

Computer Supported Modeling and Reasoning

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HOL: Basic Library

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Mathematics in the Isabelle/HOL Library: Introduction

Isabelle/HOL at Work

We have seen how the mechanism of conservative extensions works in principle.

For several lectures, we will now look at theories of the Isabelle/HOL library, all built by conservative extensions and modelling significant portions of mathematics.

Sets: The Basis of Principia Mathematica

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- 20th century: sets can be represented in logics (Zermelo/Fränkel, Russel/Whitehead [WR25], Gödel/Bernays, . . .)

As a collection of formalized theories, we call this the **Principia Mathematica Structure** [WR25].

Interesting: The libraries of modern theorem provers follow this structure . . .

The Roadmap

- Orders
- Sets
- Functions
- (Least) fixpoints and induction
- (Well-founded) recursion
- Arithmetic
- Datatypes

Orders

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Three Order Classes

We first define a **syntactic class** `ord`. It is the class of types for which symbols $<$ and \leq exist.

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We then define two **axiomatic classes** `order` and `linorder` for which $<$ and \leq are required to have certain properties, that of being a **partial order**, or a **linear order**, resp.

Orders (in HOL.thy)

axclass

ord < type

consts

"op <" :: [$'a :: \text{ord}$, $'a$] \Rightarrow bool

"op \leq " :: [$'a :: \text{ord}$, $'a$] \Rightarrow bool

constdefs

min :: " $['a :: \text{ord}, 'a] \Rightarrow 'a$ "

"min a b \equiv (if a \leq b then a else b)"

max :: " $['a :: \text{ord}, 'a] \Rightarrow 'a$ "

"max a b \equiv (if a \leq b then b else a)"

Recall **constdefs** syntax and note two uses of <.

Orders in HOL.thy (Cont.)

axclass order < ord

order_refl " $x \leq x$ "

order_trans " $\llbracket x \leq y; y \leq z \rrbracket \implies x \leq z$ "

order_antisym " $\llbracket x \leq y; y \leq x \rrbracket \implies x = y$ "

order_less_le " $x < y = (x \leq y \wedge x \sim = y)$ "

axclass linorder < order

linorder_linear " $x \leq y \vee y \leq x$ "

Least Elements

In `HOL.thy`, `least elements` used to be defined as:

`Least` :: `"('a :: ord \Rightarrow bool) \Rightarrow 'a"`

`Least_def` `"Least P \equiv THE x. P(x) \wedge
(\forall y. P(y) \implies x \leq y)"`

Monotonicity

In `HOL.thy`, `monotonicity` used to be defined as:

```
mono      :: ['a :: ord  $\Rightarrow$  'b :: ord]  $\Rightarrow$  bool
mono_def  "mono(f)  $\equiv$ 
           ( $\forall$  A B. A  $\leq$  B  $\implies$  f(A)  $\leq$  f(B))
```

Some Theorems about Orders

monoI	$(\bigwedge AB. A \leq B \implies f A \leq f B)$ $\implies \text{mono } f$
monoD	$[\text{mono } f; A \leq B] \implies f A \leq f B$
order_eq_refl	$x = y \implies x \leq y$
order_less_irrefl	$\neg x < x$
order_le_less	$(x \leq y) = (x < y \vee x = y)$
linorder_less_linear	$x < y \vee x = y \vee y < x$
linorder_neq_iff	$(x \neq y) = (x < y \vee y < x)$
min_same	$\text{min } x x = x$
le_min_iff_conj	$(z \leq \text{min } x y) = (z \leq x \wedge z \leq y)$

Discussion of Orders

Type classes are a structuring mechanism in Isabelle:

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- Syntactic classes (e.g. $t :: \alpha :: ord$ as in Haskell [HHPW96]): merely a mechanism to structure visibility of operations.
- Axiomatic classes (e.g. $t :: \alpha :: order$): a mechanism for structuring semantic knowledge in types (foundation to be discussed later).

