

# Preuves Interactives et Applications

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## Introduction to $\lambda$ -calculus

# Motivation: Why ITP ?

- Program verification:
  - SEL4 (Isabelle/HOL, NICTA), secured micro-kernel for OS
  - CompCert (Coq, Inria), optimizing C compiler
  - Security : modeling of JavaCard platforms
  - Mathematics : 4 color theorem, Kepler conjecture, Feit-Thompson conjecture. . .
  - Formal proofs in informatics
  - machine arithmetics (nombres flottants)
  - crypt algorithms, combinatory algorithms
  - program language semantics
  - Back-end for other provers (reverifying proof traces),
  - proof obligations in program verification
  - test-case generations
  - ... much stuff in Phd-thesis and the scientific literature ...

# Plan of this Course

- The „ $\lambda$ -calculus“
- $\alpha$ -conversion,  $\beta$ -reduction,  $\varepsilon$ -reduction
- What is „typed  $\lambda$ -calculus“
- Using typed  $\lambda$ -calculus to represent logical systems
- What is „natural deduction“ ?  
(from another perspective)

# Foundation: The $\lambda$ -calculus

- Developed in the 30ies by Alonzo Church (and his students Kleene and Rosser)
- ... to develop a representation of Whitehead's and Russel's „Principia Mathematica“
- ... was early on detected as Turing-complete and actually a “functional computation model” (Turing)



# The $\lambda$ -calculus

- The „Pure  $\lambda$ -calculus“ : a term language.  
 $\lambda$ -terms  $T$  are built (inductively) over:
  - $V$ , a set of “variable symbols”
  - $\lambda V. T$ , a term construction called “ $\lambda$ -abstraction” ,
  - $T T$  , a term construction called “ $\lambda$ -abstraction”
- A version adding a set of constant symbols is called „the applied  $\lambda$ -calculus“

# The $\lambda$ -calculus

This produces expressions like:

$$(\lambda x. \lambda y. (\lambda z. (\lambda x. z x) (\lambda y. z y))) (x y)$$

parenthesis can be dropped:

$((f x) y)$  is written just  $f x y$

$f(x)$  is written just  $f x$ .

# The $\lambda$ -calculus

The most important aspect of „variables“ are that they „stand for something“, i.e. they can be „substituted“ by something.

A key-motivation for the  $\lambda$ -calculus is that key-ideas of binding and scoping of variables (as occurring mathematics and programming languages) should be treated correctly.

$\lambda$ -abstractions build a scope: in  $\lambda x. x x$ ,  $x$  appears “bound”. If a variable occurrence is not bound, it is called “free”.

# Plan of this Course

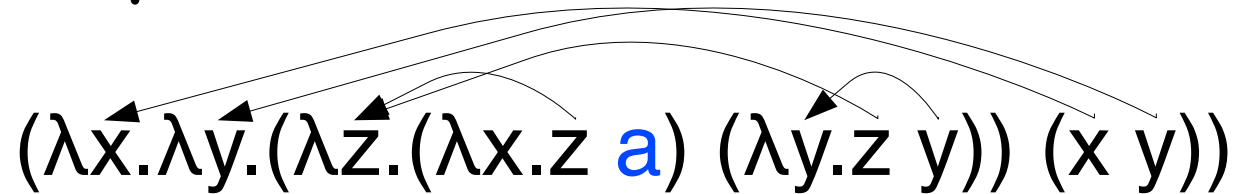
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# The $\lambda$ -calculus

Example:

$(\lambda x. \lambda y. (\lambda z. (\lambda x. z \ a) (\lambda y. z \ y))) (x \ y)$



The free variables can be computed recursively:

$\text{free}(x) = \{x\}$  for any  $x \in V$

$\text{free}(T \ T') = \text{free}(T) \cup \text{free}(T')$

$\text{free}(\lambda x. T) = \text{free}(T) \setminus \{x\}$

# Substitution and Conversions

Bound variables can be arbitrarily renamed, provided that this does not “capture” a free variable (make it bound). This is reflected by the notion of

$\alpha$ -conversion (written  $\leftrightarrow_{\alpha}$ ).

