

# Computer Supported Modeling and Reasoning

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# Isabelle's Metalogic and Proof Objects

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- its version of a typed  $\lambda$ -calculus
- its elementary logic called Pure
- a deeper understanding of *rule*/rtac etc,
- proof objects
- consequences

# An Extension of the Typed $\lambda$ -Calculus

Universal representation for object logics in Isabelle: A Typed  $\lambda$ -calculus **extended** by (parametric) polymorphism and type classes.

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Historically, polymorphism in logics — although already used in the principia mathematica on the meta-level — is a **fairly recent discovery** (around 1975, first implementation: Edinburgh LCF). The consequences for Conservative Definitions have been sorted out in the early 80ies.

## Polymorphism: Intuition

As in functional programming, the function  $_ = _$  should be available on **any** type. This can be expressed by giving  $_ = _$  the type  $[\alpha, \alpha] \Rightarrow \text{bool}$  with  $\alpha$  an explicit type variable as part of the type expression language.

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Adding **type classes** (“sorts of types”) helps to separate universes of types from each other.  $[\alpha :: \text{term}, \alpha] \Rightarrow \text{bool}$ , for example, can be used to express that  $\alpha$  may range over all types with individuals, but not predicates (i.e.  $\text{bool}$  as in FOL).

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We present a simplification of [NP95]. More formally, we have:

# Syntax: Classes, Types, and Terms

Type classes (exemplary)

$$\kappa ::= \textit{ord} \mid \textit{order} \mid \textit{lattice} \mid \dots$$

Type constructors (exemplary)

$$\chi ::= \textit{bool} \mid \_ \rightarrow \_ \mid \textit{ind} \mid \_ \textit{list} \mid \_ \textit{set} \dots$$

Polymorphic types

$$\tau ::= \alpha :: \{\kappa, \dots, \kappa\} \mid (\tau, \dots, \tau)\chi \quad (\alpha \text{ is type variable})$$

Raw terms (as before)

$$e ::= x \mid ?x \mid c \mid (ee) \mid (\lambda x^\tau. e)$$

# ClaPolymorphic Type Inferences (1)

Prerequisites:

- a partial order  $\leq$  on classes,
- . . . implying an equivalence on type class sets,
- a constant environment  $\Sigma$ , a variable environment  $\Gamma$  and a type environment  $\xi$  assigning to type variables (finite) sets of type classes,
- a type instance relation  $\Delta$  assigning  $(\kappa..\kappa)\chi$  to  $\kappa$
- **Type instances** (denoted  $\Theta$ ) extend type environments to substitutions of types in terms,
- and two judgements  $\Sigma, \xi \vdash \tau : \{\kappa..\kappa\}$  and  $\Sigma, \Gamma, \xi \vdash e : \tau$

# Polymorphic Type Inferences (2)

$$\frac{c : \tau \in \Sigma \quad \{\alpha_1 : S_1 \dots \alpha_n : S_n\} \in \text{tvc}(\tau) \quad (\Sigma, \xi \vdash \tau_i : S_i)_i}{\Gamma \vdash c : \tau[\alpha_1 := \tau_1, \dots, \alpha_n := \tau_n]} \text{ CONST}$$

$$\frac{}{\Sigma, \Gamma \vdash x : \Gamma(x)} \text{ ASM} \qquad \frac{}{\Sigma, \Gamma \vdash ?x : \Gamma(?x)} \text{ ASM}$$

$$\frac{\Sigma, \Gamma \vdash e : \sigma \rightarrow \tau \quad \Sigma, \Gamma \vdash e' : \sigma}{\Sigma, \Gamma \vdash ee' : \tau} \text{ APP} \qquad \frac{\Sigma, \Gamma[x : \sigma] \vdash e : \tau}{\Sigma, \Gamma \vdash \lambda x^\sigma. e : \sigma \rightarrow \tau} \text{ ABS}$$

$\text{tvc}$  computes an assignment of all type variables occurring in  $\tau$  to the set of all constraints associated to it in  $\tau$ .