Sets

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Set.thy

theory Set = HOL:

typedecl 'a set

instance set :: (type) ord ..

consts

"{}" :: 'a set ("{}")

UNIV :: 'a set

Collect :: ('a \Rightarrow bool) \Rightarrow 'a set

"op :" :: "'a \Rightarrow 'a set \Rightarrow bool"

Note that Collect and ":" (alias: \in) correspond to Abs_{set} and Rep_{set} .

Set.thy: More Constant Declarations

$insert$:: $['a, 'a \text{ set}] \Rightarrow 'a \text{ set}$
 \cup, \cap :: $['a \text{ set}, 'a \text{ set}] \Rightarrow 'a \text{ set}$
 $Ball, Bex$:: $['a \text{ set}, 'a \Rightarrow \text{bool}] \Rightarrow \text{bool}$
 $UNION, INTER$:: $['a \text{ set}, 'a \Rightarrow 'b \text{ set}] \Rightarrow 'b \text{ set}$
 \bigcup, \bigcap :: $(('a \text{ set}) \text{ set}) \Rightarrow 'a \text{ set}$

There is the equivalent syntax:

$\{x, y, z\}$ for $insert\ x\ (insert\ y\ (insert\ z\ \{\}))$
 $\forall x : A. Sx$ for $Ball\ A\ S$
 $\exists x : A. Sx$ for $Bex\ A\ S$
 $\bigcup_{x \in A} . Sx$ for $UNION\ A\ S$
 $\bigcap_{x \in A} . Sx$ for $INTER\ A\ S$

Set.thy: Constant Definitions

empty_def: " $\{\} \equiv \{x. \text{False}\}$ "
UNIV_def: " $\text{UNIV} \equiv \{x. \text{True}\}$ "
Un_def: " $A \cup B \equiv \{x. x \in A \vee x \in B\}$ "
Int_def : " $A \cap B \equiv \{x. x \in A \wedge x \in B\}$ "
insert_def : " $\text{insert } a \ B \equiv \{x. x = a\} \cup B$ "
Ball_def : " $\text{Ball } A \ P \equiv \forall x. x \in A \longrightarrow P(x)$ "
Bex_def: " $\text{Bex } A \ P \equiv \exists x. x \in A \wedge P(x)$ "

Set.thy: Constant Definitions (2)

subset_def : " $A \leq B \equiv \forall x \in A. x \in B$ "

Compl_def: " $\neg A \equiv \{x. \neg x \in A\}$ "

set_diff_def : " $A - B \equiv \{x. x \in A \wedge \neg x \in B\}$ "

UNION_def: " $\text{UNION } A \ B \equiv \{y. \exists x \in A. y \in B(x)\}$ "

INTER_def: " $\text{INTER } A \ B \equiv \{y. \forall x \in A. y \in B(x)\}$ "

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Note use of \leq instead of \subseteq !

Set.thy: Constant Definitions (3)

Union_def: " $\bigcup S \equiv (\bigcup x \in S. x)$ "

Inter_def : " $\bigcap S \equiv (\bigcap x \in S. x)$ "

Pow_def: " $\text{Pow } A \equiv \{B. B \leq A\}$ "

image_def: " $f' A \equiv \{y. \exists x \in A. y = f(x)\}$ "

Some Theorems in **Set.thy**

CollectI	$P a \implies a \in \{x.P x\}$
CollectD	$a \in \{x.P x\} \implies P a$
set_ext	$(\bigwedge x.(x \in A) = (x \in B)) \implies A = B$
subsetI	$(\bigwedge x.x \in A \implies x \in B) \implies A \subseteq B$
eqset_imp_iff	$A = B \implies (x \in A) = (x \in B)$
UNIV_I	$x \in \text{UNIV}$
subset_UNIV	$A \subseteq \text{UNIV}$
empty_subsetI	$\{\} \subseteq A$
Pow_iff	$(A \in \text{Pow } B) = (A \subseteq B)$
IntI	$\llbracket c \in A; c \in B \rrbracket \implies c \in A \cap B$