Example:

$(\lambda x. \lambda y. (\lambda z. (\lambda x. z a) (\lambda y. z y)) (x y)) \leftrightarrow_{\alpha}$

$(\lambda x. \lambda y. (\lambda z. (\lambda y. z a) (\lambda y. z y)) (x y))$  but not:

$(\lambda x. \lambda y. (\lambda z. (\lambda a. z a) (\lambda y. z y)) (x y))$

# Substitution and Conversions

Free-ness of variables and  $\leftrightarrow_a$  together give a notion of capture-free substitution.

- $x[x:=r] = r$
- $y[x:=r] = y$
- $(ts)[x:=r] = (t[x:=r])(s[x:=r])$
- $(\lambda x.t)[x:=r] = \lambda x.t$
- $(\lambda y.t)[x:=r] = \lambda y.(t[x:=r])$  if  $x \neq y$  and  $y$  is not in the free variables of  $r$ .  
The variable  $y$  is said to be "fresh" for  $r$ .

# Substitution and Conversions

Example:

- $(\lambda x.x)[y:=y] = \lambda x.(x[y:=y]) = \lambda x.x$
- $((\lambda x.y)x)[x:=y] = ((\lambda x.y)[x:=y])(x[x:=y]) = (\lambda x.y) y$

- Counterexample (ignoring freshness condition) :

$$(\lambda x.y)[y:=x] = \lambda x.(y[y:=x]) = \lambda x.x$$

so we would convert a constant function into an identity ...

# Substitution and Conversions

The “Motor” of the  $\lambda$ -calculus: the  $\beta$ -conversion (written  $\leftrightarrow_{\beta}$ ) or its one-directional version, the  $\beta$ -reduction (written  $\rightarrow_{\beta}$ ). It captures the notion of applying functions to their arguments:

- $(\lambda x.t) E \leftrightarrow_{\beta} t[x:=E]$
- $(\lambda x.t) E \rightarrow_{\beta} t[x:=E]$

# Substitution and Conversions

The  $\eta$ -conversion (written  $\leftrightarrow_{\eta}$ ) or its one-directional version, the  $\eta$ -reduction (written  $\rightarrow_{\eta}$ ) captures the notion of extensionality on functions:

- $(\lambda x.f x) \leftrightarrow_{\eta} f$  where  $x$  does not occur free in  $f$
- $(\lambda x.f x) \rightarrow_{\eta} f$  where  $x$  does not occur free in  $f$

All conversions/reductions are congruences, i.e. can be applied to any subterm.

# Substitution and Conversions

Example:

$\lambda g. (\lambda x. g (x x)) (\lambda x. g (x x))$  (which we will abbreviate  $Y$ )

Now consider:

$$\begin{aligned} & \mathbf{Y} f \\ \equiv & (\lambda h. (\lambda x. h (x x)) (\lambda x. h (x x))) f \\ \rightarrow_{\beta} & (\lambda x. f (x x)) (\lambda x. f (x x)) \\ \rightarrow_{\beta} & f ((\lambda x. f (x x)) (\lambda x. f (x x))) \\ \equiv & f (\mathbf{Y} f) \end{aligned}$$

A combinator with this property  $\mathbf{Y} f = f (\mathbf{Y} f)$  is called fixpoint combinator.

# Substitution and Conversions

Example:

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# Substitution and Conversions

Example:

$0 \equiv \lambda f.\lambda x. x$

$1 \equiv \lambda f.\lambda x. f x$

$2 \equiv \lambda f.\lambda x. f (f x)$

$3 \equiv \lambda f.\lambda x. f (f (f x))$

...

$SUCC \equiv \lambda n.\lambda f.\lambda x. f (n f x)$

$PLUS \equiv \lambda m.\lambda n.\lambda f.\lambda x. m f (n f x)$

Consider:

$PLUS\ 2\ 3 \xrightarrow{\beta^*} 5$

# Substitution and Conversions

## Example (Church Numerals):

$0 \equiv \lambda f. \lambda x. x$

$1 \equiv \lambda f. \lambda x. f x$

$2 \equiv \lambda f. \lambda x. f (f x)$

$3 \equiv \lambda f. \lambda x. f (f (f x))$

...