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$$\frac{(\Sigma, \xi \vdash \tau : \kappa_i)_{i \in \{1\dots n\}} \quad \Sigma, \xi \vdash \tau : \{\kappa_1, \dots, \kappa_n\} \quad i \in \{1\dots n\}}{\Sigma, \xi \vdash \tau : \{\kappa_1, \dots, \kappa_n\} \quad \Sigma, \xi \vdash \tau : \kappa_i}$$

$$\frac{\xi(\alpha) = S \quad (\kappa_1, \dots, \kappa_n)\chi \mapsto \kappa \in \Delta \quad (\Sigma, \xi \vdash \tau_i : \kappa_i)_{i \in \{1\dots n\}}}{\Sigma, \xi \vdash (\tau_1, \dots, \tau_n)\chi : \kappa}$$

$$\frac{\Sigma, \xi \vdash \tau : \kappa_1 \quad \kappa_1 \leq \kappa_2}{\Sigma, \xi \vdash \tau : \kappa_2}$$

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Note that there are constraints for  $\Delta$  which are omitted here (see [NP95] for details).

# The Logic Pure

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- Using a metalogic Pure has two benefits:
  - shared implementations for the logic independent aspects, and
  - potential for “generic” proof procedures built on top of it.

Built on top of the syntactic language of the extended type class  $\lambda$ -calculus, Isabelle’s meta-language Pure is implemented.

At least one type classes are assumed:  $logic \in \kappa$ . Moreover, at least two type constructors are assumed:  $prop, - \Rightarrow - \in \chi$ .

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- $\_ \Rightarrow \_ : \text{prop} \rightarrow \text{prop} \rightarrow \text{prop} \in \Sigma$ ,
- $\_ \equiv \_ : \alpha \rightarrow \alpha \rightarrow \text{prop} \in \Sigma$ , and
- $\wedge \_ : (\alpha \rightarrow \text{prop}) \rightarrow \text{prop} \in \Sigma$ .

The  $\_$ -notation is used to indicate infixes.

Terms of type *bool* as in HOL, for example, were represented by a special constant `Trueprop` :: *bool*  $\Rightarrow$  *prop*. `Trueprop`  $\phi$  corresponds to the `pr`-operator in the “Propositional Logic in LF” encoding or the textbook notation “ $\vdash \phi$ ”.

(`Trueprop` is usually suppressed syntactically.)

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each **thm** may depend on **meta-level assumptions**:

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- each **thm** has a signature  $(\Sigma, \chi, \kappa, \Delta)$ .

# Asumption and Rules for $\Rightarrow$

Manipulating meta-level assumptions:

$$\frac{}{\phi[\phi]} \text{ assume} \quad \frac{[\phi] \quad \vdots \quad \psi}{\phi \Rightarrow \psi} \Rightarrow\text{-I} \quad \frac{\phi \Rightarrow \psi \quad \phi}{\psi} \Rightarrow\text{-E}$$

Note that  $\Rightarrow\text{-I}$  is now understood fully operationally:  $\phi$  is erased from the meta-level assumption list of the premise of  $\Rightarrow\text{-I}$ .

# Rules for $\equiv$ : Equivalence Relation

Rules:

$$\frac{\phi \Rightarrow \psi \quad \psi \Rightarrow \phi}{\phi \equiv \psi} \equiv-I$$

$$\frac{\phi \equiv \psi \quad \phi}{\psi} \equiv-E$$

$$\frac{}{a \equiv a} \equiv\text{-refl}$$

$$\frac{a \equiv b}{b \equiv a} \equiv\text{-symm}$$

$$\frac{a \equiv b \quad b \equiv c}{a \equiv c} \equiv\text{-trans}$$

# Rules for $\equiv$ : $\lambda$ (i.e., $\alpha, \beta, \eta$ ) Conversions

Compare to  $=_{\alpha, \beta, \eta}$ .

$$\frac{}{(\lambda x.a) \equiv (\lambda y.a[x \leftarrow y])} \quad \alpha^*$$

$$\frac{}{(\lambda x.a)b \equiv (a[x \leftarrow b])} \quad \beta$$

$$\frac{f \equiv g}{f \ x \equiv g \ x} \quad \eta^{**}$$

Side condition \*:  $y$  is not free in  $a$ .

