, Jouannaud, Rubio)

Many questions:

- ▶ Normalisation,
- ▶ Confluence,
- ▶ Consistency,
- ▶ Completeness,
- ▶ Efficiency of reduction

Summary

Inductive definitions

- ▶ useful notion in computer science
- ▶ strong constructive interpretation
- ▶ powerful notion
(models or encoding are useful to ensure consistency)
- ▶ interaction of programming and logic still problematic
 - ▶ termination
 - ▶ completeness of pattern-matching
 - ▶ interaction with proof-irrelevance
- ▶ better interfaces in proof assistants
 - ▶ termination criteria
 - ▶ induction principles

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