$SUCC \equiv \lambda n. \lambda f. \lambda x. f (n f x)$

$PLUS \equiv \lambda m. \lambda n. \lambda f. \lambda x. m f (n f x)$

$MULT \equiv \lambda m. \lambda n. \lambda f. m (n f)$

## Consider:

$PLUS\ 2\ 3 \xrightarrow{\beta^*} 5$

# Substitution and Conversions

## Example (Boolean Logics):

TRUE  $\equiv \lambda x.\lambda y.x$

FALSE  $\equiv \lambda x.\lambda y.y$

(Note that FALSE is equivalent to the Church numeral zero defined above)

AND  $\equiv \lambda p.\lambda q.p\ q\ p$

OR  $\equiv \lambda p.\lambda q.p\ p\ q$

NOT  $\equiv \lambda p.p\ \text{FALSE}\ \text{TRUE}$

IFTHENELSE  $\equiv \lambda p.\lambda a.\lambda b.p\ a\ b$

## Consider:

AND TRUE FALSE  $\xrightarrow{\beta^*}$  FALSE

# Substitution and Conversions

Example (Recursive Function):

$FAC \equiv \lambda fac. \lambda n. IFTHENELSE (ISZERO n)(1) (MULT n (fac(PRED n)))$   
 $Y \equiv \lambda f. (\lambda x. f(x x)) (\lambda x. f(x x))$

Consider:

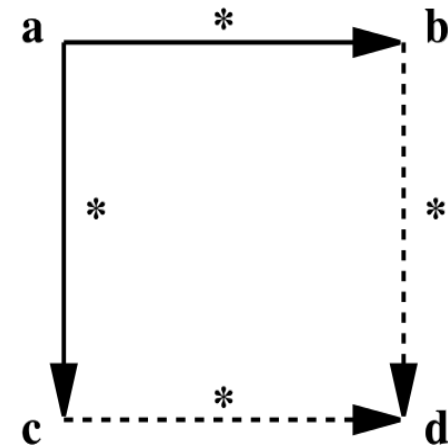
$(Y FAC) 4 \rightarrow_{\beta}^* 24$

# The untyped $\lambda$ -calculus

## Theoretical Properties (Pure/Applied)

- it is “a universal language” (i.e. it has the same computational power than, say, Turing Machines)
- there may be calculations that „diverge” (loop)
- it is Church-Rosser:

(for  $*$  be  $\beta$  reductions,  
 $\alpha\eta$ -conversions)



- the equality on  $\lambda$ -terms is undecidable.
- the difference between “Pure” and “Applied” irrelevant

# Plan of this Course

- The „ $\lambda$ -calculus“
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- **What is „typed  $\lambda$ -calculus“**
- Using typed  $\lambda$ -calculus to represent logical systems
- What is „natural deduction“ ?  
(from another perspective)

# The typed $\lambda$ -calculus

Motivation:

- a term - language for representing maths (with integrals, limits and stuff - thus: variables and binding.) in a logic [seminal paper by Church in 1940]
- no divergence admissible [what would a „divergent term“ mean in a logic ?]
- equality on terms decidable
- turned out to be easy to implement.

# The typed $\lambda$ -calculus

Idea:

- we use an applied  $\lambda$ -calculus  
(and constant symbols will be subtly different from variables in the typed  $\lambda$ )
- we introduce the syntactic category of **types**
- we require all „legal“ terms to be typed, i.e. an association of a term to a type according to typing rules must be possible.



# The typed $\lambda$ -calculus

## Types (1):

- We assume a set of type constructors  $\chi$  with symbols like `bool`, `nat`, `int`, `_list`, `_set`, `_ $\Rightarrow$ _`, ...
- For type constructors (and constant symbols), we will allow infix/circumfix notation:

we will write:

<code>nat list</code>	for	<code>(list_)(nat)</code>
<code>bool <math>\Rightarrow</math> nat</code>	for	<code>(_<math>\Rightarrow</math>_)(bool, nat)</code>
<code>...</code>		

# The typed $\lambda$ -calculus

Types (1):

- The set of types  $\tau$  is inductively defined:

$$\tau ::= TV \mid \chi(\tau_1, \dots, \tau_n)$$

where  $TV$  is a set of type variables  $\alpha, \beta, \gamma$ .

Note: For  $\text{nat}()$  we just write  $\text{nat}$ .

# The typed $\lambda$ -calculus

Types (2):

- A C-environment which assigns each constant symbol a type:

$$\Sigma :: C \mapsto \tau$$

- A V-environment which assigns to each variable symbol a type:

$$\Gamma :: V \mapsto \tau$$

(we write  $a \mapsto \tau_1$ ,  $b \mapsto \tau_2$ ,  $c \mapsto \tau_3$  ...)