Side condition \*\*:  $x$  is not free in  $f, g$  and the meta-level assumptions.

Conversion is built into the proof system, and Isabelle

routinely computes terms in  $\alpha, \beta, \eta$ -normal-forms.

Note: These side conditions are directly implemented in the

SML code; in a way, this implements similar  
side-conditions of object-logics **once and for all**.

# Rules for $\equiv$ : Abstraction, Combination

Rules

$$\frac{a \equiv b}{(\lambda x.a) \equiv (\lambda x.b)} \equiv\text{-}abstr^*$$

$$\frac{f \equiv g \quad a \equiv b}{f a \equiv g b} \equiv\text{-}comb$$

Side condition \*:  $x$  is not free in the meta-level assumptions.

# Manipulating Meta-Variables

Rules:

$$\frac{\phi}{\phi[?x_1 := t_1, \dots, ?x_n := t_n]} \quad \text{instantiate}$$

instantiate can in fact also handle instantiations of type-meta variables, which we ignore throughout this presentation.

A somewhat exotic axiom scheme — traditionally treated as outside the core of Pure — introduces axiomatic type class invariants into the core logic:

$$\frac{}{\text{OFCLASS}(\alpha :: c, c\_class)} \quad \text{class\_triv}$$

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Meta-quantification is formalized in higher-order abstract syntax: we write  $\wedge x.\phi$  for  $\wedge x.(\lambda x.\phi)$ .

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Side condition \*:  $x$  is not free in meta-level assumptions.  $x$  may be a free variable or a meta-variable.

Note that combinations of  $\wedge\text{-I}^*$  and  $\wedge\text{-E}$  may therefore achieve the effect of replacing free variables by meta-variables.

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Pure is an **intuitionistic** fragment of HOL

- . . . what would be the consequences otherwise ?
- processes done by `rtac` / *rule* like:
  - lifting over assumptions
  - lifting over parametersare “rule schemes” implemented as tactical programs over Pure

# Proof Objects

Although LCF-style systems were originally designed to **avoid** the construction of explicit proof-objects (as seen in *LF*), Isabelle has meanwhile a mechanism to “log” them during proof.

This has the following consequences:

- external proof-procedures can be used and **recorded**,
- proof-objects from extern provers may be **imported**,
- proof-objects of Isabelle can be checked **externally**.

# How to generate Proof-Objects? (1)

```
theory ProofTest = Main:
```

```
ML{* proofs := 2 *}
```

```
lemma a1 : " a → a" by(auto)
```

```
ML{* ProofSyntax.print_proof_of false (thm "a1"); *}
```

```
lemma a2 : " a → b → a" by(auto)
```

```
ML{* ProofSyntax.print_proof_of true (thm "a2"); *}
```

# How to generate Proof-Objects? (2)

?)))) • >

True

# How to generate Proof-Objects? (3)

```
equal_elim · _ · _ · >
  (symmetric · _ · _ · >
    (combination · _ · _ · _ · _ · _ · >
      (combination · op≡ · _ · _ · _ · >
        (reflexive · _)) · >
        (Eq_TrueL · _ · > H)) · >
        (reflexive · _))) · >
        (reflexive · _))) · >
      ?)) · >
      (reflexive · _))) · >
      (reflexive · _))) · >
    ?))) · >
```

TrueL

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`ProofChecker.thm_of_proof thy prf`

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It consists of 100 lines of code (although reusing ca. 1000 lines of kernel code).

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- The logic Pure and its proof system are **small**,
- Even resolution, and d-resolution are not built-in; they are tactics over Pure,
- Isabelle can log proofs in **proof objects**,
- If you don't trust Isabelle, check proof-objects !!!

# More Detailed Explanations

# The names of $\Rightarrow$ , $\equiv$ , and $\wedge$

- $\Rightarrow$  is called **meta-implication**,
- $\equiv$  is called **meta-equality**, and
- $\wedge$  is called **meta-universal-quantification**.

# References

- [NP95] Tobias Nipkow and Christian Prehofer. Type reconstruction for type classes. *Journal of Functional Programming*, 5(2):201–224, 1995.