More Theorems in **Set.thy**

`insert_iff` $(a \in \text{insert } b \ A) = (a = b \vee a \in A)$

`image_Un` $f'(A \cup B) = f'A \cup f'B$

`Inter_lower` $B \in A \implies \bigcap A \subseteq B$

`Inter_greatest` $(\bigwedge X. X \in A \implies C \subseteq X) \implies C \subseteq \bigcap A$

Discussion of Sets

Rich and powerful set theory available in HOL:

- No problems with consistency
- **Weaker** than ZF (since typed set-theory:) there is no “union of sets”; but: complement-closed
- Good mechanical support for many set tautologies (both for classical reasoning as well as simplification)
- Powerful basis for many problems in modeling.

Functions

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Fun.thy

The theory **Fun.thy** defines some important notions on functions, such as concatenation, the identity function, the image of a function, etc.

We look at it briefly.

Two Extracts from `Fun.thy`

Composition and the identity function:

`constdefs`

```
id  :: "'a ⇒ 'a"
```

```
"id ≡ λ x. x"
```

```
comp :: "'b ⇒ 'c, 'a ⇒ 'b, 'a] ⇒ 'c"
```

```
"f o g ≡ λ x. f(g(x))"
```

There are also definitions for function update, function override and theorems for concepts such as injectivity, surjectivity and bijectivity.

Compare the syntax for `constdefs`.

Instantiating an Axiomatic Class

Sets are partial orders: set is an **instance** of the axiomatic class order.

instance set :: (type) order
apply (auto) **done**

- **Axiomatic classes** result in proof obligations.
- These are **discharged** whenever instance is stated.
- **Type-checking** has access to the established properties.

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More Detailed Explanations

Different uses of <

Note: the < may occur in different lexical categories, e.g.:

axclass order < ord

in the theory file states that order is a **subclass** of ord.

Compare to the declaration

```
"op <" :: ['a :: ord, 'a] ⇒ bool ("(- < -)" [50, 51] 50)
```

where a constant < with a certain type is introduced.

Semantic Classes for Semantic Knowledge

The Isabelle type system records for any type variable what **class constraints** there are for this type variable. These class constraints may arise from the types of the constants used in an expression, or they may be given explicitly by the user in a goal. E.g. one might type

lemma " $(x::'a::\text{order}) < y \implies x \leq y$ " ;

to specify that x must be of a type in the type class `order`.

The axioms of an axiomatic class can only be applied if any constant declared in the axiomatic class (or a syntactic superclass) is applied to arguments of a type in the axiomatic class. E.g. `order_refl` can only be used to prove $y \leq y$ if the type of y is in the type class `order`.

In this sense the type information (y is of type in class `order`) is semantic knowledge ($y \leq y$ holds).

\leq instead of \subseteq

Sets are an instance of the type class `ord`, where the generic constant \leq is the subset relation in this particular case.

In fact, the subset relation is reflexive, transitive and anti-symmetric, and so sets are an instance of the `axiomatic class order`. This is non-obvious and must be proven as part of the `instance` statement.

Union of Arbitrary Sets?

In typed set theory (what we have here in HOL), it is not possible to form the union of two sets of different type. This is in contrast to ZF.

Typed Sets Are Complement-Closed

The complement of a typed set A , i.e.

$$\{x \mid x \notin A\}$$

is again a set, whose type is the same as the type of A . In ZF, the complement construction is not generally allowed since it opens the door to [Russell's Paradox](#).

Proof Obligations

To claim that a type is an instance of an **axiomatic class**, it has to be proven that the axioms (in the case of order: `order_refl`, `order_trans`, `order_antisym`, and `order_less_le`) are indeed fulfilled by that type.

Discharge Obligations

The Isabelle mechanism is such that the line

```
instance set :: (type) order  
  apply(auto) done
```

instructs Isabelle to prove the **axioms** using the previously proven theorems `subset_refl`, `subset_trans`, `subset_antisym`, and `psubset_eq`.

References

- [Fre93] Gottlob Frege. *Grundgesetze der Arithmetik*, volume I. Verlag Hermann Pohle, 1893. Translated in part in [Fur64].
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