# The typed $\lambda$ -calculus

Types (3):

- A Type Judgement stating that a term  $t$  has type  $\tau$  in environments  $\Sigma$  and  $\Gamma$  :

$$\Sigma, \Gamma \vdash t :: \tau$$

- ... and a set of type inference rules establishing type judgements.

# The typed $\lambda$ -calculus

- Type Inferences:

$$\frac{}{\Sigma, \Gamma \vdash c_i :: \theta \ (\Sigma \ c_i)}$$

$$\frac{}{\Sigma, \Gamma \vdash x_i :: \Gamma \ x_i}$$

$$\frac{\Sigma, \Gamma \vdash E :: \tau \Rightarrow \tau' \quad \Sigma, \Gamma \vdash E' :: \tau}{\Sigma, \Gamma \vdash E \ E' :: \tau'}$$

$$\frac{\Sigma, \{x_i \mapsto \tau\} \uplus \Gamma \vdash E :: \tau'}{\Sigma, \Gamma \vdash \lambda x_i. E :: \tau \Rightarrow \tau'}$$

# The typed $\lambda$ -calculus

- Note that constant symbols where treated slightly different than variable symbols:

constant symbols may be instantiated (the type variables may be substituted via  $\theta$  )

a constant symbol may therefore have different types in a term.

# Typed $\lambda$ -calculus

- We assume  $\Sigma =$

$\{“\_+\_” \mapsto \text{nat} \rightarrow \text{nat} \rightarrow \text{nat}, “0” \mapsto \text{nat}, “1” \mapsto \text{nat}, “2” \mapsto \text{nat}, “3” \mapsto \text{nat},$

$“\text{Suc } \_” \mapsto \text{nat} \rightarrow \text{nat},$

$“\_ = \_” \mapsto \alpha \rightarrow \alpha \rightarrow \text{bool}, “\text{True}” \mapsto \text{bool}), “\text{False}” \mapsto \text{bool}\}$

# Typed $\lambda$ -calculus

- **Example:** does  $\lambda x. x + 3$  have a type, and which one ?

$$\frac{\frac{\frac{}{\Sigma, \{x \mapsto \text{nat}\} \vdash (- + -) :: \text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}}{\Sigma, \{x \mapsto \text{nat}\} \vdash (- + -)(x) :: \text{nat} \Rightarrow \text{nat}} \quad \frac{}{\Sigma, \{x \mapsto \text{nat}\} \vdash x :: \text{nat}}}{\Sigma, \{x \mapsto \text{nat}\} \uplus \{\} \vdash x + 3 :: \text{nat}}}{\Sigma, \{\} \vdash \lambda x. x + 3 :: \text{nat} \Rightarrow \text{nat}}$$



# Revisions: Typed $\lambda$ -calculus

- Examples: Are there variable environments  $\rho$  such that the following terms are typable in  $\Sigma$ : (note that we use infix notation: we write “ $0 + x$ ” instead of “ $_{+} 0 x$ ”)
  - $(_{+} 0) = (\text{Suc } x)$
  - $((x + y) = (y + x)) = \text{False}$
  - $f(_{+} 0) = (\lambda c. g \ c) \ x$
  - $_{+} z (_{+} (\text{Suc } 0)) = (0 + f \ \text{False})$
  - $a + b = (\text{True} = c)$

# Revisions: $\beta$ -reduction

- Assume that we want to find typed solutions for  $?X, ?Y, ?Z$  such that the following terms become equivalent modulo  $\alpha$ -conversion and  $\beta$ -reduction:
  - $?X \ a \quad \quad \quad =?= \quad a + ?Y$
  - $(\lambda c. g \ c) \quad \quad \quad =?= \quad (\lambda x. ?Y \ x)$
  - $(\lambda c. ?X \ c) \ a \quad \quad \quad =?= \quad ?Y$
  - $\lambda a. (\lambda c. X \ c) \ a \quad \quad \quad =?= \quad (\lambda x. ?Y)$
- Note: Variables like  $?X, ?Y, ?Z$  are called schematic variables; they play a major role in Isabelles Rule-Instantiation Mechanism
- Are the solutions for schematic variables always unique ?

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# Deduction

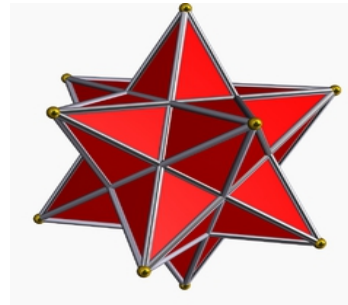
- Logic Whirl-Pool of the 20ies (Girard)  
as response to foundational problems  
in Mathematics
  - growing uneasiness over the question:

What is a proof ?

Are there limits of provability ?

# Deduction

- Historical context in the 20ies:
  - 1500 false proofs of  
„all parallels do not intersect in infinity“
  - lots of proofs and refutations of  
„all polyhedrons are eularian“ (Lakatosz)



$$E = F + K - 2 \quad ???$$

- Frege`s axiomatic set theory proven inconsistent by Russel
- Science vs. Marxism debate (Popper)

# Deduction

- Historical context in the 20ies:
  - this seemed quite far away from  
Leipnitz vision of  
  
„Calcuemus !“ (We don't agree ?  
Let's calculate ...)
  - of what constitutes, well,  
  
**Science** ...

# Deduction

- Historical context in the 20ies:
  - attempts to formalize the intuition of „deduction“ by Frege, Hilbert, Russel, Lukasiewics, ...
  - 2 Calculi presented by Gerhard Gentzen in 1934.
    - „natürliches Schliessen“ (natural deduction):
    - „Sequenzkalkül“ (sequent calculus)

$$\begin{array}{c} [P] \\ \vdots \\ Q \\ \hline R \end{array}$$

$$\frac{\Gamma \vdash A \vee B \quad \Gamma \cup \{A\} \vdash C \quad \Gamma \cup \{B\} \vdash C}{\Gamma \vdash C}$$

# Deduction

- An Inference System (or Logical Calculus) allows to infer formulas from a set of elementary **judgements** (axioms) and inferred **judgements** by rules:

$$\frac{A_1 \quad \dots \quad A_n}{A_{n+1}}$$

“from the **assumptions**  $A_1$  to  $A_n$ , you can infer the conclusion  $A_{n+1}$ .” A rule with  $n=0$  is an elementary fact. Variables occurring in the formulas  $A_n$  can be arbitrarily substituted.



# Deduction

- **judgements** discussed in this course (or elsewhere):

$t : \tau$  “term  $t$  has type  $\tau$ ”

$\Gamma \vdash \varphi$  “formula  $\varphi$  is valid under assumptions  $\Gamma$ ”

$\vdash \{P\} x := x+1 \{Q\}$  “Hoare Triple”

$\varphi$  prop “ $\varphi$  is a property”

$\varphi$  valid “ $\varphi$  is a valid (true) property”

$x$  mortal  $\implies$  sokrates mortal --- judgements with free variable

etc ...

# Natural Deduction

- An Inference System for the equality operator (or “HO Equational Logic”) looks like this:

$$\frac{}{(s = s)prop} \quad \frac{(s = t)prop}{(t = s)prop} \quad \frac{(r = s)prop \quad (s = t)prop}{(r = t)prop}$$

$$\frac{(s(x) = t(x))prop}{(s = t)prop} \text{ where } x \text{ is fresh} \quad \frac{(s = t)prop \quad (P(s))prop}{(P(t))prop}$$

(where the first rule is an elementary fact).

# Natural Deduction

- the same thing presented a bit more neatly (without prop):

$$\frac{}{x = x} \qquad \frac{s = t}{t = s} \qquad \frac{r = s \quad s = t}{r = t}$$

$$\frac{\bigwedge x. s x = t x}{s = t}$$

$$\frac{s = t \quad P s}{P t}$$

(equality on functions as above (“extensional equality”) is an HO principle, and it is a classical principle).

# Representing logical systems in the typed $\lambda$ -calculus

- It is straight-forward to use the typed  $\lambda$ -terms as a syntactic means to represent logics; including binding issues related to quantifiers like  $\forall, \exists, \dots$

- Example: The Isabelle language „Pure“:

It consists of typed  $\lambda$ -terms with constants:

- foundational types “prop” and “\_ => \_” (“\_  $\Rightarrow$  \_”)
- the Pure (universal) quantifier

all :: “( $\alpha \rightarrow$  Prop)  $\rightarrow$  Prop”

(“ $\bigwedge x. P x$ ”, “ $\bigwedge x. P x$ ” “ $\exists x. P x$ ”)

- the Pure implication “A ==> B” (“\_  $\implies$  \_”)

- the Pure equality “A == B” “A  $\equiv$  B”

# „Pure“: A (Meta)-Language for Deductive Systems

- Pure is a language to write logical rules.
- Wrt. Isabelle, it is the **meta-language**, i.e. the built-in formula language.
- Equivalent notations for natural deduction rules:

$$A_1 \Longrightarrow (\dots \Longrightarrow (A_n \Longrightarrow A_{n+1}) \dots),$$

$$\llbracket A_1; \dots; A_n \rrbracket \Longrightarrow A_{n+1},$$

$$\frac{A_1 \quad \dots \quad A_n}{A_{n+1}}$$

theorem  
 assumes  $A_1$   
 and ...  
 and  $A_n$   
 shows  $A_{n+1}$

# „Pure“: A (Meta)-Language for Deductive Systems

- Some more complex rules involving the concept of “Discharge” of (formerly hypothetical) assumptions:

$(P \implies Q) \implies R :$

theorem

assumes " $P \implies Q$ "

shows " $R$ "

$$\begin{array}{c} [P] \\ \vdots \\ Q \\ \hline R \end{array}$$

# Propositional Logic as ND calculus

- Some (almost) basic rules in HOL

$$\frac{Q}{\neg\neg Q}$$

$$\frac{\neg\neg Q}{Q} \text{notnotE}$$

$$\frac{\begin{array}{c} [A] \\ \vdots \\ B \end{array}}{A \rightarrow B} \text{impI}$$

$$\frac{A \rightarrow B \quad A}{B} \text{mp}$$

$$\frac{A}{A \vee B} \text{disjI1}$$

$$\frac{B}{A \vee B} \text{disjI2}$$

$$\frac{A \vee B \quad \begin{array}{c} [A] \\ \vdots \\ Q \end{array} \quad \begin{array}{c} [B] \\ \vdots \\ Q \end{array}}{Q} \text{disjE}$$

# Propositional Logic as ND calculus

- Some (almost) basic rules in HOL

$$\frac{A \wedge B}{Q} \quad \frac{\begin{array}{c} [A, B] \\ \vdots \\ Q \end{array}}{A \wedge B} \text{conjE} \quad \frac{A \quad B}{A \wedge B} \text{conjI}$$



# Key Concepts: Rule-Instances

- A Rule-Instance is a rule where the free variables in its judgements were substituted by a common substitution  $\sigma$ :

$$\frac{A \quad B}{A \wedge B} \text{conjI} \xrightarrow{\sigma} \frac{3 < x \quad x \leq y}{3 < x \wedge x \leq y}$$

where  $\sigma$  is  $\{A \mapsto 3 < x, B \mapsto x \leq y\}$ .

# Key Concepts: Formal Proofs

- A series of inference rule instances is usually displayed as a Proof Tree (or : **Derivation** or: **Formal Proof**)

$$\begin{array}{c}
 \text{sym} \frac{f(a, b) = a}{a = f(a, b)} \quad \frac{f(a, b) = a \quad f(f(a, b), b) = c}{f(a, b) = c} \text{ subst} \\
 \hline
 \frac{a = f(a, b) \quad f(a, b) = c}{a = c} \text{ trans} \quad \frac{}{g(a) = g(a)} \text{ refl} \\
 \hline
 \text{subst} \frac{a = c \quad g(a) = g(a)}{g(a) = g(c)}
 \end{array}$$

- The hypothetical facts at the leaves are called the **assumptions** of the proof (here  $f(a, b) = a$  and  $f(f(a, b), b) = c$ ).

# Key Concepts: Discharge

- A key requisite of ND is the concept of **discharge** of assumptions allowed by some rules (like impI)

$$\frac{\begin{array}{c} [A] \\ \vdots \\ B \end{array}}{A \rightarrow B}$$

$$\text{sym} \frac{[f(a, b) = a]}{a = f(a, b)} \quad \text{subst} \frac{[f(a, b) = a] \quad f(f(a, b), b) = c}{f(a, b) = c} \quad \text{trans} \frac{a = c}{a = c} \quad \text{refl} \frac{}{g(a) = g(a)}$$


---


$$\text{subst} \frac{g(a) = g(c)}{f(a, b) = a \rightarrow g(a) = g(c)}$$

- The set of assumptions is diminished by the **discharged** hypothetical facts of the proof (remaining:  $f(f(a, b), b) = c$ ).

# Key Concepts: Global Assumptions

- The set of (proof-global) assumptions gives rise to the notation:

$$\{f(a, b) = a, f(f(a, b), b) = c\} \vdash g(a) = g(c)$$

written:

$$A \vdash \phi$$

or when emphasising the global theory  
(also called: global context):

$$A \vdash_E \phi$$

# Sequent-style calculus

- Gentzen introduced an alternative “style” to natural deduction: Sequent style rules.
  - Idea: using the tuples  $A \vdash \phi$  as basic judgments of the rules.

$$\frac{\Gamma, A \vdash B}{\Gamma \vdash A \rightarrow B}$$

$$\frac{\Gamma \vdash A \rightarrow B \quad \Gamma \vdash A}{\Gamma \vdash B}$$

# Sequent-style calculus

□ in contrast to:

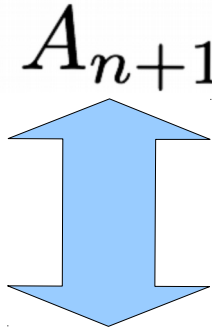
$$\frac{\begin{array}{c} [A] \\ \vdots \\ B \end{array}}{A \rightarrow B}$$

$$\frac{A \rightarrow B \quad A}{B}$$

# Sequent-style vs. ND calculus

- Both styles are linked by two transformations called “lifting over assumptions” Lifting over assumptions transforms:

where we consider for the moment  $\vdash$  just equivalent to meta implication  $\Rightarrow$

$$\frac{A_1 \quad \dots \quad A_n}{\Gamma \vdash A_1 \quad \dots \quad \Gamma \vdash A_n} \xRightarrow{A_{n+1}} \frac{\Gamma \vdash A_1 \quad \dots \quad \Gamma \vdash A_n}{\Gamma \vdash A_{n+1}}$$


# Quantifiers

- When reasoning over logics with quantifiers (such as FOL, set-theory, TLA, ..., and of course: HOL), the additional concept of “parameters” of a rule is necessary. We assume that there is an infinite set of variables and that it is always possible to find a “fresh” unused one ...

– Consider:

$$\frac{\forall x.P(x)}{P(t)} \text{ for any term } t$$

$$\frac{P(u)}{\forall x.P(x)} \text{ for any fresh variable } u$$

$$\frac{\forall x.P(x) \quad \begin{array}{c} [P(y)]_y \\ \vdots \\ Q \end{array}}{Q} \quad \frac{\begin{array}{c} [P(n)]_n \\ \vdots \\ P(0) \quad P(\text{Suc } n) \end{array}}{\forall x.P(x)}$$



# Quantifiers

- For all I, Isabelle allows certain free variables  $?X$ ,  $?Y$ ,  $?Z$  that represent „wholes“ in a term that can be filled in later by substitution; Coq requires the instantiation when applying the rule.
- Isabelle uses a built-in (“meta”)-quantifier  $\Lambda x. P$   $x$  already seen on page 13; Coq uses internally a similar concept not explicitly revealed to the user.

# Introduction to Isabelle/HOL

# Basic HOL Syntax

- HOL (= Higher-Order Logic) goes back to Alonzo Church who invented this in the 30ies ...
- “Classical” Logic over the  $\lambda$ -calculus with Curry-style typing (in contrast to Coq)
- Logical type: “bool” injects to “prop”. i.e

Trueprop :: “bool  $\Rightarrow$  prop”

is wrapped around any HOL-Term without being printed:

Trueprop A  $\Longrightarrow$  Trueprop B is printed: A  $\Longrightarrow$  B but A::bool!

# Basic HOL Syntax

- Logical connective syntax (Unicode + ASCII):

input:                      print:                      alt-ascii input

– “_ \<and> _”	“_ ^ _”	“_ & _”
– “_ \<or> _”	“_ v _”	“_   _”
– “_ \<longrightarrow> _”	“_ → _”	“_ --> _”
– “_ \<not> _”	“_ ¬ _”	“_ ~ _”
– “\<forall> x. P”	“∀x. P”	“! x. P x”
– “\<exists> x. P”	“∃x. P”	“? x. P x”

# Basic HOL Rules

- HOL is an equational logic, i.e. a system with the constant "`_ = _ :: 'a 'a bool`" and the rules:

$$\frac{}{x = x} \text{ refl} \qquad \frac{s = t}{t = s} \text{ sym} \qquad \frac{r = s \quad s = t}{r = t} \text{ trans}$$

$$\frac{\wedge x. s \ x = t \ x}{s = t} \text{ ext} \qquad \frac{s = t \quad P \ s}{P \ t} \text{ subst}$$

# Basic HOL Rules

- HOL is an equational logic, i.e. a system with the constant " $\_ = \_ :: 'a \ 'a \ \text{bool}$ " and the rules:

$$\begin{array}{c}
 \frac{}{x = x} \text{ refl} \qquad \frac{s = t}{t = s} \text{ sym} \qquad \frac{r = s \quad s = t}{r = t} \text{ trans} \\
 \\
 \frac{\wedge x. s \ x = t \ x}{s = t} \text{ ext} \qquad \frac{s = t \quad P \ s}{P \ t} \text{ subst}
 \end{array}$$

which rule makes HOL „higher-order“ ???

# Basic HOL Rules

- Some (almost) basic rules in HOL

$$\frac{A \wedge B}{Q} \quad \frac{\begin{array}{c} [A, B] \\ \vdots \\ Q \end{array}}{Q} \text{conjE} \quad \frac{A \quad B}{A \wedge B} \text{conjI}$$

# HOL Rules

- The quantifier rules of HOL:

$$\frac{\wedge x. P x}{\forall x. P x}$$

$$\forall x. P x$$

$$\frac{\wedge x. P x}{\forall x. P x}$$

$$\forall x. P x$$

$$[P ?t]$$

$$\vdots$$

$$Q$$

allE  
(safe, but  
incomplete)

again: what makes these HOL „higher-order“ ???



# HOL Rules

- The quantifier rules of HOL:

$$\frac{\begin{array}{c} [P \ ?t; \forall x.P \ x] \\ \vdots \\ \vdots \\ \forall x.P \ x \end{array}}{\quad Q}$$

alldupE  
(unsafe, but  
complete)

# HOL Rules

- The quantifier rules of HOL:

$$\frac{\begin{array}{c} [P \ ?t; \forall x.P \ x] \\ \vdots \\ \vdots \\ \forall x.P \ x \quad Q \end{array}}{Q}$$

alldupE  
(unsafe, but  
complete)

# HOL Rules

- The quantifier rules of HOL:

$$\frac{P \ ?t}{\exists x.P \ x} \text{exI}$$

$$\frac{\exists x.P(x) \quad \begin{array}{c} [P(x)]_x \\ \vdots \\ Q \end{array}}{Q} \text{exE}$$

# HOL Rules

- From these rules (which were defined actually slightly differently), a large body of other rules can be DERIVED (formally proven, and introduced as new rule in the proof environment).

Examples: see exercises.

# Typed Set-theory in HOL

- The HOL Logic comes immediately with a typed set - theory: The type

$\alpha \text{ set} \cong \alpha \Rightarrow \text{bool}$ , that's it !

can be defined isomorphically to its type of characteristic functions !

- **THIS GIVES RISE TO A RICH SET THEORY DEVELOPPED IN THE LIBRARY (Set.thy).**

# Typed Set Theory: Syntax

- Logical connective syntax (Unicode + ASCII):

input:

“  $\_ \backslash\langle\text{in}\rangle \_$  ”

“  $\{ \_ . \_ \}$  ”

“  $\_ \backslash\langle\text{union}\rangle \_$  ”

“  $\_ \backslash\langle\text{inter}\rangle \_$  ”

“  $\_ \backslash\langle\text{subseteq}\rangle \_$  ”

print:

“  $\_ \in \_$  ”

$\{x. \text{True} \wedge x = x\}$

“  $\_ \cup \_$  ”

“  $\_ \cap \_$  ”

“  $\_ \subseteq \_$  ”

alt-ascii input

“  $\_ : \_$  ”

*for example*

“  $\_ \text{Un} \_$  ”

“  $\_ \text{Int} \_$  ”

“  $\_ \leq \_$  ”

# Conclusion

- Typed  $\lambda$ -calculus is a rich term language for the representation of logics, logical rules, and logical derivations (proofs)
- On the basis of typed  $\lambda$ -calculus, Higher-order logic (HOL) is fairly easy to represent
- ... the differences to first-order logic (FOL) are actually **tiny**.